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DEPARTMENT OF JUSTICE
JUL 28 11 49 AM '81
REGISTRATION UNIT
INTERNAL SECURITY
SECTION
CRIMINAL DIVISION

EXHIBIT A

TO REGISTRATION STATEMENT

Under the Foreign Agents Registration Act of 1938, as amended

Furnish this exhibit for EACH foreign principal listed in an initial statement
and for EACH additional foreign principal acquired subsequently.

1. Name and address of registrant Richard Buhrman Royce 986 National Press Bldg., Washington, D. C. 20045	2. Registration No. <u>2980</u>
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3. Name of foreign principal Representative Tokuma Utsunomiya	4. Principal address of foreign principal Room 726, Dai-ichi Giin-kaikan Nagata-cho, Chiyoda-ku Tokyo 100, Japan
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5. Indicate whether your foreign principal is one of the following type:

Foreign government

Foreign political party

Foreign or domestic organization: If either, check one of the following:

Partnership Committee

Corporation Voluntary group

Association Other (specify) _____

Individual - State his nationality Japanese, Member of the Diet, registered Independent
formerly a leading member of the Liberal Democratic Party

6. If the foreign principal is a foreign government, state:

a) Branch or agency represented by the registrant.

b) Name and title of official with whom registrant deals.

7. If the foreign principal is a foreign political party, state:

a) Principal address

b) Name and title of official with whom the registrant deals.

c) Principal aim

8. If the foreign principal is not a foreign government or a foreign political party,

a) State the nature of the business or activity of this foreign principal

Mr. Utsunomiya is an Independent Member of the House of Representatives of the Japanese Diet, and a person of substantial wealth earned as head of a large pharmaceutical house in Japan. He is interested in fostering the extension of human rights, disarmament and world peace through improved communication between Members of the Diet and the U. S. Congress. (See attached personal history.)

b) Is this foreign principal

Owned by a foreign government, foreign political party, or other foreign principal Yes No

Directed by a foreign government, foreign political party, or other foreign principal Yes No

Controlled by a foreign government, foreign political party, or other foreign principal Yes No

Financed by a foreign government, foreign political party, or other foreign principal Yes No

Subsidized in whole by a foreign government, foreign political party, or other foreign principal Yes No

Subsidized in part by a foreign government, foreign political party, or other foreign principal Yes No

9. Explain fully all items answered "Yes" in Item 8(b). (If additional space is needed, a full insert page may be used.)

10. If the foreign principal is an organization and is not owned or controlled by a foreign government, foreign political party or other foreign principal, state who owns and controls it.

Date of Exhibit A 11/8/78	Name and Title Richard Royce - Consultant	Signature 
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PERSONAL HISTORY

RECEIVED
DEPARTMENT OF JUSTICE

1973 11 20 AM '73

Mr. Tokuma UTSUNOMIYA

FEDERAL BUREAU OF INVESTIGATION
SECTION
CRIMINAL DIVISION

Member of the House of Representatives (returned 10 times)

Tokuma Utsunomiya was born September 24, 1906 in Tokyo and spent part of his youth in Osaka and Seoul, Korea.

After graduation from the Mito Higher School in 1929, he entered the Department of Economics at Kyoto University.

In 1945, he became an editorial writer for the Yomiuri Shimbun, one of Japan's three national daily, and early took the stand Japan should abolish its post-war planned economy, a hold-over from the war years, and should adopt a free economy. At that time, wrote a "A Critique of Bureaucratic Socialism," which was well received.

Mr. Utsunomiya was instrumental in founding the Liberal Party, a predecessor of today's Liberal Democratic Party. In 1952, he was elected to the House of Representatives and has been reelected ten times from the Second Tokyo Electoral District. He has served as member or chairman of innumerable Party and Diet committees, he was also:

- Vice-Minister, Economic Administration Agency
- Vice-Chairman, LDP Policy Affairs Research Council
- Leader, LDP Afro-Asian Problem Study Group
- Member, House Committee on Foreign Affairs
- President, Japan's Industrial Exhibition in Peking and Shanghai

He has traveled widely. In addition to 11 trips to the People's Republic of China, he has visited Southeast Asia, North Africa, Europe, The Middle East, Latin and North America, Cambodia, Cuba, North Vietnam and North Korea. He was the first conservative parliamentarian to visit the latter three countries and has all along advocated peaceful coexistence and a pluralized foreign policy.

In August 1974 he had two days of discussion with Kim Il Sung, President of the Democratic People's Republic of Korea. They met again in July 1975.

In October 1976, he resigned from the Liberal Democratic Party, in protest against the party's luke-warm attitude in getting to the roots of the Lockheed payoff scandal and the party's failure to clear up the case involving the abduction of Kim Dae Jung, who was kidnapped in Tokyo and taken to South Korea in violation of Japan's national sovereignty. At the same time, he resigned his seat in the National Diet.

Following his resignation, his electorate and supporters pressed him to return to public life and, as a result, he ran as an independent in the general elections in December, 1976, and was reelected for the tenth time from the Second Tokyo Electoral District.

In addition to his political activities, Mr. Utsunomiya is the author of several books.

