

For Six Month Period Ending January 31, 2011
(insert date)

I - REGISTRANT

1. (a) Name of Registrant
O'Brien & Associates LLC
- (b) Registration No.
5971
- (c) Business Address(es) of Registrant
203 C Street SE
Washington, DC 20003

2. Has there been a change in the information previously furnished in connection with the following:

- (a) If an individual:
- | | | |
|---------------------------|------------------------------|-----------------------------|
| (1) Residence address(es) | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (2) Citizenship | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (3) Occupation | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
- (b) If an organization:
- | | | |
|--------------------------|------------------------------|----------------------------------------|
| (1) Name | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (2) Ownership or control | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (3) Branch offices | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
- (c) Explain fully all changes, if any, indicated in items (a) and (b) above.

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IF THE REGISTRANT IS AN INDIVIDUAL, OMIT RESPONSE TO ITEMS 3, 4 AND 5(a).

3. If you have previously filed Exhibit C¹, state whether any changes therein have occurred during this 6 month reporting period.
- Yes No
- If yes, have you filed an amendment to the Exhibit C? Yes No
- If no, please attach the required amendment.

¹ The Exhibit C, for which no printed form is provided, consists of a true copy of the charter, articles of incorporation, association, and by laws of a registrant that is an organization. (A waiver of the requirement to file an Exhibit C may be obtained for good cause upon written application to the Assistant Attorney General, National Security Division, U.S. Department of Justice, Washington, DC 20530.)

4. (a) Have any persons ceased acting as partners, officers, directors or similar officials of the registrant during this 6 month reporting period? Yes No

If yes, furnish the following information:

Name	Position	Date connection ended
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(b) Have any persons become partners, officers, directors or similar officials during this 6 month reporting period? Yes No

If yes, furnish the following information:

Name	Residence address	Citizenship	Position	Date assumed
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5. (a) Has any person named in item 4(b) rendered services directly in furtherance of the interests of any foreign principal? Yes No

If yes, identify each such person and describe his service.

(b) Have any employees or individuals, who have filed a short form registration statement, terminated their employment or connection with the registrant during this 6 month reporting period? Yes No

If yes, furnish the following information:

Name	Position or connection	Date terminated
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(c) During this 6 month reporting period, has the registrant hired as employees or in any other capacity, any persons who rendered or will render services to the registrant directly in furtherance of the interests of any foreign principal(s) in other than a clerical or secretarial, or in a related or similar capacity? Yes No

If yes, furnish the following information:

Name	Residence address	Citizenship	Position	Date assumed
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6. Have short form registration statements been filed by all of the persons named in Items 5(a) and 5(c) of the supplemental statement? Yes No

If no, list names of persons who have not filed the required statement.

II - FOREIGN PRINCIPAL

7. Has your connection with any foreign principal ended during this 6 month reporting period?

Yes No

If yes, furnish the following information:

Name of foreign principal	Date of termination
Commercial Procurement Office of Defense Cooperation, Netherlands	December, 2010

8. Have you acquired any new foreign principal² during this 6 month reporting period?

Yes No

If yes, furnish the following information:

Name and address of foreign principal	Date acquired
Washington Embassy, Norway	November, 2010

9. In addition to those named in Items 7 and 8, if any, list foreign principals² whom you continued to represent during the 6 month reporting period.

O'Brien & Associates does not represent the interests of the foreign principal's. O'Brien & Associates is an advisor providing information, analysis, and guidance with regard to US processes, programs, and policy matters.

During the past 6 month period, O'Brien & Associates has advised the-

- 1- Commercial Procurement Office of Defense Cooperation, Netherlands
- 2- Defense Procurement Office, United Kingdom
- 3- Canadian Commercial Corporation
- 4- Washington Embassy, Norway

10. **EXHIBITS A AND B**

(a) Have you filed for each of the newly acquired foreign principals in Item 8 the following:

Exhibit A ³	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>
Exhibit B ⁴	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>

If no, please attach the required exhibit.

(b) Have there been any changes in the Exhibits A and B previously filed for any foreign principal whom you represented during the 6 month period? Yes No

If yes, have you filed an amendment to these exhibits? Yes No

If no, please attach the required amendment.

