

U.S. Department of Justice

Washington, DC 20530

**Supplemental Statement****Pursuant to the Foreign Agents Registration Act of 1938, as amended**For Six Month Period Ending 30 April 2014

(Insert date)

**I - REGISTRANT**

1. (a) Name of Registrant (b) Registration No.

Independent Diplomat, Inc

5860

- (c) Business Address(es) of Registrant

45 East 20th Street, 6th Floor  
New York, NY 10003

2. Has there been a change in the information previously furnished in connection with the following?

- (a) If an individual:

- |                           |                              |                             |
|---------------------------|------------------------------|-----------------------------|
| (1) Residence address(es) | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (2) Citizenship           | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (3) Occupation            | Yes <input type="checkbox"/> | No <input type="checkbox"/> |

- (b) If an organization:

- |                          |                              |  |
|--------------------------|------------------------------|--|
| (1) Name                 | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (2) Ownership or control | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (3) Branch offices       | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |

- (c) Explain fully all changes, if any, indicated in Items (a) and (b) above.

**IF THE REGISTRANT IS AN INDIVIDUAL, OMIT RESPONSE TO ITEMS 3, 4, AND 5(a).**

3. If you have previously filed Exhibit C
- <sup>1</sup>
- , state whether any changes therein have occurred during this 6 month reporting period.

Yes  No If yes, have you filed an amendment to the Exhibit C? Yes  No 

If no, please attach the required amendment.

<sup>1</sup> The Exhibit C, for which no printed form is provided, consists of a true copy of the charter, articles of incorporation, association, and by laws of a registrant that is an organization. (A waiver of the requirement to file an Exhibit C may be obtained for good cause upon written application to the Assistant Attorney General, National Security Division, U.S. Department of Justice, Washington, DC 20530.)

4. (a) Have any persons ceased acting as partners, officers, directors or similar officials of the registrant during this 6 month reporting period?

Yes  No

If yes, furnish the following information:

Name	Position	Date Connection Ended
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(b) Have any persons become partners, officers, directors or similar officials during this 6 month reporting period?

Yes  No

If yes, furnish the following information:

Name	Residence Address	Citizenship	Position	Date Assumed
Aryeh Neier	224 West 57th Street New York, NY 10019	US	Director	18 April 2014
Mark Koenig	14432 S. 8th Street Phoenix, AZ 85048	US	Asst. Treasurer	13 Nov 2013

5. (a) Has any person named in Item 4(b) rendered services directly in furtherance of the interests of any foreign principal?

Yes  No

If yes, identify each such person and describe the service rendered.

(b) During this six month reporting period, has the registrant hired as employees or in any other capacity, any persons who rendered or will render services to the registrant directly in furtherance of the interests of any foreign principal(s) in other than a clerical or secretarial, or in a related or similar capacity? Yes  No

Name	Residence Address	Citizenship	Position	Date Assumed
Reza Afshar	300 East 55th Street New York, NY 10022	UK	UN Representative	9 Dec 2014

(c) Have any employees or individuals, who have filed a short form registration statement, terminated their employment or connection with the registrant during this 6 month reporting period? Yes  No

If yes, furnish the following information:

Name	Position or Connection	Date Terminated
Mathias Vaa	UN Representative	21 March 2014

(d) Have any employees or individuals, who have filed a short form registration statement, terminated their connection with any foreign principal during this 6 month reporting period? Yes  No

If yes, furnish the following information:

Name	Position or Connection	Foreign Principal	Date Terminated
Mathias Vaa	UN Representative	SADR and Syrian Coalition	21 March 2014

6. Have short form registration statements been filed by all of the persons named in Items 5(a) and 5(b) of the supplemental statement?

Yes  No

If no, list names of persons who have not filed the required statement.

