

U.S. Department of Justice

Washington, DC 20530

Supplemental Statement

Pursuant to the Foreign Agents Registration Act of 1938, as amended

For Six Month Period Ending 4/30/2013

(Insert date)

I - REGISTRANT

- 1. (a) Name of Registrant (b) Registration No.

Independent Diplomat, Inc.

5860

- (c) Business Address(es) of Registrant

45 E 20th Street, 6th floor
New York, NY 10003

- 2. Has there been a change in the information previously furnished in connection with the following?

- (a) If an individual:

- (1) Residence address(es) Yes No
- (2) Citizenship Yes No
- (3) Occupation Yes No

- (b) If an organization:

- (1) Name Yes No
- (2) Ownership or control Yes No
- (3) Branch offices Yes No

- (c) Explain fully all changes, if any, indicated in Items (a) and (b) above.

N/A

IF THE REGISTRANT IS AN INDIVIDUAL, OMIT RESPONSE TO ITEMS 3, 4, AND 5(a).

- 3. If you have previously filed Exhibit C¹, state whether any changes therein have occurred during this 6 month reporting period.

Yes No

If yes, have you filed an amendment to the Exhibit C? Yes No

If no, please attach the required amendment.

¹ The Exhibit C, for which no printed form is provided, consists of a true copy of the charter, articles of incorporation, association, and by laws of a registrant that is an organization. (A waiver of the requirement to file an Exhibit C may be obtained for good cause upon written application to the Assistant Attorney General, National Security Division, U.S. Department of Justice, Washington, DC 20530.)

4. (a) Have any persons, ceased acting as partners, officers, directors or similar officials of the registrant during this 6 month reporting period?

Yes No

If yes, furnish the following information:

Name	Position	Date Connection Ended
Jonathan Auerbach	Director	11/29/2012

(b) Have any persons become partners, officers, directors or similar officials during this 6 month reporting period?

Yes No

If yes, furnish the following information:

Name	Residence Address	Citizenship	Position	Date Assumed
------	-------------------	-------------	----------	--------------

5. (a) Has any person named in Item 4(b) rendered services directly in furtherance of the interests of any foreign principal?

Yes No

If yes, identify each such person and describe the service rendered.

(b) During this six month reporting period, has the registrant hired as employees or in any other capacity, any persons who rendered or will render services to the registrant directly in furtherance of the interests of any foreign principal(s) in other than a clerical or secretarial, or in a related or similar capacity? Yes No

Name	Residence Address	Citizenship	Position	Date Assumed
------	-------------------	-------------	----------	--------------

(c) Have any employees or individuals, who have filed a short form registration statement, terminated their employment or connection with the registrant during this 6 month reporting period? Yes No

If yes, furnish the following information:

Name	Position or Connection	Date Terminated
Susanna Emmet	Managing Director	07/10/2012

(d) Have any employees or individuals, who have filed a short form registration statement, terminated their connection with any foreign principal during this 6 month reporting period? Yes No

If yes, furnish the following information:

Name	Position or Connection	Foreign Principal	Date Terminated
------	------------------------	-------------------	-----------------

6. Have short form registration statements been filed by all of the persons named in Items 5(a) and 5(b) of the supplemental statement?

Yes No

If no, list names of persons who have not filed the required statement.

II - FOREIGN PRINCIPAL

7. Has your connection with any foreign principal ended during this 6 month reporting period? Yes No
- If yes, furnish the following information:

Foreign Principal

Date of Termination

8. Have you acquired any new foreign principal(s)² during this 6 month reporting period? Yes No
- If yes, furnish the following information:

Name and Address of Foreign Principal(s)

Date Acquired

US Office of the National Coalition of Syrian Revolution and Opposition
Forces (Syrian Coalition)

04/08/2013

9. In addition to those named in Items 7 and 8, if any, list foreign principal(s)² whom you continued to represent during the 6 month reporting period.

Government of the Sahrawi Arab Democratic Republic (SADR)
Government of the Republic of South Sudan (GRSS)
Government of the Republic of Somaliland
Republic of the Marshall Islands (RMI)

10. (a) Have you filed exhibits for the newly acquired foreign principal(s), if any, listed in Item 8?

Exhibit A³ Yes No
Exhibit B⁴ Yes No

If no, please attach the required exhibit.

- (b) Have there been any changes in the Exhibits A and B previously filed for any foreign principal whom you represented during this six month period? Yes No

If yes, have you filed an amendment to these exhibits? Yes No

If no, please attach the required amendment.

