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Public Reporting Burden. Public reporting burden for this collection of information is estimated to average .49 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to Chief, Registration Unit, Counterespionage Section, National Security Division, U.S. Department of Justice, Washington, DC 20530; and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Washington, DC 20503.

*Furnish this exhibit for EACH foreign principal listed in an initial statement  
and for EACH additional foreign principal acquired subsequently.*

1. Name and address of registrant DANIEL L. SWAYNE 227 Branchview Drive Columbia, South Carolina 29229	2. Registration No. <b>5983</b>
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3. Name of foreign principal Republic of Yemen Air Force	4. Principal address of foreign principal 1 Airport Road Sana'a, Yemen
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5. Indicate whether your foreign principal is one of the following:

Foreign government

Foreign political party

Foreign or domestic organization: If either, check one of the following:

<input type="checkbox"/> Partnership	<input type="checkbox"/> Committee
<input type="checkbox"/> Corporation	<input type="checkbox"/> Voluntary group
<input type="checkbox"/> Association	<input type="checkbox"/> Other (specify):

Individual-State nationality

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6. If the foreign principal is a foreign government, state:

a) Branch or agency represented by the registrant  
Republic of Yemen Air Force

b) Name and title of official with whom registrant deals  
Major General Mohamed Saleh Al-Almer, Commander, Republic of Yemen Air Force

7. If the foreign principal is a foreign political party, state:

a) Principal address  
N/A

b) Name and title of official with whom registrant deals  
N/A

c) Principal aim  
N/A

8. If the foreign principal is not a foreign government or a foreign political party,

a) State the nature of the business or activity of this foreign principal.

N/A

b) Is this foreign principal

Supervised by a foreign government, foreign political party, or other foreign principal	Yes <input type="checkbox"/>	No <input type="checkbox"/>
Owned by a foreign government, foreign political party, or other foreign principal	Yes <input type="checkbox"/>	No <input type="checkbox"/>
Directed by a foreign government, foreign political party, or other foreign principal	Yes <input type="checkbox"/>	No <input type="checkbox"/>
Controlled by a foreign government, foreign political party, or other foreign principal	Yes <input type="checkbox"/>	No <input type="checkbox"/>
Financed by a foreign government, foreign political party, or other foreign principal	Yes <input type="checkbox"/>	No <input type="checkbox"/>
Subsidized in part by a foreign government, foreign political party, or other foreign principal	Yes <input type="checkbox"/>	No <input type="checkbox"/>

9. Explain fully all items answered "Yes" in Item 8(b). (If additional space is needed, a full insert page must be used.)

N/A

10. If the foreign principal is an organization and is not owned or controlled by a foreign government, foreign political party or other foreign principal, state who owns and controls it.

N/A

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Date of Exhibit A 22 March 2010	Name and Title DANIEL L. SWAYNE	Signature 
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**INSTRUCTIONS:** A registrant must furnish as an Exhibit B copies of each written agreement and the terms and conditions of each oral agreement with his foreign principal, including all modifications of such agreements, or, where no contract exists, a full statement of all the circumstances by reason of which the registrant is acting as an agent of a foreign principal. One original and two legible photocopies of this form shall be filed for each foreign principal named in the registration statement and must be signed by or on behalf of the registrant.

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<b>1. Name of Registrant</b> DANIEL L SWAYNE 227 Branchview Drive Columbia, South Carolina 29229	<b>2. Registration No.</b> <div style="text-align: right; font-size: 2em; font-family: cursive;">5983</div>
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<b>3. Name of Foreign Principal</b> Republic of Yemen Air Force
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Check Appropriate Boxes:

- 4.  The agreement between the registrant and the above-named foreign principal is a formal written contract. If this box is checked, attach a copy of the contract to this exhibit.
- 5.  There is no formal written contract between the registrant and the foreign principal. The agreement with the above-named foreign principal has resulted from an exchange of correspondence. If this box is checked, attach a copy of all pertinent correspondence, including a copy of any initial proposal which has been adopted by reference in such correspondence.
- 6.  The agreement or understanding between the registrant and the foreign principal is the result of neither a formal written contract nor an exchange of correspondence between the parties. If this box is checked, give a complete description below of the terms and conditions of the oral agreement or understanding, its duration, the fees and expenses, if any, to be received.