**II - FOREIGN PRINCIPAL**

7. Has your connection with any foreign principal ended during this 6 month reporting period? Yes  No

If yes, furnish the following information:

Foreign Principal

Government of the Republic of South Sudan (GRSS)

Date of Termination

13 January 2014

8. Have you acquired any new foreign principal(s)<sup>2</sup> during this 6 month reporting period? Yes  No

If yes, furnish the following information:

Name and Address of Foreign Principal(s)

Date Acquired

9. In addition to those named in Items 7 and 8, if any, list foreign principal(s)<sup>2</sup> whom you continued to represent during the 6 month reporting period.

US Offices of the National Coalition of Syrian Revolutionary and Opposition Forces (Syrian Coalition)

Government of the Sahrawi Arab Democratic Republic (SADR)

Government of the Republic of Somaliland

Government of the Republic of the Marshall Islands

Delegation of Catalonia to the United States

10. (a) Have you filed exhibits for the newly acquired foreign principal(s), if any, listed in Item 8?

Exhibit A<sup>3</sup> Yes  No

Exhibit B<sup>4</sup> Yes  No

If no, please attach the required exhibit.

(b) Have there been any changes in the Exhibits A and B previously filed for any foreign principal whom you represented during this six month period? Yes  No

If yes, have you filed an amendment to these exhibits? Yes  No

If no, please attach the required amendment.

<sup>2</sup> The term "foreign principal" includes, in addition to those defined in Section 1(b) of the Act, an individual organization any of whose activities are directly or indirectly supervised, directed, controlled, financed, or subsidized in whole or in major part by a foreign government, foreign political party, foreign organization or foreign individual. (See Rule 100(a) (9)). A registrant who represents more than one foreign principal is required to list in the statements he files under the Act only those principals for whom he is not entitled to claim exemption under Section 3 of the Act. (See Rule 208.)

<sup>3</sup> The Exhibit A, which is filed on Form NSD-3, sets forth the information required to be disclosed concerning each foreign principal.

<sup>4</sup> The Exhibit B, which is filed on Form NSD-4, sets forth the information concerning the agreement or understanding between the registrant and the foreign principal.

**III - ACTIVITIES**

11. During this 6 month reporting period, have you engaged in any activities for or rendered any services to any foreign principal named in Items 7, 8, or 9 of this statement? Yes  No

If yes, identify each foreign principal and describe in full detail your activities and services:

The diplomacy involving SADR, including at the United Nations.

The diplomacy involving RMI, including at the United Nations.

The diplomacy involving the Syrian Coalition, including at the United Nations.

The diplomacy involving the Government of the Republic of Somaliland, including at the United Nations.

The diplomacy involving the Delegation of Catalonia to the United States, including at the United Nations.

The diplomacy involving the Government of the Republic of South Sudan, including at the United Nations.

12. During this 6 month reporting period, have you on behalf of any foreign principal engaged in political activity<sup>5</sup> as defined below? Yes  No

If yes, identify each such foreign principal and describe in full detail all such political activity, indicating, among other things, the relations, interests and policies sought to be influenced and the means employed to achieve this purpose. If the registrant arranged, sponsored or delivered speeches, lectures or radio and TV broadcasts, give details as to dates, places of delivery, names of speakers and subject matter.

Meetings with US officials have a two-fold purpose:

1) To discuss and understand US policy on matters affecting our clients.

2) To brief these officials on initiatives of our clients.

Please see attached list of meetings.

13. In addition to the above described activities, if any, have you engaged in activity on your own behalf which benefits your foreign principal(s)? Yes  No

If yes, describe fully.

Carne Ross is a regular contributor to public debates on international affairs.

<sup>5</sup> "Political activity," as defined in Section 1(o) of the Act, means any activity that the person engaging in believes will, or that the person intends to, in any way influence any agency or official of the Government of the United States or any section of the public within the United States with reference to formulating, adopting or changing the domestic or foreign policies of the United States or with reference to political or public interests, policies, or relations of a government of a foreign country or a foreign political party.

**IV - FINANCIAL INFORMATION**

**14. (a) RECEIPTS-MONIES**

During this 6 month reporting period, have you received from any foreign principal named in Items 7, 8, or 9 of this statement, or from any other source, for or in the interests of any such foreign principal, any contributions, income or money either as compensation or otherwise? Yes  No

If no, explain why.

