

For Six Month Period Ending 31 DEC 2008  
(Insert date)

I - REGISTRANT

1. (a) Name of Registrant **BUTTERFIELD CARTER & ASSOCIATES** (b) Registration No. **5398**  
(c) Business Address(es) of Registrant **1010 PENNSYLVANIA AVE, S.E. WASHINGTON DC 20003**

2. Has there been a change in the information previously furnished in connection with the following:

- (a) If an individual:  
(1) Residence address(es) Yes  No   
(2) Citizenship Yes  No   
(3) Occupation Yes  No
- (b) If an organization:  
(1) Name Yes  No   
(2) Ownership or control Yes  No   
(3) Branch offices Yes  No
- (c) Explain fully all changes, if any, indicated in items (a) and (b) above.

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IF THE REGISTRANT IS AN INDIVIDUAL, OMIT RESPONSE TO ITEMS 3, 4 AND 5(a).

3. If you have previously filed Exhibit C<sup>1</sup>, state whether any changes therein have occurred during this 6 month reporting period.  
Yes  No   
If yes, have you filed an amendment to the Exhibit C? Yes  No   
If no, please attach the required amendment.

<sup>1</sup> The Exhibit C, for which no printed form is provided, consists of a true copy of the charter, articles of incorporation, association, and by laws of a registrant that is an organization. (A waiver of the requirement to file an Exhibit C may be obtained for good cause upon written application to the Assistant Attorney General, National Security Division, U.S. Department of Justice, Washington, DC 20530.)

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4. (a) Have any persons ceased acting as partners, officers, directors or similar officials of the registrant during this 6 month reporting period? Yes  No

If yes, furnish the following information:

Name	Position	Date connection ended
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(b) Have any persons become partners, officers, directors or similar officials during this 6 month reporting period?

Yes  No

If yes, furnish the following information:

Name	Residence address	Citizenship	Position	Date assumed
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5. (a) Has any person named in item 4(b) rendered services directly in furtherance of the interests of any foreign principal?

Yes  No

If yes, identify each such person and describe his service.

(b) Have any employees or individuals, who have filed a short form registration statement, terminated their employment or connection with the registrant during this 6 month reporting period? Yes  No

If yes, furnish the following information:

Name	Position or connection	Date terminated
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(c) During this 6 month reporting period, has the registrant hired as employees or in any other capacity, any persons who rendered or will render services to the registrant directly in furtherance of the interests of any foreign principal(s) in other than a clerical or secretarial, or in a related or similar capacity? Yes  No

If yes, furnish the following information:

Name	Residence address	Citizenship	Position	Date assumed
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6. Have short form registration statements been filed by all of the persons named in Items 5(a) and 5(c) of the supplemental statement?

Yes  No

If no, list names of persons who have not filed the required statement.

N/A

II - FOREIGN PRINCIPAL

7. Has your connection with any foreign principal ended during this 6 month reporting period?

Yes  No

If yes, furnish the following information:

Name of foreign principal

Date of termination

8. Have you acquired any new foreign principal<sup>2</sup> during this 6 month reporting period?

Yes  No

If yes, furnish the following information:

Name and address of foreign principal

Date acquired

9. In addition to those named in Items 7 and 8, if any, list foreign principals<sup>2</sup> whom you continued to represent during the 6 month reporting period.

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10. EXHIBITS A AND B

(a) Have you filed for each of the newly acquired foreign principals in Item 8 the following:

Exhibit A<sup>3</sup> Yes  No   
Exhibit B<sup>4</sup> Yes  No

N/A

If no, please attach the required exhibit.

(b) Have there been any changes in the Exhibits A and B previously filed for any foreign principal whom you represented during the 6 month period? Yes  No

If yes, have you filed an amendment to these exhibits? Yes  No

If no, please attach the required amendment.

2 The term "foreign principal" includes, in addition to those defined in Section 1(b) of the Act, an individual organization any of whose activities are directly or indirectly supervised, directed, controlled, financed, or subsidized in whole or in major part by a foreign government, foreign political party, foreign organization or foreign individual. (See Rule 100(a) (9).) A registrant who represents more than one foreign principal is required to list in the statements he files under the Act only those principals for whom he is not entitled to claim exemption under Section 3 of the Act. (See Rule 208.)

