

For Six Month Period Ending 31 October 2010
(Insert date)

I - REGISTRANT

1. (a) Name of Registrant
Independent Diplomat, Inc.

(b) Registration No.
5860

(c) Business Address(es) of Registrant
137 Second Avenue, 2nd floor
New York, NY 10003

2. Has there been a change in the information previously furnished in connection with the following:

- (a) If an individual:
- | | | |
|---------------------------|------------------------------|-----------------------------|
| (1) Residence address(es) | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (2) Citizenship | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (3) Occupation | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
- (b) If an organization:
- | | | |
|--------------------------|------------------------------|--|
| (1) Name | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (2) Ownership or control | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (3) Branch offices | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
- (c) Explain fully all changes, if any, indicated in items (a) and (b) above.

NSD/CES/REGISTRATION
UNIT
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IF THE REGISTRANT IS AN INDIVIDUAL, OMIT RESPONSE TO ITEMS 3, 4 AND 5(a).

3. If you have previously filed Exhibit C¹, state whether any changes therein have occurred during this 6 month reporting period.

Yes No

If yes, have you filed an amendment to the Exhibit C? Yes No

If no, please attach the required amendment.

¹ The Exhibit C, for which no printed form is provided, consists of a true copy of the charter, articles of incorporation, association, and by laws of a registrant that is an organization. (A waiver of the requirement to file an Exhibit C may be obtained for good cause upon written application to the Assistant Attorney General, National Security Division, U.S. Department of Justice, Washington, DC 20530.)

4. (a) Have any persons ceased acting as partners, officers, directors or similar officials of the registrant during this 6 month reporting period? Yes No

If yes, furnish the following information:

Name	Position	Date connection ended
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(b) Have any persons become partners, officers, directors or similar officials during this 6 month reporting period?

Yes No

If yes, furnish the following information:

Name	Residence address	Citizenship	Position	Date assumed
Alice Henkin	The Aspen Institute, 1585 Broadway 16th Fl New York, NY 10036	USA	Director	5 May 2010

5. (a) Has any person named in item 4(b) rendered services directly in furtherance of the interests of any foreign principal?

Yes No

If yes, identify each such person and describe his service.

(b) Have any employees or individuals, who have filed a short form registration statement, terminated their employment or connection with the registrant during this 6 month reporting period? Yes No

If yes, furnish the following information:

Name	Position or connection	Date terminated
Leanne Smith	UN Representative	11 June 2010
Tom Miller	Director, Washington DC	13 September 2010

(c) During this 6 month reporting period, has the registrant hired as employees or in any other capacity, any persons who rendered or will render services to the registrant directly in furtherance of the interests of any foreign principal(s) in other than a clerical or secretarial, or in a related or similar capacity? Yes No

If yes, furnish the following information:

Name	Residence address	Citizenship	Position	Date assumed
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6. Have short form registration statements been filed by all of the persons named in Items 5(a) and 5(c) of the supplemental statement?

Yes No

If no, list names of persons who have not filed the required statement.

II - FOREIGN PRINCIPAL

7. Has your connection with any foreign principal ended during this 6 month reporting period?

Yes No

If yes, furnish the following information:

Name of foreign principal

Date of termination

8. Have you acquired any new foreign principal² during this 6 month reporting period?

Yes No

If yes, furnish the following information:

Name and address of foreign principal

Date acquired

Government of the Republic of Somaliland

15 October 2010

9. In addition to those named in Items 7 and 8, if any, list foreign principals² whom you continued to represent during the 6 month reporting period.

Government of the Saharawi Arab Democratic Republic (SADR)
Government of Southern Sudan (GoSS)

10. **EXHIBITS A AND B**

(a) Have you filed for each of the newly acquired foreign principals in Item 8 the following:

Exhibit A³ Yes No
Exhibit B⁴ Yes No

If no, please attach the required exhibit.