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies.<sup>6</sup>

Date	From Whom	Purpose	Amount
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Please see attached excel spreadsheet.

\$558,536.80

Total

**(b) RECEIPTS - FUNDRAISING CAMPAIGN**

During this 6 month reporting period, have you received, as part of a fundraising campaign<sup>7</sup>, any money on behalf of any foreign principal named in Items 7, 8, or 9 of this statement? Yes  No

If yes, have you filed an Exhibit D<sup>8</sup> to your registration? Yes  No

If yes, indicate the date the Exhibit D was filed. Date \_\_\_\_\_

**(c) RECEIPTS-THINGS OF VALUE**

During this 6 month reporting period, have you received any thing of value<sup>9</sup> other than money from any foreign principal named in Items 7, 8, or 9 of this statement, or from any other source, for or in the interests of any such foreign principal?

Yes  No

If yes, furnish the following information:

Foreign Principal	Date Received	Thing of Value	Purpose
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<sup>6, 7</sup> A registrant is required to file an Exhibit D if he collects or receives contributions, loans, moneys, or other things of value for a foreign principal, as part of a fundraising campaign. (See Rule 201(e)).

<sup>8</sup> An Exhibit D, for which no printed form is provided, sets forth an account of money collected or received as a result of a fundraising campaign and transmitted for a foreign principal.

<sup>9</sup> Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

15. (a) **DISBURSEMENTS-MONIES**

During this 6 month reporting period, have you

(1) disbursed or expended monies in connection with activity on behalf of any foreign principal named in Items 7, 8, or 9 of this statement? Yes  No

(2) transmitted monies to any such foreign principal? Yes  No

If no, explain in full detail why there were no disbursements made on behalf of any foreign principal.

Independent Diplomat does not disperse funds on behalf of foreign principles; the funds contribute to Independent Diplomat's operations.

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies, including monies transmitted, if any, to each foreign principal.

Date	To Whom	Purpose	Amount
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\_\_\_\_\_  
Total

**(b) DISBURSEMENTS-THINGS OF VALUE**

During this 6 month reporting period, have you disposed of anything of value<sup>10</sup> other than money in furtherance of or in connection with activities on behalf of any foreign principal named in Items 7, 8, or 9 of this statement?

Yes  No

If yes, furnish the following information:

Date	Recipient	Foreign Principal	Thing of Value	Purpose
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**(c) DISBURSEMENTS-POLITICAL CONTRIBUTIONS**

During this 6 month reporting period, have you from your own funds and on your own behalf either directly or through any other person, made any contributions of money or other things of value<sup>11</sup> in connection with an election to any political office, or in connection with any primary election, convention, or caucus held to select candidates for political office?

Yes  No

If yes, furnish the following information:

Date	Amount or Thing of Value	Political Organization or Candidate	Location of Event
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<sup>10, 11</sup> Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

## V - INFORMATIONAL MATERIALS

16. (a) During this 6 month reporting period, did you prepare, disseminate or cause to be disseminated any informational materials?<sup>12</sup>  
 Yes  No

If Yes, go to Item 17.

(b) If you answered No to Item 16(a), do you disseminate any material in connection with your registration?  
 Yes  No

If Yes, please forward the materials disseminated during the six month period to the Registration Unit for review.

17. Identify each such foreign principal.

Independent Diplomat contributes to public debates on international affairs and our clients through social media.

18. During this 6 month reporting period, has any foreign principal established a budget or allocated a specified sum of money to finance your activities in preparing or disseminating informational materials? Yes  No

If yes, identify each such foreign principal, specify amount, and indicate for what period of time.