² The term "foreign principal" includes, in addition to those defined in Section 1(b) of the Act, an individual organization any of whose activities are directly or indirectly supervised, directed, controlled, financed, or subsidized in whole or in major part by a foreign government, foreign political party, foreign organization or foreign individual. (See Rule 100(a)(9).) A registrant who represents more than one foreign principal is required to list in the statements he files under the Act only those principals for whom he is not entitled to claim exemption under Section 3 of the Act. (See Rule 208.)

³ The Exhibit A, which is filed on Form NSD-3 (Formerly CRM-157), sets forth the information required to be disclosed concerning each foreign principal.

⁴ The Exhibit B, which is filed on Form NSD-4 (Formerly CRM-155), sets forth the information concerning the agreement or understanding between the registrant and the foreign principal.

III - ACTIVITIES

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11. During this 6 month reporting period, have you engaged in any activities for or rendered any services to any foreign principal named in Items 7, 8, and 9 of this statement? Yes No

If yes, identify each such foreign principal and describe in full detail your activities and services:

Please See Attachment A

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12. During this 6 month reporting period, have you on behalf of any foreign principal engaged in political activity⁵ as defined below? Yes No

If yes, identify each such foreign principal and describe in full detail all such political activity, indicating, among other things, the relations, interests and policies sought to be influenced and the means employed to achieve this purpose. If the registrant arranged, sponsored or delivered speeches, lectures or radio and TV broadcasts, give details as to dates and places of delivery, names of speakers and subject matter.

O'Brien & Associates is not engaged to influence any agency or official of the Government of the United States. O'Brien & Associates provides the foreign principal's with analysis regarding US, process, program, and policy matters.

Please See Attachment A

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13. In addition to the above described activities, if any, have you engaged in activity on your own behalf which benefits any or all of your foreign principals? Yes No

If yes, describe fully.

Defense Memorandum of Understanding Group (DMAG)- Invitation to Brief

11-15-2010- James Gentry provided DMAG with view on Export Control Reform

12-9-2010- James Gentry provided DMAG with views on Export Control Reform and FY 11 Defense program and policy matters.

⁵ The term "political activities" means any activity that the person engaging in believes will, or that the person intends to, in any way influence any agency or official of the Government of the United States or any section of the public within the United States with reference to formulating, adopting or changing the domestic or foreign policies of the United States or with reference to the political or public interests, policies, or relations of a government of a foreign country or a foreign political party.

IV - FINANCIAL INFORMATION

14. (a) RECEIPTS - MONIES

During this 6 month reporting period, have you received from any foreign principal named in Items 7, 8, or 9 of this statement, or from any other source, for or in the interests of any such foreign principal, any contributions, income or money either as compensation or otherwise? Yes No

If no, explain why.

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies⁶.

Date	From whom	Purpose	Amount
Please See Attachment B			

Total

(b) RECEIPTS - FUND RAISING CAMPAIGN

During this 6 month reporting period, have you received, as part of a fund raising campaign⁷, any money on behalf of any foreign principal named in items 7, 8, or 9 of this statement? Yes No

If yes, have you filed an Exhibit D⁸ to your registration? Yes No

If yes, indicate the date the Exhibit D was filed. Date _____

(c) RECEIPTS - THINGS OF VALUE

During this 6 month reporting period, have you received any thing of value⁹ other than money from any foreign principal named in Items 7, 8, or 9 of this statement, or from any other source, for or in the interests of any such foreign principal? Yes No

If yes, furnish the following information:

Name of foreign principal	Date received	Description of thing of value	Purpose
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^{6, 7} A registrant is required to file an Exhibit D if he collects or receives contributions, loans, money, or other things of value for a foreign principal, as part of a fund raising campaign. (See Rule 201(e).)

⁸ An Exhibit D, for which no printed form is provided, sets forth an account of money collected or received as a result of a fund raising campaign and transmitted for a foreign principal.

⁹ Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

15. (a) **DISBURSEMENTS - MONIES**

During this 6 month reporting period, have you

(1) disbursed or expended monies in connection with activity on behalf of any foreign principal named in Items 7, 8, or 9 of this statement? Yes No

(2) transmitted monies to any such foreign principal? Yes No

If no, explain in full detail why there were no disbursements made on behalf of any foreign principal.