(b) Have there been any changes in the Exhibits A and B previously filed for any foreign principal whom you represented during the 6 month period? Yes No

If yes, have you filed an amendment to these exhibits? Yes No

If no, please attach the required amendment.

² The term "foreign principal" includes, in addition to those defined in Section 1(b) of the Act, an individual organization any of whose activities are directly or indirectly supervised, directed, controlled, financed, or subsidized in whole or in major part by a foreign government, foreign political party, foreign organization or foreign individual. (See Rule 100(a)(9).) A registrant who represents more than one foreign principal is required to list in the statements he files under the Act only those principals for whom he is not entitled to claim exemption under Section 3 of the Act. (See Rule 208.)

³ The Exhibit A, which is filed on Form NSD-3 (Formerly CRM-157), sets forth the information required to be disclosed concerning each foreign principal.

⁴ The Exhibit B, which is filed on Form NSD-4 (Formerly CRM-155), sets forth the information concerning the agreement or understanding between the registrant and the foreign principal.

III - ACTIVITIES

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11. During this 6 month reporting period, have you engaged in any activities for or rendered any services to any foreign principal named in Items 7, 8, and 9 of this statement? Yes No

If yes, identify each such foreign principal and describe in full detail your activities and services:

During the six month reporting period, ID met with officials at the State Department, in Congress, and at the US Mission to the UN on behalf of GoSS, and the SADR. During these meetings we discussed US policies and thinking on matters of importance to our clients. No activities were performed on behalf of the Government of Somaliland.

-
12. During this 6 month reporting period, have you on behalf of any foreign principal engaged in political activity⁵ as defined below? Yes No

If yes, identify each such foreign principal and describe in full detail all such political activity, indicating, among other things, the relations, interests and policies sought to be influenced and the means employed to achieve this purpose. If the registrant arranged, sponsored or delivered speeches, lectures or radio and TV broadcasts, give details as to dates and places of delivery, names of speakers and subject matter.

Meetings with US officials had a two-fold purpose. One, to discuss and understand US policy on matters affecting our clients, and two, to brief these officials on initiatives of our clients.

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13. In addition to the above described activities, if any, have you engaged in activity on your own behalf which benefits any or all of your foreign principals? Yes No

If yes, describe fully.

⁵ The term "political activities" means any activity that the person engaging in believes will, or that the person intends to, in any way influence any agency or official of the Government of the United States or any section of the public within the United States with reference to formulating, adopting or changing the domestic or foreign policies of the United States or with reference to the political or public interests, policies, or relations of a government of a foreign country or a foreign political party.

IV - FINANCIAL INFORMATION

14. (a) RECEIPTS - MONIES

During this 6 month reporting period, have you received from any foreign principal named in Items 7, 8, or 9 of this statement, or from any other source, for or in the interests of any such foreign principal, any contributions, income or money either as compensation or otherwise? Yes No

If no, explain why.

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies⁶.

Date	From whom	Purpose	Amount
see attached.			

\$232,458

Total

(b) RECEIPTS - FUND RAISING CAMPAIGN

During this 6 month reporting period, have you received, as part of a fund raising campaign⁷, any money on behalf of any foreign principal named in items 7, 8, or 9 of this statement? Yes No

If yes, have you filed an Exhibit D⁸ to your registration? Yes No

If yes, indicate the date the Exhibit D was filed. Date _____

(c) RECEIPTS - THINGS OF VALUE

During this 6 month reporting period, have you received any thing of value⁹ other than money from any foreign principal named in Items 7, 8, or 9 of this statement, or from any other source, for or in the interests of any such foreign principal? Yes No

If yes, furnish the following information:

Name of foreign principal	Date received	Description of thing of value	Purpose
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6, 7 A registrant is required to file an Exhibit D if he collects or receives contributions, loans, money, or other things of value for a foreign principal, as part of a fund raising campaign. (See Rule 201(e).)