19. During this 6 month reporting period, did your activities in preparing, disseminating or causing the dissemination of informational materials include the use of any of the following:

- Radio or TV broadcasts       Magazine or newspaper       Motion picture films       Letters or telegrams  
 Advertising campaigns       Press releases       Pamphlets or other publications       Lectures or speeches  
 Other (specify) \_\_\_\_\_

**Electronic Communications**

- Email  
 Website URL(s): www.independentdiplomat.org  
 Social media websites URL(s): Facebook: www.facebook.com/independentdiplomat  
 Other (specify) Twitter: @IndependentDiplomat

20. During this 6 month reporting period, did you disseminate or cause to be disseminated informational materials among any of the following groups:

- Public officials       Newspapers       Libraries  
 Legislators       Editors       Educational institutions  
 Government agencies       Civic groups or associations       Nationality groups  
 Other (specify) \_\_\_\_\_

21. What language was used in the informational materials:

- English       Other (specify) \_\_\_\_\_

22. Did you file with the Registration Unit, U.S. Department of Justice a copy of each item of such informational materials disseminated or caused to be disseminated during this 6 month reporting period? Yes  No

23. Did you label each item of such informational materials with the statement required by Section 4(b) of the Act? Yes  No

<sup>12</sup> The term informational materials includes any oral, visual, graphic, written, or pictorial information or matter of any kind, including that published by means of advertising, books, periodicals, newspapers, lectures, broadcasts, motion pictures, or any means or instrumentality of interstate or foreign commerce or otherwise. Informational materials disseminated by an agent of a foreign principal as part of an activity in itself exempt from registration, or an activity which by itself would not require registration, need not be filed pursuant to Section 4(b) of the Act.

**VI - EXECUTION**

In accordance with 28 U.S.C. § 1746, the undersigned swear(s) or affirm(s) under penalty of perjury that he/she has (they have) read the information set forth in this registration statement and the attached exhibits and that he/she is (they are) familiar with the contents thereof and that such contents are in their entirety true and accurate to the best of his/her (their) knowledge and belief, except that the undersigned make(s) no representation as to truth or accuracy of the information contained in the attached Short Form Registration Statement(s), if any, insofar as such information is not within his/her (their) personal knowledge.

(Date of signature)

(Print or type name under each signature or provide electronic signature<sup>13</sup>)

May 30, 2014

/s/ Jennifer Lake

eSigned

May 30, 2014

/s/ Carne Ross

eSigned

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

<sup>13</sup> This statement shall be signed by the individual agent, if the registrant is an individual, or by a majority of those partners, officers, directors or persons performing similar functions, if the registrant is an organization, except that the organization can, by power of attorney, authorize one or more individuals to execute this statement on its behalf.