There were no expenses in connection with O'Brien & Associates activities on behalf of any of the foreign principal's

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies, including monies transmitted, if any, to each foreign principal.

Date	To whom	Purpose	Amount
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Total

(b) DISBURSEMENTS – THINGS OF VALUE

During this 6 month reporting period, have you disposed of anything of value¹⁰ other than money in furtherance of or in connection with activities on behalf of any foreign principal named in Items 7, 8, or 9 of this statement?

Yes No

If yes, furnish the following information:

Date disposed	Name of person to whom given	On behalf of what foreign principal	Description of thing of value	Purpose
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(c) DISBURSEMENTS – POLITICAL CONTRIBUTIONS

During this 6 month reporting period, have you from your own funds and on your own behalf either directly or through any other person, made any contributions of money or other things of value¹¹ in connection with an election to any political office, or in connection with any primary election, convention, or caucus held to select candidates for political office?

Yes No

If yes, furnish the following information:

Date	Amount or thing of value	Name of political organization	Name of candidate
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Please See Attachment C

NO Contributions were made in connection with any foreign principal.

10, 11 Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks" and the like.

V - INFORMATIONAL MATERIALS

16. During this 6 month reporting period, did you prepare, disseminate or cause to be disseminated any informational materials¹²?

Yes No

IF YES, RESPOND TO THE REMAINING ITEMS IN SECTION V.

17. Identify each such foreign principal.

18. During this 6 month reporting period, has any foreign principal established a budget or allocated a specified sum of money to finance your activities in preparing or disseminating informational materials? Yes No

If yes, identify each such foreign principal, specify amount, and indicate for what period of time.

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19. During this 6 month reporting period, did your activities in preparing, disseminating or causing the dissemination of informational materials include the use of any of the following:

- Radio or TV broadcasts
- Magazine or newspaper articles
- Motion picture films
- Letters or telegrams
- Advertising campaigns
- Press releases
- Pamphlets or other publications
- Lectures or speeches
- Internet
- Other (specify) _____

20. During this 6 month reporting period, did you disseminate or cause to be disseminated informational materials among any of the following groups:

- Public officials
- Newspapers
- Libraries
- Legislators
- Editors
- Educational institutions
- Government agencies
- Civic groups or associations
- Nationality groups
- Other (specify) _____

21. What language was used in the informational materials:

- English
- Other (specify) _____

22. Did you file with the Registration Unit, U.S. Department of Justice a copy of each item of such informational materials disseminated or caused to be disseminated during this 6 month reporting period? Yes No

23. Did you label each item of such informational materials with the statement required by Section 4(b) of the Act?

Yes No

12 The term informational materials includes any oral, visual, graphic, written, or pictorial information or matter of any kind, including that published by means of advertising, books, periodicals, newspapers, lectures, broadcasts, motion pictures, or any means or instrumentality of interstate or foreign commerce or otherwise. Informational materials disseminated by an agent of a foreign principal as part of an activity in itself exempt from registration, or an activity which by itself would not require registration, need not be filed pursuant to Section 4(b) of the Act.

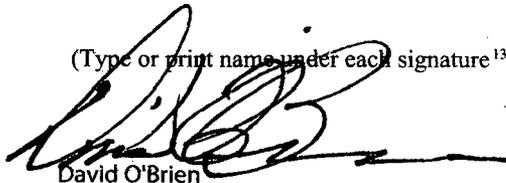
VI - EXECUTION

In accordance with 28 U.S.C. §1746, the undersigned swear(s) or affirm(s) under penalty of perjury that he/she has (they have) read the information set forth in this registration statement and the attached exhibits and that he/she is (they are) familiar with the contents thereof and that such contents are in their entirety true and accurate to the best of his/her (their) knowledge and belief, except that the undersigned make(s) no representation as to the truth or accuracy of the information contained in the attached Short Form Registration Statement(s), if any, insofar as such information is not within his/her (their) personal knowledge.

(Date of signature)

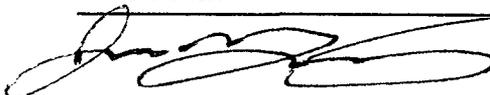
(Type or print name under each signature¹³)

2-28-2011



David O'Brien

2-28-2011



James Gentry

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¹³ This statement shall be signed by the individual agent, if the registrant is an individual, or by a majority of those partners, officers, directors or persons performing similar functions, if the registrant is an organization, except that the organization can, by power of attorney, authorize one or more individuals to execute this statement on its behalf.