7. Describe fully the nature and method of performance of the above indicated agreement or understanding.

There exists an oral agreement, discussed in Sana'a, Yemen from 7-10 Mar 2010 and commenced by phone on 18 Mar 2010, to begin working on behalf of the Yemen Air Force while a larger proposal to the Republic of Yemen Government is negotiated (attached). There will be no fee until the proposal is accepted and a contract signed, but prior approval may be granted for some expenses, such as travel, on a case by case basis.

8. Describe fully the activities the registrant engages in or proposes to engage in on behalf of the above foreign principal.

- Arrange for the Commander of the Yemen Air Force (YAF) to travel to the U.S. and meet officially with the U.S. Air Force (USAF) Chief of Staff to discuss YAF-USAF relationship and propose future aid, training, and joint exercises.
- Make contact with officials in the U.S. Departments of Defense and State to advocate for the use of military aid for specific equipment to enhance the Yemen Air Force in its capacity to counter VEOs such as al-Qaeda.
- Approach the National Guard Bureau to propose a "State Partnership", a program pairing a Nation Guard organization with Yemen defense forces to enhance training and interoperability.
- Approach highly experienced U.S. consultants who can help establish a roadmap for the modernization of the Yemen Air Force. This roadmap will include advances in training, logistics, maintenance, and equipment.
- Arrange for the Commander of the Yemen Air Force to meet with top officials in major U.S. defense industry companies to get briefings on available equipment for purchase or procurement through Direct Commercial Sales (DCS), Foreign Military Sales (FMS), and/or Foreign Military Financing (FMF).

9. Will the activities on behalf of the above foreign principal include political activities as defined in Section 1(o) of the Act and in the footnote below? Yes  No

If yes, describe all such political activities indicating, among other things, the relations, interests or policies to be influenced together with the means to be employed to achieve this purpose.

There may be a potential to discuss policies or opinions related to the Republic of Yemen Air Force and Ministry of Defense with Departments of Defense and State personnel through phone calls, emails, and/or personal visits.

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Date of Exhibit B 22 March 2010	Name and Title DANIEL L. SWAYNE	Signature 
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Footnote: Political activity as defined in Section 1(o) of the Act means any activity which the person engaging in believes will, or that the person intends to, in any way influence any agency or official of the Government of the United States or any section of the public within the United States with reference to formulating, adopting, or changing the domestic or foreign policies of the United States or with reference to the political or public interests, policies, or relations of a government of a foreign country or a foreign political party.

**Proposal**

**Prepared for**

**THE REPUBLIC OF YEMEN GOVERNMENT**

**March 2010**

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**Client Relationship Manager:**

**Dan Swayne**  
**(803) 606-7706**  
**Swayne.ACES@gmail.com**

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## **EXECUTIVE SUMMARY**

With the attempted bombing of a U.S. airliner on December 25, 2009 came renewed interest in the U.S.-Yemen relationship and our shared goal of combating violent extremist organizations (VEOs).

The U.S. Administration and Congress have proposed much military and economic aid, American businesses are paying attention to Yemen as a potential market, and the American public at large is ripe to learn the truth about the beautiful country of Yemen and its friendly people.

It is imperative to be engaged in the highest levels of U.S. Government, Military, and the American defense industry as soon as possible to take advantage of the current spotlight on Yemen.

## **OUR APPROACH**

Airpower Consulting Executive Services, Ltd. Co. (ACES) will take a unique building-block approach that will ultimately result in more advocacies. Airpower will establish a game plan across three levels of priority. One – Relationships with U.S. Department of Defense, and U.S. Air Force is an ACES core competency and will be our primary focus. Two – American defense industry and other businesses. Three – Research future advocacy for the Republic of Yemen Government.

## **GAME PLAN**

ACES will employ a very highly qualified and motivated client manager who will, in coordination with the Republic of Yemen Government, execute the following game plan in accordance with the aforementioned priorities.