Country	Delegate	Contact
Antigua & Barbuda	Mr Buxton Fitzmaurice W. Christian	fitzmaurice.christian@gmail.com
Australia	Ms Alexandria Rantino	Alexandria.rantino@dfat.gov.au
Burundi	Mr Sef Sabushimike	Ping172@yahoo.fr
	Mr Delphin Ndayemeye	ndayemeyed@yahoo.com
Chile	Mr Waldemar Ernesto Coutts Smart	wcoutts@minrel.gov.cl
	Mr Andrés Pedro Pirazzoli	apirazzoli@mma.gob.cl
Colombia	Ms María Laura Rojas Vallejo	marialaura.rojas@cancilleria.gov.co
	Mr Santiago Briceño	Santiago.Briceno@cancilleria.gov.co
Denmark	Mr Morten Thorsted	morth@kebmin.dk
Dominican Republic	Flor Bethania Regus	f.regus@cambioclimatico.gob.do
	Ms Karen Josephina Hedeman Lluberes	k.hedeman@cambioclimatico.gob.do
Ethiopia	Mr. Mohammed Ali Mohamed	mohali17us@yahoo.com mohali17us@gmail.com
	Mr Torbi Belete Geda	torbi.belete@gmail.com gbelete@yahoo.com
European Commission	Mr Kaveh Guilanpour	Kaveh.GUILANPOUR@ec.europa.eu
	Mr Juergen Lefevere	Juergen.LEFEVERE@ec.europa.eu
Federated States of Micronesia	Mr. Andrew Yatilman	climate@mail.fm
	Mr. Carlson Apis	carl_apis@hotmail.com andrewy@mail.fm
France	Ambassador Jacques Lapouge	jacques.lapouge@diplomatie.gouv.fr
The Gambia	Ms Adéyèmi Akpéné Freitas	sandra.freitas@climateanalytics.org
	Dr Achala Abeysinghe	Achala.abeyasinghe@lied.org
Georgia	Mr Andrew Brett Schatz	andrew.schatz@gmail.com
Germany	Ms Nicole Wilke	nicole.wilke@bmub.bund.de
Ghana	Mr Antwi-Boasiako Amoah	aantwib@gmail.com antwi-boasiako.amoah@epa.gov.gh
	Mr Felix Addo-Okyireh	faddoky@yahoo.co.uk faddok99@gmail.com
Grenada	Dr Spencer Thomas	STHOMAS@ECTEL.INT
	Ms. Martina Duncan	martinacduncan@gmail.com
Guatemala	Ms Rosemary Mariela Juarez Carranza	rijuarez@minex.gob.gt
	Mr Jose Luis Rivera	jlrivera@marn.gov.gt
Indonesia	Ms Moekti (Kuki) Handajani Soejachmoen	Kuki.soejachmoen@gmail.com
	Mr Muhsin Syihab	Muhsin.syihab@gmail.com
Kenya	Mr. Edward Wabwoto	ekaroph@gmail.com
	Mr. Silas Wachira	silas.wachira@environment.go.ke silas.wachira@gmail.com
Kiribati	Ms Teue Baikarawa	tbaikarawa@gmail.com
	Ms Taouea Reither	taouear@environment.gov.ki
Lebanon	Ms Leila El Sayed	l.elsayed@moe.gov.lb
	Ms Yara Daou	y.daou@moe.gov.lb
Maldives	Mr Mohamed Asif	mohamed.asif@environment.gov.mv mohamedasif.env@gmail.com
	Mr Thoriq Ibrahim Thoriq (Minister)	mohamed.asif@environment.gov.mv
Marshall Islands	Mr Caleb William Christopher	Environ.nyc@gmail.com
	Ambassador Ms Amatlain E. Kabua	amatlain@gmail.com

11:20 AM  
05/21/14

## Independent Diplomat, Inc.

## Deposit Detail

November 2013 through April 2014

Independent Diplomat, Inc.

## Cash Receipts for Benefit of Specific Clients

November 1, 2013 - April 30, 2014

<u>Date</u>	<u>Received From</u>	<u>Amount</u>	<u>Purpose</u>
11/14/2013	Delagation of Catalonia to the U.S	7,800.00	Catalonia Project
12/15/2013	Delagation of Catalonia to the U.S	7,800.00	Catalonia Project
01/09/2014	Delagation of Catalonia to the U.S	7,800.00	Catalonia Project
01/27/2014	Delagation of Catalonia to the U.S	7,800.00	Catalonia Project
02/18/2014	Delagation of Catalonia to the U.S	3,900.00	Catalonia Project
03/14/2014	Delagation of Catalonia to the U.S	7,500.00	Catalonia Project
04/21/2014	Delagation of Catalonia to the U.S	7,800.00	Catalonia Project
01/30/2014	GRSS	99,912.69	South Sudan Project
04/25/2014	FCO UK Conflict Pool	32,990.80	Syria Project
04/22/2014	FCO UK Conflict Pool	32,947.40	Syria Project
04/24/2014	FCO UK Conflict Pool	32,935.20	Syria Project
04/28/2014	FCO UK Conflict Pool	32,917.80	Syria Project
03/11/2014	FCO UK Conflict Pool	32,807.20	Syria Project
03/12/2014	FCO UK Conflict Pool	32,803.40	Syria Project
03/13/2014	FCO UK Conflict Pool	32,617.40	Syria Project
01/17/2014	National Endowment for Democracy	11,723.00	Somaliland Project
12/13/2013	FCO UK Conflict Pool	32,632.60	Syria Project
12/13/2013	FCO UK Conflict Pool	32,632.60	Syria Project
12/13/2013	FCO UK Conflict Pool	28,317.95	Syria Project
12/13/2013	FCO UK Conflict Pool	32,632.60	Syria Project
12/13/2013	FCO UK Conflict Pool	20,291.16	Syria Project
02/07/2014	SADR	19,975.00	Western Sahara Project