3 The Exhibit A, which is filed on Form NSD-3 (Formerly CRM-157), sets forth the information required to be disclosed concerning each foreign principal.

4 The Exhibit B, which is filed on Form NSD-4 (Formerly CRM-155), sets forth the information concerning the agreement or understanding between the registrant and the foreign principal.

III - ACTIVITIES

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11. During this 6 month reporting period, have you engaged in any activities for or rendered any services to any foreign principal named in Items 7, 8, and 9 of this statement? Yes  No

If yes, identify each such foreign principal and describe in full detail your activities and services:

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ON WHALING IN GENERAL AND JAPANESE RESEARCH  
WHALING IN PARTICULAR

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12. During this 6 month reporting period, have you on behalf of any foreign principal engaged in political activity<sup>5</sup> as defined below? Yes  No

If yes, identify each such foreign principal and describe in full detail all such political activity, indicating, among other things, the relations, interests and policies sought to be influenced and the means employed to achieve this purpose. If the registrant arranged, sponsored or delivered speeches, lectures or radio and TV broadcasts, give details as to dates and places of delivery, names of speakers and subject matter.

SEE ATTACHMENT 1.

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13. In addition to the above described activities, if any, have you engaged in activity on your own behalf which benefits any or all of your foreign principals? Yes  No

If yes, describe fully.

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<sup>5</sup> The term "political activities" means any activity that the person engaging in believes will, or that the person intends to, in any way influence any agency or official of the Government of the United States or any section of the public within the United States with reference to formulating, adopting or changing the domestic or foreign policies of the United States or with reference to the political or public interests, policies, or relations of a government of a foreign country or a foreign political party.

IV - FINANCIAL INFORMATION

14. (a) RECEIPTS - MONIES

During this 6 month reporting period, have you received from any foreign principal named in Items 7, 8, or 9 of this statement, or from any other source, for or in the interests of any such foreign principal, any contributions, income or money either as compensation or otherwise? Yes [X] No [ ]

If no, explain why.

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies<sup>6</sup>.

Date From whom Purpose Amount

SEE ATTACHMENT 2

Total

(b) RECEIPTS - FUND RAISING CAMPAIGN

During this 6 month reporting period, have you received, as part of a fund raising campaign<sup>7</sup>, any money on behalf of any foreign principal named in items 7, 8, or 9 of this statement? Yes [ ] No [X]

If yes, have you filed an Exhibit D<sup>8</sup> to your registration? Yes [ ] No [ ]

N/A

If yes, indicate the date the Exhibit D was filed. Date \_\_\_\_\_

(c) RECEIPTS - THINGS OF VALUE

During this 6 month reporting period, have you received any thing of value<sup>9</sup> other than money from any foreign principal named in Items 7, 8, or 9 of this statement, or from any other source, for or in the interests of any such foreign principal? Yes [ ] No [X]

If yes, furnish the following information:

Name of foreign principal Date received Description of thing of value Purpose

6, 7 A registrant is required to file an Exhibit D if he collects or receives contributions, loans, money, or other things of value for a foreign principal, as part of a fund raising campaign. (See Rule 201(e).)

8 An Exhibit D, for which no printed form is provided, sets forth an account of money collected or received as a result of a fund raising campaign and transmitted for a foreign principal.

9 Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

15. (a) **DISBURSEMENTS – MONIES**

During this 6 month reporting period, have you

(1) disbursed or expended monies in connection with activity on behalf of any foreign principal named in Items 7, 8, or 9 of this statement? Yes  No

(2) transmitted monies to any such foreign principal? Yes  No

If no, explain in full detail why there were no disbursements made on behalf of any foreign principal.

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies, including monies transmitted, if any, to each foreign principal.

Date	To whom	Purpose	Amount
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SEE ATTACHMENT 2

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Total

**(b) DISBURSEMENTS – THINGS OF VALUE**

During this 6 month reporting period, have you disposed of anything of value<sup>10</sup> other than money in furtherance of or in connection with activities on behalf of any foreign principal named in Items 7, 8, or 9 of this statement?