² The term "foreign principal" includes, in addition to those defined in section 1(b) of the Act, an individual organization any of whose activities are directly or indirectly supervised, directed, controlled, financed, or subsidized in whole or in major part by a foreign government, foreign political party, foreign organization or foreign individual. (See Rule 100(a) (9)). A registrant who represents more than one foreign principal is required to list in the statements he files under the Act only those principals for whom he is not entitled to claim exemption under Section 3 of the Act. (See Rule 208.)

³ The Exhibit A, which is filed on Form NSD-3 (Formerly CRM-157) sets forth the information required to be disclosed concerning each foreign principal.

⁴ The Exhibit B, which is filed on Form NSD-4 (Formerly CRM-155) sets forth the information concerning the agreement or understanding between the registrant and the foreign principal.

III - ACTIVITIES

-
11. During this 6 month reporting period, have you engaged in any activities for or rendered any services to any foreign principal named in Items 7, 8, or 9 of this statement? Yes No

If yes, identify each foreign principal and describe in full detail your activities and services:

During the reporting period, ID met and corresponded with officials at the State Department on behalf of:

1. SADR: The diplomacy involving the SADR, including at the United Nations
2. GRSS: The diplomacy involving the Republic of South Sudan, including at the United Nations
3. RMI: The diplomacy involving the RMI, including at the United Nations
4. Somaliland: The diplomacy involving the Republic of Somaliland, including at the United Nations
5. Syrian Coalition: The diplomacy involving Syria, including at the United Nations

-
12. During this 6 month reporting period, have you on behalf of any foreign principal engaged in political activity⁵ as defined below? Yes No

If yes, identify each such foreign principal and describe in full detail all such political activity, indicating, among other things, the relations, interests and policies sought to be influenced and the means employed to achieve this purpose. If the registrant arranged, sponsored or delivered speeches, lectures or radio and TV broadcasts, give details as to dates, places of delivery, names of speakers and subject matter.

Meetings with US officials have a two-fold purpose: 1) to discuss and understand US policy on matters affecting our clients; and (2) to brief these officials on initiatives of our clients. Please see attached list of meetings.

-
13. In addition to the above described activities, if any, have you engaged in activity on your own behalf which benefits your foreign principal(s)? Yes No

If yes, describe fully.

Carne Ross is a regular contributor to public debates on international affairs.

Mathias Vaa participated in a panel discussion at a conference on Western Sahara on 3 March 2013 at Adelphi University.

⁵ The term "political activity" means any activity that the person engaging in believes will, or that the person intends to, in any way influence any agency or official of the Government of the United States or any section of the public within the United States with reference to formulating, adopting or changing the domestic or foreign policies of the United States or with reference to political or public interests, policies, or relations of a government of a foreign country or a foreign political party.

IV - FINANCIAL INFORMATION**14. (a) RECEIPTS-MONIES**

During this 6 month reporting period, have you received from any foreign principal named in Items 7, 8, or 9 of this statement, or from any other source, for or in the interests of any such foreign principal, any contributions, income or money either as compensation or otherwise? Yes No

If no, explain why.

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies.⁶

Date	From Whom	Purpose	Amount
11/23/2012	Government of Switzerland	GRSS project	\$150,000.00
3/11/2013	Government of Switzerland	GRSS project	\$ 34,363.00
3/26/2013	Government of the Sahrawi Arab Democratic Republic	SADR project	\$ 10,000.00
			\$ 194,363.00
			Total

(b) RECEIPTS - FUNDRAISING CAMPAIGN

During this 6 month reporting period, have you received, as part of a fundraising campaign⁷, any money on behalf of any foreign principal named in Items 7, 8, or 9 of this statement? Yes No

If yes, have you filed an Exhibit D to your registration? Yes No

If yes, indicate the date the Exhibit D was filed. Date _____

(c) RECEIPTS-THINGS OF VALUE

During this 6 month reporting period, have you received any thing of value⁹ other than money from any foreign principal named in Items 7, 8, or 9 of this statement, or from any other source, for or in the interests of any such foreign principal?

Yes No

If yes, furnish the following information:

Foreign Principal	Date Received	Thing of Value	Purpose
-------------------	---------------	----------------	---------

^{6, 7} A registrant is required to file an Exhibit D if he collects or receives contributions, loans, moneys, or other things of value for a foreign principal, as part of a fundraising campaign. (See Rule 201(e)).

⁸ An Exhibit D, for which no printed form is provided, sets forth an account of money collected or received as a result of a fundraising campaign and transmitted for a foreign principal.

⁹ Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

15. (a) **DISBURSEMENTS-MONIES**

During this 6 month reporting period, have you

(1) disbursed or expended monies in connection with activity on behalf of any foreign principal named in Items 7, 8, or 9 of this statement? Yes No

(2) transmitted monies to any such foreign principal? Yes No

If no, explain in full detail why there were no disbursements made on behalf of any foreign principal.