8 An Exhibit D, for which no printed form is provided, sets forth an account of money collected or received as a result of a fund raising campaign and transmitted for a foreign principal.

9 Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

(b) DISBURSEMENTS – THINGS OF VALUE

During this 6 month reporting period, have you disposed of anything of value¹⁰ other than money in furtherance of or in connection with activities on behalf of any foreign principal named in Items 7, 8, or 9 of this statement?

Yes No

If yes, furnish the following information:

Date disposed	Name of person to whom given	On behalf of what foreign principal	Description of thing of value	Purpose
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(c) DISBURSEMENTS – POLITICAL CONTRIBUTIONS

During this 6 month reporting period, have you from your own funds and on your own behalf either directly or through any other person, made any contributions of money or other things of value¹¹ in connection with an election to any political office, or in connection with any primary election, convention, or caucus held to select candidates for political office?

Yes No

If yes, furnish the following information:

Date	Amount or thing of value	Name of political organization	Name of candidate
------	--------------------------	--------------------------------	-------------------

^{10, 11} Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks" and the like.

V - INFORMATIONAL MATERIALS

16. During this 6 month reporting period, did you prepare, disseminate or cause to be disseminated any informational materials¹²?
Yes No

IF YES, RESPOND TO THE REMAINING ITEMS IN SECTION V.

17. Identify each such foreign principal.

18. During this 6 month reporting period, has any foreign principal established a budget or allocated a specified sum of money to finance your activities in preparing or disseminating informational materials? Yes No

If yes, identify each such foreign principal, specify amount, and indicate for what period of time.

19. During this 6 month reporting period, did your activities in preparing, disseminating or causing the dissemination of informational materials include the use of any of the following:

- Radio or TV broadcasts
- Magazine or newspaper articles
- Motion picture films
- Letters or telegrams
- Advertising campaigns
- Press releases
- Pamphlets or other publications
- Lectures or speeches
- Internet
- Other (specify) _____

20. During this 6 month reporting period, did you disseminate or cause to be disseminated informational materials among any of the following groups:

- Public officials
- Newspapers
- Libraries
- Legislators
- Editors
- Educational institutions
- Government agencies
- Civic groups or associations
- Nationality groups
- Other (specify) _____

21. What language was used in the informational materials:

- English
- Other (specify) _____

22. Did you file with the Registration Unit, U.S. Department of Justice a copy of each item of such informational materials disseminated or caused to be disseminated during this 6 month reporting period? Yes No

23. Did you label each item of such informational materials with the statement required by Section 4(b) of the Act? Yes No

¹² The term informational materials includes any oral, visual, graphic, written, or pictorial information or matter of any kind, including that published by means of advertising, books, periodicals, newspapers, lectures, broadcasts, motion pictures, or any means or instrumentality of interstate or foreign commerce or otherwise. Informational materials disseminated by an agent of a foreign principal as part of an activity in itself exempt from registration, or an activity which by itself would not require registration, need not be filed pursuant to Section 4(b) of the Act.

VI - EXECUTION

In accordance with 28 U.S.C. §1746, the undersigned swear(s) or affirm(s) under penalty of perjury that he/she has (they have) read the information set forth in this registration statement and the attached exhibits and that he/she is (they are) familiar with the contents thereof and that such contents are in their entirety true and accurate to the best of his/her (their) knowledge and belief, except that the undersigned make(s) no representation as to the truth or accuracy of the information contained in the attached Short Form Registration Statement(s), if any, insofar as such information is not within his/her (their) personal knowledge.

(Date of signature)

(Type or print name under each signature¹³)

16 December 2010

Susanna Emmet
Susanna Emmet

¹³ This statement shall be signed by the individual agent, if the registrant is an individual, or by a majority of those partners, officers, directors or persons performing similar functions, if the registrant is an organization, except that the organization can, by power of attorney, authorize one or more individuals to execute this statement on its behalf.