Yes  No

If yes, furnish the following information:

Date disposed	Name of person to whom given	On behalf of what foreign principal	Description of thing of value	Purpose
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**(c) DISBURSEMENTS – POLITICAL CONTRIBUTIONS**

During this 6 month reporting period, have you from your own funds and on your own behalf either directly or through any other person, made any contributions of money or other things of value<sup>11</sup> in connection with an election to any political office, or in connection with any primary election, convention, or caucus held to select candidates for political office?

Yes  No

If yes, furnish the following information:

Date	Amount or thing of value	Name of political organization	Name of candidate
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<sup>10, 11</sup> Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks" and the like.

V - INFORMATIONAL MATERIALS

16. During this 6 month reporting period, did you prepare, disseminate or cause to be disseminated any informational materials<sup>12</sup>?  
Yes  No

IF YES, RESPOND TO THE REMAINING ITEMS IN SECTION V.

17. Identify each such foreign principal.

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18. During this 6 month reporting period, has any foreign principal established a budget or allocated a specified sum of money to finance your activities in preparing or disseminating informational materials? Yes  No

If yes, identify each such foreign principal, specify amount, and indicate for what period of time.

19. During this 6 month reporting period, did your activities in preparing, disseminating or causing the dissemination of informational materials include the use of any of the following:

- Radio or TV broadcasts
- Magazine or newspaper articles
- Motion picture films
- Letters or telegrams
- Advertising campaigns
- Press releases
- Pamphlets or other publications
- Lectures or speeches
- Internet
- Other (specify) \_\_\_\_\_

20. During this 6 month reporting period, did you disseminate or cause to be disseminated informational materials among any of the following groups:

- Public officials
- Newspapers
- Libraries
- Legislators
- Editors
- Educational institutions
- Government agencies
- Civic groups or associations
- Nationality groups
- Other (specify) \_\_\_\_\_

21. What language was used in the informational materials:

- English
- Other (specify) \_\_\_\_\_

22. Did you file with the Registration Unit, U.S. Department of Justice a copy of each item of such informational materials disseminated or caused to be disseminated during this 6 month reporting period? Yes  No

23. Did you label each item of such informational materials with the statement required by Section 4(b) of the Act? Yes  No

<sup>12</sup> The term informational materials includes any oral, visual, graphic, written, or pictorial information or matter of any kind, including that published by means of advertising, books, periodicals, newspapers, lectures, broadcasts, motion pictures, or any means or instrumentality of interstate or foreign commerce or otherwise. Informational materials disseminated by an agent of a foreign principal as part of an activity in itself exempt from registration, or an activity which by itself would not require registration, need not be filed pursuant to Section 4(b) of the Act.

VI - EXECUTION

In accordance with 28 U.S.C. §1746, the undersigned swear(s) or affirm(s) under penalty of perjury that he/she has (they have) read the information set forth in this registration statement and the attached exhibits and that he/she is (they are) familiar with the contents thereof and that such contents are in their entirety true and accurate to the best of his/her (their) knowledge and belief, except that the undersigned make(s) no representation as to the truth or accuracy of the information contained in the attached Short Form Registration Statement(s), if any, insofar as such information is not within his/her (their) personal knowledge.

(Date of signature )

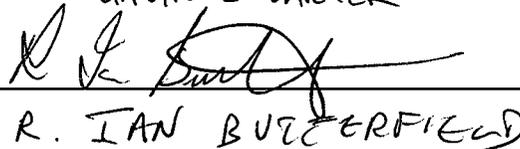
(Type or print name under each signature<sup>13</sup>)

30 JANUARY 2009



GAVIN J CARTER

30 JANUARY 2009



R. IAN BUTTERFIELD

2009 FEB 13 AM 10: 21  
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<sup>13</sup> This statement shall be signed by the individual agent, if the registrant is an individual, or by a majority of those partners, officers, directors or persons performing similar functions, if the registrant is an organization, except that the organization can, by power of attorney, authorize one or more individuals to execute this statement on its behalf.