Total: \$558,536.80

**ID: Chart of FARA-related activity**

1 November 2013– 30 April 2014

<b>Date of Contact</b>	<b>Name and Title of:</b> - US Gov't official - media outlet - business, civic group, academic community, etc.	<b>Foreign Principal</b>	<b>How Contact Established</b> (telephone, office meeting, letter, lunch etc.)	<b>Subject Matter Discussed</b>
Nov 1, 2013	State Department DRL Dep Asst Sec Tom Melia	National Coalition of Syrian Revolution and Opposition Forces; Frente POLISARIO; Government of South Sudan	Meeting	Independent Diplomat's work on Western Sahara, the Syrian Coalition and South Sudan
Nov 1, 2013	State Department Policy Planning Officer Alex Bick	National Coalition of Syrian Revolution and Opposition Forces	Email	Independent Diplomat's work with Syrian Coalition
Nov 4, 2013	State Department DRL Dep Asst Sec Tom Melia	Frente POLISARIO	Email with List of Political Prisoners	Independent Diplomat's work on Western Sahara
Nov 4, 2013	State Department DRL Charles Fee	Frente POLISARIO	Email with List of Political Prisoners	Independent Diplomat's work on Western Sahara
Nov 7, 2013	State Department DRL Dep Asst Sec Tom Melia and Charles Fee	Frente POLISARIO	Email with Updated List of Political Prisoners	Independent Diplomat's work on Western Sahara
Nov 18, 2013	Meeting with staff of Senate Foreign Relations Committee	Frente POLISARIO	Meeting	Independent Diplomat's work on Western Sahara

Nov 18, 2013	National Security Council Josta Davidson	Frente POLISARIO	Email/Mtg Request	Independent Diplomat's work with Western Sahara
Nov 19, 2013	Alvaro Zarco, Sen. Kaine Staffer	Frente POLISARIO	Email	Independent Diplomat's work on Western Sahara
Nov 19, 2013	Brittany Beaulieu, Janelle Johnson, SFRC staffers	Government of South Sudan	Email	Independent Diplomat's work on South Sudan
Nov 21, 2013	State Department Lucy Tamlyn; National Security Council Allison Lombardo	Government of South Sudan	Email	Independent Diplomat's work on South Sudan
Nov 21, 2013	State Department Office of Sudan/South Sudan	Government of South Sudan	Meeting	Independent Diplomat's work with South Sudan
Dec 18, 2013	State Department DRL Charles Fee	Frente POLISARIO	Meeting	Independent Diplomat's work on Western Sahara
Dec 20, 2013	State Department NEA Dan McCandless	National Coalition of Syrian Revolution and Opposition Forces	Telephone Call	Independent Diplomat's work with the Syrian Coalition
Jan 15, 2014	Chidi Blyden, OSD Policy- African Affairs, Department of Defense	Government of Somaliland	Email	Independent Diplomat's work on Somaliland
Jan 27, 2014	State Department AF Adam Leff	Government of Somaliland	Meeting	Independent Diplomat's work on Somaliland
Feb 13, 2014	Elan Strait, Foreign Affairs Officer, US State Department	Republic of the Marshall Islands	Telephone Call	Independent Diplomat's work with the Republic of the Marshall Islands
Mar 16, 2014	Sen. Kaine Staffer Alvaro Zarco	Frente POLISARIO	Meeting	Independent Diplomat's work on Western Sahara
Mar 16, 2014	Rep. Bass Staffer Travis Adkins	Frente POLISARIO	Meeting	Independent Diplomat's work on Western Sahara

Mar 17, 2014	Rep. Bass Staffer Travis Adkins	Frente POLISARIO	Email with articles	Independent Diplomat's work on Western Sahara
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