UNITED STATES DEPARTMENT OF JUSTICE
FARA REGISTRATION UNIT
NATIONAL SECURITY DIVISION
WASHINGTON, D.C. 20530

NOTICE

Please answer the following questions and return this sheet in triplicate with your Supplemental Statement:

1. Is your answer to Item 16 of Section V (Informational Materials – page 8 of Form NSD-2, formerly Form CRM-154 Supplemental Statement):

YES _____ or NO X _____

(If your answer to question 1 is "yes" do not answer question 2 of this form.)

2. Do you disseminate any material in connection with your registration:

YES _____ or NO X _____

(If your answer to question 2 is "yes" please forward for our review copies of all material including: press releases, film catalogs, posters, brochures, press releases, etc. which you have disseminated during the past six months.)

NSD/CES/REGISTRATION
UNIT
JAN -3 PM 2:50

Susanna Emmet
Signature

16 Dec 2010
Date

Susanna Emmet
Please type or print name of
Signatory on the line above

Managing Director
Title



U.S. Department of Justice

National Security Division

Washington, DC 20530

THIS FORM IS TO BE AN OFFICIAL ATTACHMENT TO YOUR CURRENT SUPPLEMENTAL
STATEMENT - PLEASE EXECUTE IN TRIPLICATE

SHORT-FORM REGISTRATION INFORMATION SHEET

SECTION A

The Department records list active short-form registration statements for the following persons of your organization filed on the date indicated by each name. If a person is not still functioning in the same capacity directly on behalf of the foreign principal, please show the date of termination.

Short Form List for Registrant: Independent Diplomat, Inc.

Last Name	First Name and Other Names	Registration Date	Termination Date	Role
Jessen-Petersen	Soren	04/03/2008	15 July 2010	DL rep.
Ross	Came W.	04/03/2008		
Bialek	Dean	10/02/2008		
Lepillez	Karine	07/10/2009		
Lake	Jennifer Anne	07/10/2009		
Smith	Leanne	12/22/2009	15 June 2010	UN rep.
Lewis	Andrew Graham	01/11/2010		
Miller	Thomas J.	04/15/2010	13 Sept 2010	DL Director

ID: Chart of FARA-related meetings

1 May 2010 – 31 Oct 2010

Date of Contact	Name and Title of US Gov't Contact	Foreign Principal	How Contact Established	Subject Matter Discussed
17 Sept 10	Ambassador Princeton Lyman, Sudan Negotiation Support Unit, State Department	GoSS	Office Meeting	Independent Diplomat's work with the Government of Southern Sudan
29 Sept 10	Cameron Hudson, Director of Operation, Office of Sudan Special Envoy, State Department	GoSS	Telephone	Independent Diplomat's work with the Government of Southern Sudan
30 Sept 10	Cameron Hudson, Director of Operation, Office of Sudan Special Envoy, State Department	GoSS	Telephone	Independent Diplomat's work with the Government of Southern Sudan
22 Oct 10	Tim Shortley, US Deputy Special Envoy to Sudan, State Department	GoSS	Office Meeting	Independent Diplomat's work with the Government of Southern Sudan
22 Oct 10	Cameron Hudson, Director of Operation, Office of Sudan Special Envoy, State Department	GoSS	Office Meeting	Independent Diplomat's work with the Government of Southern Sudan
3 May 10	Michael Posner, Assistant Secretary for Democracy, Human Rights and Labor; Deborah Graze, Principal Deputy Assistant Secretary for Democracy, Human Rights and Labor; and Kathleen Fitzpatrick, Deputy Assistant Secretary for Democracy, Human Rights and Labor – State Department.	SADR and GoSS	Office meeting	Independent Diplomat's work with the SADR and the Government of Southern Sudan.
6 May 10	Margaret Nardi, Director of Maghreb Affairs, State Department	SADR	Office meeting	Independent Diplomat's work with the SADR.
11 May 10	Erica Barks-Ruggles, Deputy Permanent Representative of the US to the UN, State Department	GoSS	Office meeting	Independent Diplomat's work with the Government of Southern Sudan.
19 May 10	Scott Gration, Special Envoy to Sudan, State Department	GoSS	Phone call	Independent Diplomat's work with the Government of Southern Sudan.
20 May 10	Charles Snyder, Deputy Assistant Secretary of International Narcotics and Law Enforcement, State Department	GoSS	Office meeting	Independent Diplomat's work with the Government of Southern Sudan.