# ATTACHMENT 1

## QUESTION 12, 1 July thru 31 December

July 31. Ian Butterfield meeting with Todd Bertson, Senate Commerce Committee. Discussion of recent anti-whaling legislation in US Congress and the status of S 3333, a bill to permit the Secretary of Commerce to issue permits for the aboriginal taking of whales.

October 3. Gavin Carter met with Kitty Block, Vice President of Humane Society of the United States to discuss expectations for the future of the International Whaling Commission.

October 6. Ian Butterfield meeting with Mike Conathan and Todd Bertson, Senate Commerce Committee. Discussion of: recent Japanese activity on restriction of importation of Maine lobsters; ongoing status of Alaska aboriginal whaling program; attacks by *Sea Shepherd Conservation Society* on Japanese research vessels in Antarctic; status of S 3333 (see above); leadership of US delegation to International Whaling Commission and possible future US legislation on Japanese research whaling.

October 28. Ian Butterfield meeting with Arne Fuglvog, Office of Senator Murkowski. Discussion of: 2008 attacks by *Sea Shepherd Conservation Society* on Japanese research vessels in the Antarctic; future of Alaskan aboriginal bowhead whaling program; status of S 3333 and possible future US legislation on Japanese research whaling.

November 11. Gavin Carter discussed Animal Planet Whale Wars TV series by phone with Katie Porterfield of Business Tennessee newspaper.

## ATTACHMENT 2

### QUESTION 14 (A)

**1 July 2008 -31 December 2008**

ICR = Institute of Cetacean Research, Tokyo, Japan

<u>Date</u>	<u>Client</u>	<u>Amount</u>	
7/31/2008	ICR	\$6,305.00	\$6,000.00 monthly retainer \$ 305.00 filing fee
8/31/2008	ICR	\$6,000.00	\$6,000.00 monthly retainer
9/30/2008	ICR	\$6,000.00	\$6,000.00 monthly retainer
10/31/2008	ICR	\$5,700.00	\$5,700.00 monthly retainer
11/30/2008	ICR	\$8,290.88	\$5,700.00 monthly retainer \$ 2,590.88 newswire fee
12/31/2008	ICR	\$5,700.00	\$5,700.00 monthly retainer



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### **MEDIA RELEASE**

#### **ANIMAL PLANET COLLABORATED WITH ECOTERRORISTS**

Washington, DC, 29 October 2008 The Institute of Cetacean Research (ICR), a Japanese scientific body that studies whales, today accused the United States television broadcast channel Animal Planet of involvement in ecoterrorism, following criminal attacks against its research ships in the Antarctic Ocean. (See footage at <http://www.icrwhale.org/eng-index.htm>).

Animal Planet, which is owned by Discovery Communications, contracted with Tennessee-based RIVR Productions to produce a new series called "Whale Wars", scheduled for broadcast in the United States in the coming weeks. RIVR joined the crew of one of the ships owned and operated by the militant animal rights group, Sea Shepherd Conservation Society, as it launched a series of criminal attacks on the high seas in January, February and March 2008 against vessels operated by ICR. In August 2008, the Tokyo Metropolitan Police Department issued arrest warrants for three of the Sea Shepherd militants for violating the Convention for the Suppression of Unlawful Acts against the Safety of Maritime Navigation (the SUA Convention of the International Maritime Organization).

Unlike land-based ecoterrorist groups, Sea Shepherd's modus operandi is to sabotage fishing operations by attacking vessels at sea beyond national boundaries, thereby avoiding prosecution. In March 2008, as the attacks on the ICR's vessels were taking place, a former Sea Shepherd and Earth Liberation Front (ELF) operative was given a one-year federal jail sentence in California for teaching people how to make a destructive device that could commit arson. A guidebook on eco-guerilla activity written by the head of Sea Shepherd, Paul Watson, contains a chapter on how to use fire against an "enemy".

Increased criminal violence against the Institute's research vessels coincided with the presence and filming by Animal Planet on the Sea Shepherd attack vessel. Animal Planet has itself admitted that the campaign "was particularly eventful" (Animal Planet press release, 10 July 2008). The violence included the deployment of propeller fouling devices constructed from steel cables, throwing acid, smoke bombs and bottles onto the decks of the ICR vessels and attempted collisions. Sea Shepherd has also welded a seven-foot steel blade to its ships to open the hulls of the vessels it attacks.