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies, including monies transmitted, if any, to each foreign principal.

Date	To Whom	Purpose	Amount
------	---------	---------	--------

Total

(b) **DISBURSEMENTS-THINGS OF VALUE**

During this 6 month reporting period, have you disposed of anything of value¹⁰ other than money in furtherance of or in connection with activities on behalf of any foreign principal named in Items 7, 8, or 9 of this statement?

Yes No

If yes, furnish the following information:

Date	Recipient	Foreign Principal	Thing of Value	Purpose
------	-----------	-------------------	----------------	---------

(c) **DISBURSEMENTS-POLITICAL CONTRIBUTIONS**

During this 6 month reporting period, have you from your own funds and on your own behalf either directly or through any other person, made any contributions of money or other things of value¹¹ in connection with an election to any political office, or in connection with any primary election, convention, or caucus held to select candidates for political office?

Yes No

If yes, furnish the following information:

Date	Amount or Thing of Value	Political Organization or Candidate	Location of Event
------	--------------------------	-------------------------------------	-------------------

^{10, 11} Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks" and the like.

V - INFORMATIONAL MATERIALS

16. (a) During this 6 month reporting period, did you prepare, disseminate or cause to be disseminated any informational materials?¹²
 Yes No

If Yes, go to Item 17.

(b) If you answered No to Item 16(a), do you disseminate any material in connection with your registration?
 Yes No

If Yes, please forward the materials disseminated during the six month period to the Registration Unit for review.

17. Identify each such foreign principal.
 N/A

18. During this 6 month reporting period, has any foreign principal established a budget or allocated a specified sum of money to finance your activities in preparing or disseminating informational materials? Yes No

If yes, identify each such foreign principal, specify amount, and indicate for what period of time.

19. During this 6 month reporting period, did your activities in preparing, disseminating or causing the dissemination of informational materials include the use of any of the following:

- Radio or TV broadcasts
- Magazine or newspaper
- Motion picture films
- Letters or telegrams
- Advertising campaigns
- Press releases
- Pamphlets or other publications
- Lectures or speeches
- Other (specify) _____

Electronic Communications

- Email
- Website URL(s): www.independentdiplomat.org
- Social media websites URL(s): Facebook: www.facebook.com/independentdiplomat
- Other (specify) Twitter: @IndependentDiplomat

20. During this 6 month reporting period, did you disseminate or cause to be disseminated informational materials among any of the following groups:

- Public officials
- Newspapers
- Libraries
- Legislators
- Editors
- Educational institutions
- Government agencies
- Civic groups or associations
- Nationality groups
- Other (specify) _____

21. What language was used in the informational materials:

- English
- Other (specify) _____

22. Did you file with the Registration Unit, U.S. Department of Justice a copy of each item of such informational materials disseminated or caused to be disseminated during this 6 month reporting period? Yes No

23. Did you label each item of such informational materials with the statement required by Section 4(b) of the Act? Yes No

¹² The term informational materials includes any oral, visual, graphic, written, or pictorial information or matter of any kind, including that published by means of advertising, books, periodicals, newspapers, lectures, broadcasts, motion pictures, or any means or instrumentality of interstate or foreign commerce or otherwise. Informational materials disseminated by an agent of a foreign principal as part of an activity in itself exempt from registration, or an activity which by itself would not require registration, need not be filed pursuant to Section 4(b) of the Act.

VI - EXECUTION

In accordance with 28 U.S.C. § 1746, the undersigned swear(s) or affirm(s) under penalty of perjury that he/she has (they have) read the information set forth in this registration statement and the attached exhibits and that he/she is (they are) familiar with the contents thereof and that such contents are in their entirety true and accurate to the best of his/her (their) knowledge and belief, except that the undersigned make(s) no representation as to truth or accuracy of the information contained in the attached Short Form Registration Statement(s), if any, insofar as such information is not within his/her (their) personal knowledge.

(Date of signature)

(Print or type name under each signature or provide electronic signature¹³)

May 30, 2013

/s/ Carne William Ross

eSigned

¹³ This statement shall be signed by the individual agent, if the registrant is an individual, or by a majority of those partners, officers, directors or persons performing similar functions, if the registrant is an organization, except that the organization can, by power of attorney, authorize one or more individuals to execute this statement on its behalf.