21 May 10	Perry Cammack, Staffer, Senate Foreign Relations Committee; Daniel Benaim, Staffer, Senate Foreign Relations Committee, Congress	SADR	Office meeting	Independent Diplomat's work with the SADR.
21 May 10	Sergio Aguirre, Director for Egypt, Jordan and North Africa, National Security Council	SADR	Coffee house	Independent Diplomat's work with the SADR.
16 June 10	Scott Gration, Special Envoy to Sudan, State Department; Amb. Barrie Walkley, Consul General in Juba	GoSS	Dinner	Independent Diplomat's work with the Government of Southern Sudan.
18 June 10	Jonathan Stivers, Senior Advisor to Speaker Pelosi, Congress	GoSS	Office meeting	Independent Diplomat's work with the Government of Southern Sudan.
29 June 10	Timothy Shortley, Deputy to Scott Gration, State Department	GoSS	Phone call	Independent Diplomat's work with the Government of Southern Sudan.
2 July 10	Timothy Shortley, Deputy to Scott Gration, State Department	GoSS	Meeting in State Department cafeteria	Independent Diplomat's work with the Government of Southern Sudan.
2 July 10	Susan Page, Deputy Assistant Secretary for African Affairs, State Department	GoSS	Office meeting	Independent Diplomat's work with the Government of Southern Sudan.
6 July 10	Timothy Shortley, Deputy to Scott Gration, State Department	GoSS	Office meeting	Independent Diplomat's work with the Government of Southern Sudan.
14 July 10	Amb. John Herbst, Coordinator for Reconstruction and Stabilization, State Department	GoSS	Office meeting	Independent Diplomat's work with the Government of Southern Sudan.
22 July 10	John Zavales, Office of the Coordinator for Reconstruction and Stabilization, State Department	GoSS	Coffee house	Independent Diplomat's work with the Government of Southern Sudan.
4 Aug 10	Timothy Shortley, Deputy to Scott Gration, State Department	GoSS	Office meeting	Independent Diplomat's work with the Government of Southern Sudan.
13 Aug 10	Timothy Shortley, Deputy to Scott Gration, State Department	GoSS	Office meeting	Independent Diplomat's work with the Government of Southern Sudan.
19 Aug 10	Ted Dagne, Specialist in African Affairs, Congressional Research Service	GoSS	Office meeting	Independent Diplomat's work with the Government of Southern Sudan.
27 Aug 10	Amb. Vicki Huddleston, Deputy Assistant Secretary for Africa in the Office of the Secretary of Defense, Department of Defense	GoSS, the SADR and Somaliland	Office meeting	Independent Diplomat's work with the Government of Southern Sudan, the Government of the Republic of