### Priority One

- Coordinate a Counter-Part Visit”. Arrange for the Commander of the Yemen Air Force (YAF) to travel to the U.S. and meet officially with the U.S. Air Force (USAF) Chief of Staff to discuss YAF-USAF relationship and propose future aid, training, and joint exercises.
- Make contact with officials in the U.S. Departments of Defense and State to advocate for the use of military aid for specific equipment to enhance the Yemen Air Force in its capacity to counter VEOs such as al-Qaeda.
- Approach the National Guard Bureau to propose a “State Partnership”, a program pairing a Nation Guard organization with Yemen defense forces to enhance training and interoperability.
- Approach highly experienced U.S. consultants who can help establish a roadmap for the modernization of the Yemen Air Force. This roadmap will include advances in training, logistics, maintenance, and equipment.

- Approach powerful former U.S. General officers with relationships in the GCC countries to work toward gaining financial assistance for Yemen defense forces from other governments in the region
- Arrange for the Commander of the Yemen Air Force to meet with top officials in major U.S. defense industry companies to get briefings on available equipment for purchase or procurement through Direct Commercial Sales (DCS), Foreign Military Sales (FMS), and/or Foreign Military Financing (FMF).

#### Priority Two

- Approach American hotel and resort industry to advocate for the rich opportunities available in Yemen.
- Approach American food service industry to advocate for the Yemen market.

#### Priority Three

- Make contact with prominent Washington D.C. firms who specialize in government affairs and public relations lobby. Coordinate with the Republic of Yemen Government on which firm(s) and appropriate timing to employ these services.

We will begin our working relationship by participating in a serious planning conference with Republic of Yemen Government representatives to consider status, key policy issues and advocacy goals.

### **FEE STRUCTURE**

ACES will undertake management of these services for a fixed fee. To accomplish the objectives in this proposal ACES will operate on a fixed fee of **\$5,000** per month for a period of **twelve** months. This fee is to be paid as a single monthly payment, due on the first of each month and will include usual and customary expenses. At the end of this **twelve** month period, we will evaluate the progress of efforts and review our arrangement as appropriate. This contract can be terminated with **ninety (90)** days notice by either party.

### **CLIENT MANAGER RESUME**

Dan Swayne is President and CEO of Airpower Consulting. He has a vast network of current and retired government and military professionals from Secretary of the Air force officials to General officers. Mr. Swayne and Airpower Consulting enjoy a reputation as a go-to guy who gets things done. He has a strong personal interest in furthering the prominence and prosperity of the Republic of Yemen and enhancing its partnership with the United States.

Mr. Swayne brings a unique blend of military and government experience to the Republic of Yemen. He is an expert in fighter aviation as well as flight, ground, and weapons safety. Dan was a renowned F-16 Instructor Pilot and a graduate of the prestigious U.S.

Air Force Fighter Weapons School and is one of only a handful of F-16 pilots in the world to amass over 4000 flying hours in the jet. Although most of his time in the U.S. Air Force was as an operational instructor pilot, he spent several years as an operational test pilot working closely with industry to develop and refine various leading edge weapons and weapons systems. Mr. Swayne is a combat veteran of the Gulf War and Operation Iraqi Freedom.

Since his military retirement and prior to founding Airpower Consulting, Mr. Swayne served as a lead military analyst for U.S. Air Forces Central. There he gained valuable theater security experience in the Middle East region. In his position as a Country Desk Officer, he worked extensively in the United Arab Emirates, Oman, and Yemen successfully building partnerships between foreign air forces and the U.S. Air Force.

Mr. Swayne was raised in Pennsylvania. He attended the University of Pittsburgh where he was awarded a B.S. in Geology, and a commission in the United States Air Force. After receiving his pilot wings, he served on active duty worldwide for 21 years before retiring as a Lieutenant Colonel. He's also earned a Masters degree in Aerospace Science from Embry-Riddle Aeronautical University.

Mr. Swayne, with his wife and four children, live in Columbia, South Carolina

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