ID: Chart of FARA-related activity

Date of Contact	Name and Title of: - US Gov't official - media outlet - business, civic group, academic community, etc.	Foreign Principal	How Contact Established (telephone, office meeting, letter, lunch etc.)	Subject Matter Discussed
02/06/13	Ambassador Elizabeth Cousens, US Mission to the UN	RMI	Email	Minister Tony de Brum visit to NY for UNSC Arria format meeting
04/18/13	Zac Rothschild, Foreign Service Officer, Near East Office, DRL, Department of State	SADR/POLISARIO	Telephone	Human rights in Western Sahara
04/19/13	Dr Erika Bickford, Adviser, Office of Senator Bernie Sanders	RMI	Office meeting, US Senate, Washington DC	US Climate change policy
04/20/13	Todd Stern, Special Climate Envoy, Department of State	RMI	Office meeting, State Department, Washington DC	US and international climate policy
03/06/13	Trigg Talley, Director, Office of Global Change, US State Department Keith Benes, Attorney-Adviser, Office of the Legal Adviser, US State Department Meredeth Ryder-Rude, Foreign Affairs Officer, Office of Global Change, US State Department	RMI	Meeting	Independent Diplomat's work with the Republic of the Marshall Islands (RMI)

Date of Contact	Name and Title of: - US Gov't official - media outlet - business, civic group, academic community, etc.	Foreign Principal	How Contact Established (telephone, office meeting, letter, lunch etc.)	Subject Matter Discussed
02/25/13	Andy Burnett, Office of the U.S. Special Envoy for Sudan and South Sudan, State Department	Government of South Sudan	Meeting	Independent Diplomat's work with the Government of the Republic of South Sudan
02/25/13	Pamela Fierst, Bureau of African Affairs, State Department	Government of Somaliland	Meeting	Independent Diplomat's work with the Government of Somaliland
02/25/13	Rob Satrom, Somalia Desk Officer, Bureau of African Affairs, State Department	Government of Somaliland	Meeting	Independent Diplomat's work with the Government of Somaliland
02/26/13	Greg Pollock, Regional Director, Office of African Affairs, Office of the Secretary of Defense, Department of Defense	Government of South Sudan Government of Somaliland	Meeting	Independent Diplomat's work with the Government of the Republic of South Sudan and the Government of Somaliland
02/26/13	Chidi Blyden, Special Assistant, Country Director, Somalia, Office of the Secretary of Defense for Policy - African Affairs, Department of Defense	Government of Somaliland	Meeting	Independent Diplomat's work with the Government of Somaliland
02/26/13	Pauline Kusiak, Office of African Affairs, Office of the Secretary of Defense, Department of Defense	Government of South Sudan	Meeting	Independent Diplomat's work with the Government of the Republic of South Sudan

Date of Contact	Name and Title of: - US Gov't official - media outlet - business, civic group, academic community, etc.	Foreign Principal	How Contact Established (telephone, office meeting, letter, lunch etc.)	Subject Matter Discussed
02/26/13	Chidi Blyden, Special Assistant, Country Director, Somalia, Office of the Secretary of Defense for Policy - African Affairs, Department of Defense	Government of Somaliland	E-mail	Independent Diplomat's work with the Government of Somaliland
03/15/13	Chidi Blyden, Special Assistant, Country Director, Somalia, Office of the Secretary of Defense for Policy - African Affairs, Department of Defense	Government of Somaliland	E-mail	Independent Diplomat's work with the Government of Somaliland
04/17/13	Chidi Blyden, Special Assistant, Country Director, Somalia, Office of the Secretary of Defense for Policy - African Affairs, Department of Defense	Government of Somaliland	Phone call	Independent Diplomat's work with the Government of Somaliland
04/17/13	Chidi Blyden, Special Assistant, Country Director, Somalia, Office of the Secretary of Defense for Policy - African Affairs, Department of Defense	Government of Somaliland	E-mail	Independent Diplomat's work with the Government of Somaliland
12/02/12	Gail Horak, Political Officer, US Mission to UN	SADR	Coffee	Independent Diplomat's work with Western Sahara
02/13/13	Kate Leonard, Morocco Desk Officer, US State Department	SADR	Coffee	Independent Diplomat's work with Western Sahara
02/13/13	Beth Smith, Multilateral Officer, US State Department	SADR	Coffee	Independent Diplomat's work with Western Sahara
04/04/13	Curtis Ried, Middle East Officer, US Mission to UN	Syrian Coalition	Coffee	Independent Diplomat's work on Syria

Date of Contact	Name and Title of: - US Gov't official - media outlet - business, civic group, academic community, etc.	Foreign Principal	How Contact Established (telephone, office meeting, letter, lunch etc.)	Subject Matter Discussed
04/19/13	Tressa Finerty, Political Counselor, US Mission to UN	SADR	Office Meeting	Independent Diplomat's work with Western Sahara
04/19/13	Gail Horak, Political Officer, US Mission to UN	SADR	Office Meeting	Independent Diplomat's work with Western Sahara