Animal Planet established no internal systems or safeguards to prevent its executive team, the production company and the ecoterrorists from colluding to carry out unlawful acts for the television cameras in order to produce sensational footage.

In addition to Animal Planet's involvement with violent attacks against its ships and seafarers, ICR is concerned that broadcasting the series will serve to glamorize ecoterrorism and make future violent attacks more likely.

Mr. Minoru Morimoto, Director General of the Institute of Cetacean Research, said: "It is difficult to understand why a mainstream network would stoop so low as to produce a series that glamorizes and thereby gives support to ecoterrorism. Sea Shepherd's criminal actions last year in the Antarctic were encouraged directly through the presence of the Animal Planet film team. Animal Planet is responsible for inciting this increased violence and aiding and abetting an international criminal organization."

For further information contact:

USA: Gavin Carter, Butterfield Carter and Associates 703 619 1504  
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### MEDIA RELEASE

#### **INSTITUTE OF CETACEAN RESEARCH: ANIMAL PLANET DECEIVES ITS AUDIENCE WITH WHALE WARS**

Washington, DC, 6 November 2008. The Institute of Cetacean Research (ICR), a Japanese scientific body that studies whales, today accused *Animal Planet* and *Discovery Communications* of deceiving their viewers in a new television series “Whale Wars”, due to be broadcast in the United States starting tomorrow.

Whale Wars purports to be a reality TV program, but instead it shows staged events directed by the Animal Planet filmmakers themselves, in a “tail wagging the dog” format.

The highlight of the series is an elaborate fake incident in which Animal Planet choreographed a supposed shooting of the leader of the ecoterrorist group Sea Shepherd. This can be viewed on YouTube at <http://www.youtube.com/watch?v=mJ7TXcuuAmk&feature=related>

The Institute made clear at the time that no shots were fired from any of its vessels. A layman can easily discern this from the footage:

- It would be extraordinarily difficult for one party to shoot accurately at another from a range of approximately 150 yards when both are on moving platforms, there are difficult weather conditions (wind and sea spray) and the target is positioned on a crowded bridge. Watson pretends in the footage that a bullet not only hit his Kevlar vest, but that it hit him in the heart area.
- If any shots really had been fired, the Sea Shepherd vessel would have quickly navigated away from the ship it was attacking to maintain a safe distance and evaluate any damage. It maintained its course as if nothing had happened.
- No gunshot can be heard on the footage.
- A photograph that Sea Shepherd claimed was the muzzle flash of a rifle was in fact the illuminated face of the ship’s clock.
- A shooting in a crowded and confined area such as a ship’s bridge would create massive panic and confusion, with people screaming and diving for cover. The video shows a casual and unconcerned reaction to the supposed shooting.
- Even with the protection of a Kevlar vest, a real shot will knock a victim backwards and cause bruising. Watson makes no involuntary movements and

claims he was scratched by a pin badge he was wearing under the Kevlar vest. As in western movies, the claim is that he was miraculously saved by a badge.

This staged event involved Sea Shepherd and Animal Planet's production crew, who were in position to film the incident as it supposedly happened. Shortly afterwards, Animal Planet's headquarters in New York issued a press release promoting the incident and the series.

The Institute's offer to organize ballistics testing of the slug to establish when and how it really came to be in a Kevlar vest were ignored by Animal Planet and Sea Shepherd.

For episode three of the series, Animal Planet characterizes two Sea Shepherd activists as being held "hostage" by the Institute. In fact, the two militants illegally boarded one of the Institute's vessels, carrying toothbrushes and a change of clothes and with a clear intention of remaining on board for as long as possible. Sea Shepherd refused to take them back for three days.

Mr. Minoru Morimoto, Director General of the Institute of Cetacean Research, said: "Animal Planet is treating its viewers, advertisers and financial backers like fools. This is scripted, plot-driven television motivated by a scramble to reverse sinking ratings. Animal Planet has co-opted ecoterrorists into staging violent incidents for its TV cameras. Discovery can no longer be taken seriously as a leading broadcaster of 'non-fiction' or quality documentaries."

For further information contact:

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