UNITED STATES DEPARTMENT OF JUSTICE
FARA REGISTRATION UNIT
NATIONAL SECURITY DIVISION
WASHINGTON, D.C. 20530

NOTICE

Please answer the following questions and return this sheet in triplicate with your Supplemental Statement:

1. Is your answer to Item 16 of Section V (Informational Materials -- page 8 of Form NSD-2, formerly Form CRM-154 Supplemental Statement):

YES _____ or NO _____

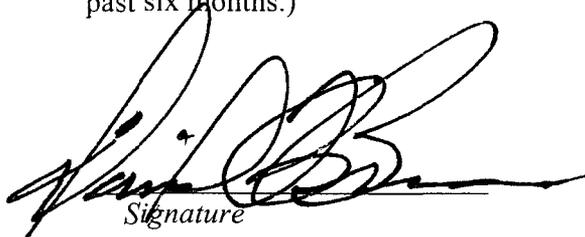
(If your answer to question 1 is "yes" do not answer question 2 of this form.)

2. Do you disseminate any material in connection with your registration:

YES _____ or NO _____

(If your answer to question 2 is "yes" please forward for our review copies of all material including films, film catalogs, posters, brochures, press releases, etc. which you have disseminated during the past six months.)

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Signature

2-28-2011
Date

David O'Brien
Please type or print name of
Signatory on the line above

President
Title



U.S. Department of Justice
National Security Division

Washington, DC 20530

THIS FORM IS TO BE AN OFFICIAL ATTACHMENT TO YOUR CURRENT SUPPLEMENTAL
STATEMENT - PLEASE EXECUTE IN TRIPLICATE

SHORT-FORM REGISTRATION INFORMATION SHEET

SECTION A

The Department records list active short-form registration statements for the following persons of your organization filed on the date indicated by each name. If a person is not still functioning in the same capacity directly on behalf of the foreign principal, please show the date of termination.

Short Form List for Registrant: O'Brien & Associates, LLC

Last Name	First Name and Other Names	Registration Date	Termination Date	Role
O'Brien	David	01/11/2010		
Gentry	James	01/11/2010		

* Both persons remain
functioning in the
same capacity



U.S. Department of Justice

National Security Division

Washington, DC 20530

SECTION B

In addition to those persons listed in Section A, list below all current employees rendering services directly on behalf of the foreign principals(s) who have not filed short-form registration statements. (Do not list clerks, secretaries, typists or employees in a similar or related capacity). If there is some question as to whether an employee has an obligation to file a short-form, please address a letter to the Registration Unit describing the activities and connection with the foreign principal.

Name	Function	Date Hired

Not Applicable

Signature: [Handwritten Signature] Date: 2-28-2011

Title: President

ATTACHEMENT A- III Activities, Items 11 & 12-

O'Brien & Associates serves its foreign principal clients as a public policy advisor providing analysis and guidance relating to U.S. government processes, budget/programs, and policy matters. In this regard, O'Brien & Associates provides updates to its foreign principal clients on developments in Washington DC and within Industry, the Administration, and Congress. For all foreign principal clients, O'Brien & Associates work focuses on defense and trade programs and policy. Updates, analysis, and guidance are derived from public sources- industry and government, media reports, conferences, budget justification documents, congressional hearings and legislation.

Over this 6 month period, O'Brien & Associates has-

1- Commercial Procurement Office of Defense Cooperation, Netherlands (CPODC, NL)

- Provided oral and written analysis and guidance specific to the following programs and policy
 - Overall Defense Budget and Planning- Spending Trends, Legislation
 - Strike Fighter Programs and Policy
 - Cargo and Tanker Aircraft Programs and Policy
 - Defense Trade Policy
 - Export and Technology Policy- Export Control Reform Process
 - Buy American Protectionism Policy
- Undertaken the following activities
No reportable activities

2- Defense Procurement Office, United Kingdom (DPO, UK)