				Somaliland, and the SADR.
27 Aug 10	Jonathan Schwartz, Deputy Legal Adviser in Office of the Legal Adviser, State Department	GoSS	Office meeting	Independent Diplomat's work with the Government of Southern Sudan.
27 Aug 10	Andrea Freeman, Sudan Program Analyst, US Agency for International Development	GoSS	Office meeting	Independent Diplomat's work with the Government of Southern Sudan.
9 Sep 10	Karl Wycoff, Acting Deputy Assistant Secretary for African Affairs, State Department	Somaliland	Phone call	Independent Diplomat's work with the Government of the Republic of Somaliland.
10 Sep 10	Timothy Shortley, Deputy to Scott Gration, State Department	GoSS	Office meeting	Independent Diplomat's work with the Government of Southern Sudan.
15 Sep 10	Janet Sanderson, Deputy Assistant Secretary for Near Eastern Affairs, State Department	SADR	Office meeting	Independent Diplomat's work with the SADR .
20 Sep 10	Ambassador Princeton Lyman, leader of Sudan Negotiation Support Unit, State Department	GoSS	Office meeting	Independent Diplomat's work with the Government of Southern Sudan.
30 Sep 10	Brian Stout, Somalia Desk Office4, US Agency for International Development	Somaliland	Office meeting	Independent Diplomat's work with the Government of the Republic of Somaliland.
1 Oct 10	Matt Walsh, Somalia Desk Officer, State Department	Somaliland	Office meeting	Independent Diplomat's work with the Government of the Republic of Somaliland.
21 Oct 10	Sean Carroll, Chief of Staff, US Agency for International Development	Somaliland	Office meeting	Independent Diplomat's work with the Government of the Republic of Somaliland.

Date: 16 December 2010

ID: Chart of FARA-related funding

1 May 2010 – 31 Oct 2010

Part IV 14 (a)

Date funds received	Source of funds	Purpose	Amount
6 Sept 10	SADR	SADR	\$10,458
1 June 10	Humanity United	GoSS	\$60,000*
2 Aug 10	Humanity United	GoSS	\$150,000
15 Aug 10	National Endowment for Democracy	GoSS	\$12,000
Total			\$232,458

Part IV 15 (a) *

Date disbursements made	To whom	Purpose	Amount
8 June 10	GoSS	GoSS delegation NYC travel and accommodation	\$4,335.80
20 July 10	GoSS	GoSS delegation NYC travel and accommodation	\$806.80
31 August 10	GoSS	GoSS delegation NYC travel and accommodation	\$18,209.68
1 October 10	GoSS	GoSS delegation NYC travel and accommodation	\$19,742.48
1 October 10	GoSS	GoSS delegation NYC travel and accommodation	\$1,532.80
Total			\$44,627.56

* ID received \$60,000 from Humanity United to cover GoSS' travel to and accommodation in New York to facilitate their attendance at meetings of the Security Council and with UN member state missions. As of 16 December 2010, this sum has been fully disbursed to GoSS and its agents for this purpose.

16 December 2010

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Independent Diplomat ID's Dean Blalek gives you an inside look at the Cancun climate negotiations in today's Guardian:

[How trust was restored at Cancun | Environment | guardian.co.uk](#)
[www.guardian.co.uk](#)
 While Copenhagen was marked by acrimony, COP16 was different - thanks to Mexico's gracious negotiation

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 Nicholas Whyte and 2 others like this.

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Independent Diplomat ID congratulates board member Alice Henkin on receiving the Eleanor Roosevelt Human Rights Award. Click here to learn more about her remarkable contribution to human rights.

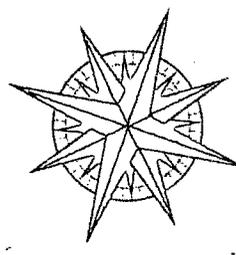
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Independent Diplomat Couldn't make it to the Personal Democracy Forum's Symposium on Wikileaks and Internet Freedom on Saturday? Check out what Carne had to say here.

PdfLeak: Carne Ross on the Diplomacy Before and After Wikileaks | techPresident
[techpresident.com](#)
 I didn't have a lot of time to digest what was being said while I was running the first session of Saturday's Pdf Symposium on Wikileaks and Internet Freedom, but as I look back, the points that stuck with me the most came from "Independent diplomat" Carne Ross. The tel...



ID INDEPENDENT DIPLOMAT
 THE INTERNATIONAL DIPLOMATIC CENTER

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Additional information about ID's work with the Governments of Southern Sudan and the Saharawi Arab Democratic Republic is available at the Department of Justice, Washington, D.C.