(January 1977)

UNITED STATES DEPARTMENT OF JUSTICE
Washington, D.C. 20530

RECEIVED
DEPARTMENT OF JUSTICE

May 28 11 50 AM '73

EXHIBIT B

TO REGISTRATION STATEMENT
Under the Foreign Agents Registration Act
of 1938, as amended

REGISTRATION UNIT
INTERNAL SECURITY
SECTION
CRIMINAL DIVISION

INSTRUCTIONS: A registrant must furnish as an Exhibit B copies of each written agreement and the terms and conditions of each oral agreement with his foreign principal, including all modifications of such agreements; or, where no contract exists, a full statement of all the circumstances, by reason of which the registrant is acting as an agent of a foreign principal. This form shall be filed in duplicate for each foreign principal named in the registration statement and must be signed by or on behalf of the registrant.

Name of Registrant	Name of Foreign Principal
Richard Buhrman Royce	Representative Tokuma Utsunomiya

Check Appropriate Boxes:

- The agreement between the registrant and the above-named foreign principal is a formal written contract. If this box is checked, attach two copies of the contract to this exhibit.
- There is no formal written contract between the registrant and foreign principal. The agreement with the above-named foreign principal has resulted from an exchange of correspondence. If this box is checked, attach two copies of all pertinent correspondence, including a copy of any initial proposal which has been adopted by reference in such correspondence.
- The agreement or understanding between the registrant and foreign principal is the result of neither a formal written contract nor an exchange of correspondence between the parties. If this box is checked, give a complete description below of the terms and conditions of the oral agreement or understanding, its duration, the fees and the expenses, if any, to be received.

There is no firm agreement or understanding. During the month of September, 1978, I introduced Mr. Utsunomiya to several Senators and Members of the House. His interest at that time was to elicit support in the Congress for the plight of the South Korean political leader, Mr. Kim Dae-jung, who was kidnapped in Tokyo in 1973 by the KCIA and has since been imprisoned and held in solitary confinement by the Park regime of South Korea. Mr. U. and I also discussed in a general way the prospects of holding a conference, or series of conferences between members of the Diet and the Congress.

- Describe fully the nature and method of performance of the above indicated agreement or understanding.

During mid-September, while conducting Mr. U. through a series of meetings with Members of the Senate and House, I learned of the existence of the "U. S. -Japan Interlegislative Council." This organization, supported by the ultra-right wing of the Liberal Democratic Party of Japan, had scheduled a conference in Tokyo for November 13-18, and had invited the Senate and House leadership and the chairmen and ranking minority members of the six standing committees in both the Senate and the House, as well as many other members. On my learning of this, Mr. U. deferred further discussion of a conference in Washington next year, and instructed me to try to prevent substantial U. S. participation in the Tokyo conference. For this purpose I was advanced \$4,000 as a retainer and \$4,000 for a future trip for me and my wife to Tokyo. (See attached.) My activity in this regard has consisted of informing a few Members, but primarily committee and leadership staff, of the right wing nature and purposes of the supporters of the proposed Tokyo conference.

5. Describe fully the activities the registrant engages in or proposes to engage in on behalf of the above foreign principal.

If Mr. Utsunomiya and I reach agreement regarding the plan to hold a series of bi-annual conferences between bi-partisan Members of the Senate and House, and Members of the Diet representing the full political spectrum, except for the Communist Party, my task will be to direct the effort in planning, making arrangements, and securing the participation of U. S. Members of the Senate and the House, and leading scholars in the respective fields which might be addressed by the conferees. These would be in such areas of mutual interest as U. S. -Japan trade relations, bilateral cooperation in economic development programs and projects in the ASEAN nations, the use of our joint economic leverage to extend human rights in East Asia and the Pacific, maintaining the one percent of GNP limit on Japanese armaments, and the reduction of the arms level on the Korean Peninsula -- North and South. These topics are suggestive of the sort of conferences that we currently envisage

It is my hope that by the end of the first year we will be able to enlist joint funding from the private sector and foundations in both the U. S. and Japan.

6. Will the activities on behalf of the above foreign principal include political activities as defined in Section 1(o) of the Act?^{1/} Yes No

If yes, describe all such political activities indicating, among other things, the relations, interests or policies to be influenced together with the means to be employed to achieve this purpose.

My political activities will probably consist of talking with and writing to the appropriate Members of the Senate and House and committee and leadership staff to elicit their interest and support in behalf of the policies indicated in Par. 5 above. I shall also attempt to coordinate with the Departments of State and Commerce when it seems advisable.

Broadly speaking, the purposes of my activities will be to achieve better understanding and communication between the Congress and the Diet, with respect to improving the U. S. -Japan trade balance, more bilateral cooperation in the peaceful economic development of the Far East and Pacific region, extension of human rights in the region, reducing the volatility of the Korean Peninsula, and improving the general climate for reduction of arms.

