

UNITED STATES DEPARTMENT OF JUSTICE  
WASHINGTON, D.C. 20530

SUPPLEMENTAL STATEMENT

Pursuant to Section 2 of the Foreign Agents  
Registration Act of 1938, as Amended

For Six Month Period Ending FEB 24 1979  
(insert date)

Name of Registrant

Registration No. 2275

Chapman, Duff and Paul

Business Address of Registrant

1730 Pennsylvania Avenue, N.W.  
Suite 1200  
Washington, D.C. 20006

I - REGISTRANT

1. Has there been a change in the information previously furnished in connection with the following:

(a) If an individual:

(1) Residence address	Yes <input type="checkbox"/>	No <input type="checkbox"/>
(2) Citizenship	Yes <input type="checkbox"/>	No <input type="checkbox"/>
(3) Occupation	Yes <input type="checkbox"/>	No <input type="checkbox"/>

(b) If an organization:

(1) Name	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
(2) Ownership or control	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
(3) Branch offices	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>

2. Explain fully all changes, if any, indicated in Item 1.

Branch office opened September 1, 1978  
San Juan, Puerto Rico

IF THE REGISTRANT IS AN INDIVIDUAL, OMIT RESPONSE TO ITEMS 3, 4, and 5.

3. Have any persons ceased acting as partners, officers, directors or similar officials of the registrant during this 6 month reporting period? Yes  No

If yes, furnish the following information:

Name

Position

Date Connection  
Ended

4. Have any persons become partners, officers, directors or similar officials during this 6 month reporting period?  Yes  No

If yes, furnish the following information:

<i>Name</i>	<i>Residence Address</i>	<i>Citizenship</i>	<i>Position</i>	<i>Date Assumed</i>
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5. Has any person named in Item 4 rendered services directly in furtherance of the interests of any foreign principal? Yes  No

If yes, identify each such person and describe his services.

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6. Have any employees or individuals other than officials, who have filed a short form registration statement, terminated their employment or connection with the registrant during this 6 month reporting period? Yes  No

If yes, furnish the following information:

<i>Name</i>	<i>Position or connection</i>	<i>Date terminated</i>
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See Attachment 1.

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7. During this 6 month reporting period, have any persons been hired as employees or in any other capacity by the registrant who rendered services to the registrant directly in furtherance of the interests of any foreign principal in other than a clerical or secretarial, or in a related or similar capacity? Yes  No

If yes, furnish the following information:

<i>Name</i>	<i>Residence Address</i>	<i>Position or connection</i>	<i>Date connection began</i>
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## II - FOREIGN PRINCIPAL

8. Has your connection with any foreign principal ended during this 6 month reporting period?  
Yes  No

If yes, furnish the following information:

*Name of foreign principal*

*Date of Termination*

- 
9. Have you acquired any new foreign principal<sup>1</sup> during this 6 month reporting period? Yes  No

If yes, furnish following information:

<i>Name and address of foreign principal</i>	<i>Date acquired</i>
Instituto Mexican de la Fluorita, A.C. Tokio Num. 4, Mexico 6, D.F.	5/1/78
Industrias Penoles, S.A. de C.V. Paseo de la Reforma, Mexico 5, D.F.	1/1/79
Compania Minera de las Cuevas, S.A., <del>South Insurgents Avenue, 1650-Ph, Mexico 20, D.F.</del>	1/1/79

10. In addition to those named in Items 8 and 9, if any, list the foreign principals<sup>1</sup> whom you continued to represent during the 6 month reporting period.

Bundesverband deutscher Banken  
Mitsui and Company, Ltd.

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## III - ACTIVITIES

11. During this 6 month reporting period, have you engaged in any activities for or rendered any services to any foreign principal named in Items 8, 9, and 10 of this statement? Yes  No

If yes, identify each such foreign principal and describe in full detail your activities and services:

See Attachment 2.

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<sup>1</sup> The term "foreign principal" includes, in addition to those defined in section 1(b) of the Act, an individual or organization any of whose activities are directly or indirectly supervised, directed, controlled, financed, or subsidized in whole or in major part by a foreign government, foreign political party, foreign organization or foreign individual. (See Rule 100(a)(9)).

A registrant who represents more than one foreign principal is required to list in the statements he files under the Act only those foreign principals for whom he is not entitled to claim exemption under Section 3 of the Act. (See Rule 208.)

12. During this 6 month reporting period, have you on behalf of any foreign principal engaged in political activity<sup>2</sup> as defined below?

Yes  No

If yes, identify each such foreign principal and describe in full detail all such political activity, indicating, among other things, the relations, interests and policies sought to be influenced and the means employed to achieve this purpose. If the registrant arranged, sponsored or delivered speeches, lectures or radio and TV broadcasts, give details as to dates, places of delivery, names of speakers and subject matter.