Information
 Founded: 2004

Insights
 See All
 1,309 Monthly Active Users
 8 Daily New Likes
 488 Daily Post Views
 10 Daily Post Feedback
 Insights are visible to page admins only.

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 Get more people to like your Page with Facebook Ads! Preview below.

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 Lili Barbaun likes this.



Write a comment...

Independent Diplomat ID congratulates board member Alice Henkin on receiving the Eleanor Roosevelt Human Rights Award. Click here to learn more about her remarkable contribution to human rights.

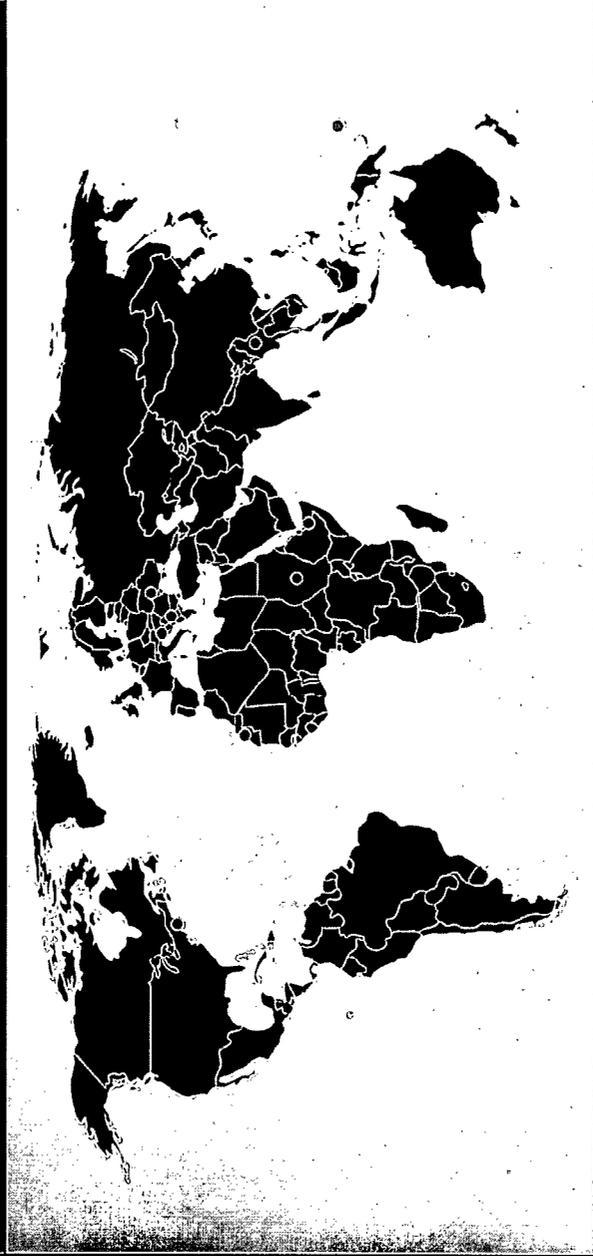
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 Remarks by Secretary Clinton and Assistant Secretary Posner at Eleanor Roosevelt Award Ceremony

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OUR WORK

ID offers expert and impartial advice and assistance in diplomatic strategy and technique to governments, political groups and NGOs. We work particularly on conflict areas and issues under discussion at the UN and other international bodies. ID is an independent organisation, not connected to any government or international institution. ID only helps those who support democracy, human rights and the rule of law, and do not promote unlawful violence.

Additional information about ID's work with the Governments of Southern Sudan and the Saharawi Arab Democratic Republic is available at the Department of Justice, Washington, DC.

Our Projects

- Meet the Parties
- Moldova
- RMI/Climate Change
- Somaliland
- Southern Sudan
- Western Sahara