Date of Exhibit B	Name and Title	Signature
November 20, 1978	Richard B. Royce Governmental Affairs Consultant	

^{1/} Political activity as defined in Section 1(o) of the Act means the dissemination of political propaganda and any other activity which the person engaging therein believes will, or which he intends to, prevail upon, indoctrinate, convert, induce, persuade, or in any other way influence any agency or official of the Government of the United States or any section of the public within the United States with reference to formulating, adopting, or changing the domestic or foreign policies of the United States or with reference to the political or public interests, policies, or relations of a government of a foreign country or a foreign political party.

Tokuma Utsunomiya

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OCT 10 11 50 AM '78
INTERNAL SECURITY
SECTION
CRIMINAL DIVISION

Member of The House of Representatives
Room 726, Dai-ichi Gin-kaikan, Nagata-cho, Chiyoda-ku, Tokyo 100, Japan

October 4, 1978

Mr. Richard Royce
Room 986, National Press Building
Washington D.C., 20045
U. S. A.

Dear Mr. Royce;

I would like to express my sincere appreciation to you for your dedication and for the efforts you made on my behalf during my recent visit to Washington. As a result of your efforts, my trip was that much more meaningful.

I was particularly happy to have gained during my latest visit to Washington such friends as you and Mr. Taft. You both impressed me with your love of freedom and justice and with your deep knowledge of U.S. politics.

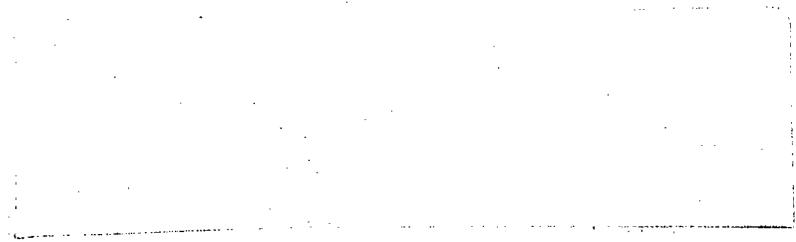
I believe that we must develop closer U.S.-Japan relations in the future in order to achieve the noble ideals of world disarmament, universal human rights and peaceful construction on global basis. For this, I pray for your continued cooperation and friendship.

I look forward to your visiting Japan with your wife in November.

Please convey my best regards to Mr. and Mrs. Harold Taft.

Sincerely,

Tokuma Utsunomiya
Tokuma Utsunomiya



Tokuma Utsunomiya

Member of The House of Representatives

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Sincerely,


Tokuma Utsunomiya

RICHARD ROYCE
CONSULTANT

October 6, 1978

Mr. Tokuma Utsunomiya
the house of representative
Daiichi ginkaikan, Room 636
2-2-1 nagata-cho, chiyoda-Ku,
Tokyo, Japan

XXXXXXXXXX
XXXXXXXXXXXXXXXXXXXX
XXXXXXXXXXXXXXXXXXXX
XXXXXXXXXXXX
986 National Press Building
Washington, D. C. 20045
(202) 347-7007

Dear Mr. Utsunomiya sensei,

I regret the delay in writing to you, but it has been a busy time since you left Washington. It was indeed a pleasure to be with you during those several days, and my wife and I are anticipating with much excitement our visit to Japan next month.

The effort to discourage Senators and Members of the House from attending the Kishi conference is, I believe, proceeding well. None of the official Democratic leaders of the House or Senate will attend, and I know of none of the Committee Chairmen from the Senate who will attend. The Kishi/Nakao group, however, is still actively trying to solicit attendance, and they have sent to Washington two more Diet members and their American consultant, Victor E. Johnson, since your departure. I have been told by an assistant to the Chairman of the House Committee on Agriculture, Rep. Tom Foley (who is not attending), that they have even offered to put on special airplanes. In the present "Koreagate" climate, very few if any of our Members would accept such privileged treatment.

There may be a few of our more right wing Senators who will attend, though I know of no Democratic Senator who plans to attend. Therefore, I am now directing most of my efforts to the House of Representatives. I have been told that a fairly large contingent from the House Appropriations Committee and the Armed Services Committee is planning to attend, and I am now in the process of trying to persuade the Democratic Members not to do so.

I have also been informed that Rep. Don Fuqua, the next Chairman of the House Science and Technology Committee, is actively trying to get members of that committee to join him in attendance. He is very interested in new sources of energy, and has probably been manipulated by the Fukuda initiative to Carter on a joint Japanese-U. S. energy program, and the fact that Fukuda is the Honorary Chairman of the U. S. -Japan Interlegislative Council. I am seeking to dissuade him from going.

There will most likely be some Members from the House who will attend the meeting, and maybe a Senator or two, but those who do attend will know that the right wing of the LDP is sponsoring the symposium, and they will not be manipulated or exploited.

October 6, 1978

Mr. Tokuma Utusnomiya

I shall write to you again giving the details of our arrival in Tokyo, and will send a short biography that Mr. Murakami requested. With warm personal regards and deep respect,
I am

Sincerely,


Richard Royce

ENCL

RR/rw

RICHARD ROYCE
CONSULTANT

October 6, 1978

Mr. Tokuma Utsunomiya
the house of representative
Daichi ginkaikan, Room 636
2-2-1 nagata-cho, chiyoda-Ku,
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