See Attachment 3.

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13. In addition to the above described activities, if any, have you engaged in activity on your own behalf which benefits any or all of your foreign principals?

Yes  No

If yes, describe fully.

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<sup>2</sup> The term "political activities" means the dissemination of political propaganda and any other activity which the person engaging therein believes will, or which he intends to, prevail upon, indoctrinate, convert, induce, persuade, or in any other way influence any agency or official of the Government of the United States or any section of the public within the United States with reference to formulating, adopting, or changing the domestic or foreign policies of the United States or with reference to the political or public interests, policies, or relations of a government of a foreign country or a foreign political party.

IV - FINANCIAL INFORMATION

14. (a) RECEIPTS - MONIES

During this 6 month reporting period, have you received from any foreign principal named in Items 8, 9 and 10 of this statement, or from any other source, for or in the interests of any such foreign principal, any contributions, income or money either as compensation or otherwise?

Yes  No

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies.<sup>3</sup>

<i>Date</i>	<i>From Whom</i>	<i>Purpose</i>	<i>Amount</i>
8/24/78-	Bundesverband deutscher	Fees	\$ 5,000.00
2/24/79	Banken	Expenses	1,870.40
	Mitsui & Company	Fees	11,000.00
		Expenses	1,816.11
	Instituto Mexicano de la	Fees	3,900.00
	Fluorita, A.C.	Expenses	1,499.65

Total Fees: \$19,900.00  
 Total Expenses: \$5,186.16

Total \$25,086.16

14. (b) RECEIPTS - THINGS OF VALUE

During this 6 month reporting period, have you received any thing of value<sup>4</sup> other than money from any foreign principal named in Items 8, 9 and 10 of this statement, or from any other source, for or in the interests of any such foreign principal?

Yes  No

If yes, furnish the following information:

<i>Name of foreign principal</i>	<i>Date received</i>	<i>Description of thing of value</i>	<i>Purpose</i>
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<sup>3</sup> A registrant is required to file an Exhibit D if he collects or receives contributions, loans, money, or other things of value for a foreign principal, as part of a fund raising campaign. See Rule 201(e).

<sup>4</sup> Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

15. (a) DISBURSEMENTS - MONIES

During this 6 month reporting period, have you

(1) disbursed or expended monies in connection with activity on behalf of any foreign principal named in Items 8, 9 and 10 of this statement? Yes  No

(2) transmitted monies to any such foreign principal? Yes  No

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies, including monies transmitted, if any, to each foreign principal.

Date	To Whom	Purpose	Amount
8/24/78- 2/24/79	Bundesverband Deutscher Banken	Local Transportation Xeroxing Postage L.D. Telephone Telex & Telecopier Meals Miscellaneous	\$ 419.75 59.65 107.35 733.14 215.85 35.41 299.25 <u>\$1,870.40</u>
	Mitsui & Company	Local Transportation Xeroxing Postage L.D. Telephone Hotels and Meals Miscellaneous Airfare	\$ 413.85 183.75 40.35 289.56 526.10 282.50 80.00 <u>\$1,816.11</u>
	Instituto Mexicano de la Fluorita, A.C.	Local Transportation Xeroxing Postage L.D. Telephone Telex & Telecopier Hotels and Meals Miscellaneous Air Freight	\$ 210.00 392.14 20.40 309.86 78.66 45.95 225.79 216.85 <u>\$1,499.65</u>

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Total \$5,186.16

15. (b) DISBURSEMENTS - THINGS OF VALUE

During this 6 month reporting period, have you disposed of anything of value<sup>5</sup> other than money in furtherance of or in connection with activities on behalf of any foreign principal named in items 8, 9 and 10 of this statement?

Yes  No

If yes, furnish the following information:

<i>Date disposed</i>	<i>Name of person to whom given</i>	<i>On behalf of what foreign principal</i>	<i>Description of thing of value</i>	<i>Purpose</i>
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(c) DISBURSEMENTS - POLITICAL CONTRIBUTIONS

During this 6 month reporting period, have you from your own funds and on your own behalf either directly or through any other person, made any contributions of money or other things of value<sup>5</sup> in connection with an election to any political office, or in connection with any primary election, convention, or caucus held to select candidates for political office? Yes  No

If yes, furnish the following information:

<i>Date</i>	<i>Amount or thing of value</i>	<i>Name of political organization</i>	<i>Name of candidate</i>
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See Attachment 4.