- Provided oral and written analysis and guidance specific to the following programs and policy
 - Overall Defense Budget and Planning- Spending Trends, Legislation
 - Strike Fighter Programs and Policy
 - Cargo and Tanker Aircraft Programs and Policy
 - Defense Trade Policy
 - Export and Technology Policy
 - Buy American Protectionism Policy
- Undertaken the following activities
No reportable activities

3- Canadian Commercial Corporation (CCC)

- Provided oral and written analysis, and guidance specific to the following programs and policy
 - Overall Defense Budget and Planning- Spending Trends, Legislation
 - Strike Fighter Programs and Policy
 - Military Vehicle Programs and Policy
 - Defense Trade Policy
 - Export and Technology Policy- Export Control Reform Process
 - Buy American Protectionism Policy
- Undertaken the following activities
 - 11/9- Invited Brent Lambert and staff to CCC President NADIB DC speech on 11/16.
 - 12/3- Sent meeting request via email to OSD Industrial Policy to arrange a meeting with Brent Lambert and CCC President to discuss the NADIB issues. Attended 1/13 meeting.
 - 12/14- Sent meeting request via email to Lauren Airey (Cong. Manzullo LA) for meeting with CCC President to discuss the NABIB, protectionism and export control reform. Attended 1/13 meeting.
 - 1/13 and 2/10- Meeting with CSIS staff to discuss the NADIB.

4- Washington Embassy, Norway

- Provided oral and written analysis, and guidance specific to the following programs and policy
 - Overall Defense Budget and Planning- Spending Trends, Legislation
 - Strike Fighter Programs and Policy
 - Shipbuilding Programs and Policy
 - Defense Trade Policy
 - Export and Technology Policy- Export Control Reform Process
 - Buy American Protectionism Policy
- Undertaken the following activities
 - 12/22- Requested meetings for NO Staff with Doug Roach (HASC staff) and Chris Paul (SASC staff) to discuss overall defense budget, policy, and strike fighter programs. Meetings accepted. Attended meetings 2/8.

ATTACHEMENT B- IV Financial Information, Item 14a Receipts

1. Commercial Procurement Office of Defense Cooperation, Netherlands (CPODC, NL)

Date	From whom	Purpose	Amount
Aug, 2010	CPODC,NL	Payment for Service	\$10,000
Sept, 2010	CPODC,NL	Payment for Service	\$10,000
Oct, 2010	CPODC,NL	Payment for Service	\$10,000
Nov, 2010	CPODC,NL	Payment for Service	\$10,000
Dec, 2010	CPODC,NL	Payment for Service	\$10,000
Jan, 2011	CPODC,NL	Payment for Service	\$0

2. Defense Procurement Office, United Kingdom (DPO, UK)

Date	From whom	Purpose	Amount
Aug, 2010	DPO,UK	Payment for Service	\$3,100
Sep, 2010	DPO,UK	Payment for Service	\$4,900
Oct, 2010	DPO,UK	Payment for Service	\$3,700
Nov, 2010	DPO,UK	Payment for Service	\$3,925
Dec, 2010	DPO,UK	Payment for Service	\$2,275
Jan, 2011	DPO,UK	Payment for Service	\$0

3. Canadian Commercial Corporation

Date	From whom	Purpose	Amount
Aug, 2010	CCC	Payment for Service	\$10,000
Sept, 2010	CCC	Payment for Service	\$10,000
Oct, 2010	CCC	Payment for Service	\$10,000
Nov, 2010	CCC	Payment for Service	\$10,000
Dec, 2010	CCC	Payment for Service	\$10,000
Jan, 2011	CCC	Payment for Service	\$10,000

4. Washington Embassy Norway

Date	From whom	Purpose	Amount
Oct, 2010	Washington Emb NO	Payment for Service	\$2,000
Nov, 2010	Washington Emb NO	Payment for Service	\$2,000
Dec, 2010	Washington Emb NO	Payment for Service	\$4,000
Jan, 2011	Washington Emb NO	Payment for Service	\$4,000

TOTAL- \$139,900

ATTACHEMENT C- IV Financial Information, 15c

15c Disbursements—Political Contributions

David O'Brien

Date	Amount	Political Org	Candidate
9-15-10	\$500	Hal PAC	Hal Rogers

James Gentry- None