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V - POLITICAL PROPAGANDA

(Section 1(j) of the Act defines "political propaganda" as including any oral, visual, graphic, written, pictorial, or other communication or expression by any person (1) which is reasonably adapted to, or which the person disseminating the same believes will, or which he intends to, prevail upon, indoctrinate, convert induce, or in any other way influence a recipient or any section of the public within the United States with reference to the political or public interests, policies, or relations of a government of a foreign country or a foreign political party or with reference to the foreign policies of the United States or promote in the United States racial, religious, or social dissensions, or (2) which advocates, advises, instigates, or promotes any racial, social, political, or religious disorder, civil riot, or other conflict involving the use of force or violence in any other American republic or the overthrow of any government or political subdivision of any other American republic by any means involving the use of force or violence.)

16. During this 6 month reporting period, did you prepare, disseminate or cause to be disseminated any political propaganda as defined above? Yes  No

IF YES, RESPOND TO THE REMAINING ITEMS IN THIS SECTION V.

17. Identify each such foreign principal.

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<sup>5</sup> Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

18. During this 6 month reporting period, has any foreign principal established a budget or allocated a specified sum of money to finance your activities in preparing or disseminating political propaganda?  
Yes  No

If yes, identify each such foreign principal, specify amount, and indicate for what period of time.

19. During this 6 month reporting period, did your activities in preparing, disseminating or causing the dissemination of political propaganda include the use of any of the following:

- Radio or TV broadcasts
- Magazine or newspaper articles
- Motion picture films
- Letters or telegrams
- Advertising campaigns
- Press releases
- Pamphlets or other publications
- Lectures or speeches
- Other (specify) \_\_\_\_\_

20. During this 6 month reporting period, did you disseminate or cause to be disseminated political propaganda among any of the following groups:

- Public Officials
- Newspapers
- Libraries
- Legislators
- Editors
- Educational institutions
- Government agencies
- Civic groups or associations
- Nationality groups
- Other (Specify) \_\_\_\_\_

21. What language was used in this political propaganda:

- English
- Other (specify) \_\_\_\_\_

22. Did you file with the Registration Section, Department of Justice, two copies of each item of political propaganda material disseminated or caused to be disseminated during this 6 month reporting period?

- Yes  No

23. Did you label each item of such political propaganda material with the statement required by Section 4(b) of the Act? Yes  No

24. Did you file with the Registration Section, Department of Justice, a Dissemination Report for each item of such political propaganda material as required by Rule 401 under the Act?

- Yes  No

VI - EXHIBITS AND ATTACHMENTS

25. EXHIBITS A AND B

(a) Have you filed for each of the newly acquired foreign principals in Item 9 the following:

- Exhibit A<sup>6</sup> Yes  No
- Exhibit B<sup>7</sup> Yes  No

If no, please attach the required exhibit.

(a) Have there been any changes in the Exhibits A and B previously filed for any foreign principal whom you represented during this six month period?

- Yes  No

If yes, have you filed an amendment to these exhibits? Yes  No

If no, please attach the required amendment.

<sup>6</sup> The Exhibit A, which is filed on Form OBD-67 (Formerly DJ-306) sets forth the information required to be disclosed concerning each foreign principal.

<sup>7</sup> The Exhibit B, which is filed on Form OBD-65 (Formerly DJ-304) sets forth the information concerning the agreement or understanding between the registrant and the foreign principal.

26. EXHIBIT C

If you have previously filed an Exhibit C<sup>8</sup>, state whether any changes therein have occurred during this 6-month reporting period.

Yes  No

If yes, have you filed an amendment to the Exhibit C? Yes  No

If no, please attach the required amendment.

27. SHORT FORM REGISTRATION STATEMENT

Have short form registration statements, been filed by all of the persons named in Items 5 and 7 of the supplemental statement?

Yes  No

If no, list names of persons who have not filed the required statement.

The undersigned swear(s) or affirm(s) that he has (they have) read the information set forth in this registration statement and the attached exhibits and that he is (they are) familiar with the contents thereof and that such contents are in their entirety true and accurate to the best of his (their) knowledge and belief, except that the undersigned make(s) no representation as to the truth or accuracy of the information contained in attached Short Form Registration Statement, if any, insofar as such information is not within his (their) his (their) personal knowledge.

(Type or print name under each signature)

(Both copies of this statement shall be signed and sworn to before a notary public or other person authorized to administer oaths by the agent, if the registrant is an individual, or by a majority of those partners, officers, directors or persons performing similar functions who are in the United States, if the registrant is an organization.)

Carl W. Mueh

William R. Deff

[Signature]

William J. Keenan  
James S. Hosteller

Albert D. Sturtevant

Subscribed and sworn to before me at Washington, D.C.

this 22nd day of March, 19 79

Janet E. Lippert  
(Signature of notary or other officer)  
**My Commission Expires March 31, 1982**

<sup>8</sup> The Exhibit C, for which no printed form is provided, consists of a true copy of the charter, articles of incorporation, association, constitution, and bylaws of a registrant that is an organization. (A waiver of the requirement to file an Exhibit C may be obtained for good cause shown upon written application to the Assistant Attorney General, Criminal Division, Internal Security Section, Department of Justice, Washington, D.C. 20530.

SUPPLEMENTAL STATEMENT  
For Six Month Period Ending February 24, 1979

Attachment 1

6. One partner of Registrant, who has previously filed a short form registration statement, ceased to be active on behalf of Registrant's foreign principals during this six month period. His name, position and the approximate date of cessation of activities are as follows:

<u>Name</u>	<u>Position</u>	<u>Date of Cessation</u>
Paul Lenzini	Partner	9/1/78

SUPPLEMENTAL STATEMENT  
For Six Month Period Ending February 24, 1979

Attachment 2

- 11(a). Services rendered to Mitsui included advice and information on coal conversion technology projects, Alaskan Natural Gas Transportation Systems, Northeast Corridor Improvement Project, Department of Energy nuclear fuel and waste management policy, U.S. economic and trade policies (including U.S. export policies generally, the U.S. export promotion program, export of West Coast petroleum including three way fuel swaps with Mexico), cable communications with Cuba, U.S. boycott of Chile and Cuba, developments in Iran, the Buy-American Act, effects of labor strikes, and investment opportunities in the United States.
- 11(b). Services rendered to the Bundesverband Deutscher Banken (Association of German Banks) included advice and information with respect to the International Banking Act of 1978 and implementing regulations.
- 11(c). Services rendered to Industrias Penoles, S.A. de C.V. and Compania Minera de las Cuevas, S.A., included advice and information with respect to potential sales by Mexican producers to the Critical and Strategic Materials Stockpile including analysis of legislation.
- 11(d). Services rendered to Instituto Mexicano de la Fluorita, A.C. included advice and information with respect to GSP, legislation on duty free imports and sales to the U.S. stockpile.

SUPPLEMENTAL STATEMENT

Attachment 3

For Six Month Period Ending February 24, 1979

12. Bundesverband Deutscher Banken. Registrant met with officials of staff of the Board of Governors of the Federal Reserve System to convey comments with respect to regulations implementing the International Banking Act of 1978.

Industrias Penoles, S.A. de C.V. and Compania Minera de las Cuevas, S.A. Registrant met with officials of General Services Administration, Department of Interior (Bureau of Mines), Department of State, Department of Commerce, Department of Treasury, Department of Justice (Antitrust Division -- Office of Trade Policy), staff of the Senate Armed Services Committee and staff of the House Armed Services Committee to convey comments regarding U.S. stockpile policy.

Instituto Mexicano de la Fluorita, A.C. Registrant met with members of the Trade Policy Staff Committee and officials of the Departments of Treasury, Justice, and Defense to convey comments regarding U.S. stockpile policy.

SUPPLEMENTAL STATEMENT

Attachment 4

For Six Month Period Ending February 24, 1979

15(c). Registrant made no contributions of money or other things of value from its own funds and on its own behalf, either directly or through any other person, in connection with an election to any political office, or in connection with any primary election, convention, or caucus held to select candidates for public office.

However, the following persons who have filed short form registration statements have made political contributions:

<u>Name of Contributor</u>	<u>Date</u>	<u>Amount or Thing of Value</u>	<u>Name of Political Organization</u>	<u>Name of Candidate</u>
W.N.Harrell Smith	12/79	\$50.00	Committee for Baltasar Corrada	Baltasar Corrada
James S. Hostetler	12/79	\$50.00	Committee for Baltasar Corrada	Baltasar Corrada
	11/79	\$25.00	Committee for John Ray	John Ray
Albert D. Sturtevant	12/79	\$25.00	Committee for Baltasar Corrada	Baltasar Corrada

UNITED STATES DEPARTMENT OF JUSTICE  
REGISTRATION UNIT  
CRIMINAL DIVISION  
WASHINGTON, D. C. 20530

NOTICE

Please answer the following questions and return this sheet in triplicate with your supplemental statement:

1. Is your answer to Item 16 of Section V (Political Propaganda - page 7 of Form OBD-64 - Supplemental Statement):

Yes \_\_\_\_\_ or No \_\_\_\_\_ X

(If your answer to question 1 is "yes" do not answer question 2 of this form.)

2. Do you disseminate any material in connection with your registration:

Yes \_\_\_\_\_ or No \_\_\_\_\_ X

(If your answer to question 2 is "yes" please forward for our review copies of all such material including: films, film catalogs, posters, brochures, press releases, etc. which you have disseminated during the past six months.)

William R. Duff  
Signature

March 22, 1979  
Date

William R. Duff

Please type or print name of signatory on the line above

Partner

\_\_\_\_\_  
Title