

U.S. Department of Justice
Washington, DC 20530

Supplemental Statement

**Pursuant to the Foreign Agents Registration Act of
1938, as amended**

For Six Month Period Ending January 31, 2014

(Insert date)

I - REGISTRANT

1. (a) Name of Registrant (b) Registration No.

Bavarian U.S. Offices for Economic Development, LLC 6015

- (c) Business Address(es) of Registrant

75 Broad Street, 21st Floor
New York, NY 10004

2. Has there been a change in the information previously furnished in connection with the following?

- (a) If an individual:

(1) Residence address(es)	Yes <input type="checkbox"/>	No <input type="checkbox"/>
(2) Citizenship	Yes <input type="checkbox"/>	No <input type="checkbox"/>
(3) Occupation	Yes <input type="checkbox"/>	No <input type="checkbox"/>

- (b) If an organization:

(1) Name	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
(2) Ownership or control	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
(3) Branch offices	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>

- (c) Explain fully all changes, if any, indicated in Items (a) and (b) above.

IF THE REGISTRANT IS AN INDIVIDUAL, OMIT RESPONSE TO ITEMS 3, 4, AND 5(a).

3. If you have previously filed Exhibit C¹, state whether any changes therein have occurred during this 6 month reporting period.

Yes No Not applicable

If yes, have you filed an amendment to the Exhibit C? Yes No

If no, please attach the required amendment.

¹ The Exhibit C, for which no printed form is provided, consists of a true copy of the charter, articles of incorporation, association, and by laws of a registrant that is an organization. (A waiver of the requirement to file an Exhibit C may be obtained for good cause upon written application to the Assistant Attorney General, National Security Division, U.S. Department of Justice, Washington, DC 20530.)

4. (a) Have any persons ceased acting as partners, officers, directors or similar officials of the registrant during this 6 month reporting period?

Yes No

If yes, furnish the following information:

Name	Position	Date Connection Ended
Wolfgang Huebschle	Director	October 15, 2013

(b) Have any persons become partners, officers, directors or similar officials during this 6 month reporting period?

Yes No

If yes, furnish the following information:

Name	Residence Address	Citizenship	Position	Date Assumed
------	-------------------	-------------	----------	--------------

5. (a) Has any person named in Item 4(b) rendered services directly in furtherance of the interests of any foreign principal?

Yes No

If yes, identify each such person and describe the service rendered.

(b) During this six month reporting period, has the registrant hired as employees or in any other capacity, any persons who rendered or will render services to the registrant directly in furtherance of the interests of any foreign principal(s) in other than a clerical or secretarial, or in a related or similar capacity? Yes No

Name	Residence Address	Citizenship	Position	Date Assumed
------	-------------------	-------------	----------	--------------

(c) Have any employees or individuals, who have filed a short form registration statement, terminated their employment or connection with the registrant during this 6 month reporting period? Yes No

If yes, furnish the following information:

Name	Position or Connection	Date Terminated
Wolfgang Huebschle	Director	October 15, 2013

(d) Have any employees or individuals, who have filed a short form registration statement, terminated their connection with any foreign principal during this 6 month reporting period? Yes No

If yes, furnish the following information:

Name	Position or Connection	Foreign Principal	Date Terminated
------	------------------------	-------------------	-----------------

6. Have short form registration statements been filed by all of the persons named in Items 5(a) and 5(b) of the supplemental statement?

Yes No **Not applicable**

If no, list names of persons who have not filed the required statement.

II - FOREIGN PRINCIPAL

7. Has your connection with any foreign principal ended during this 6 month reporting period? Yes No
If yes, furnish the following information:

Foreign Principal Date of Termination

8. Have you acquired any new foreign principal(s)² during this 6 month reporting period? Yes No
If yes, furnish the following information:

Name and Address of Foreign Principal(s) Date Acquired

9. In addition to those named in Items 7 and 8, if any, list foreign principal(s)² whom you continued to represent during the 6 month reporting period.

Bayerisches Staatsministerium für Wirtschaft und Medien, Energie und Technologie
(Bavarian Ministry for Economic Affairs and Media, Energy and Technology)

10. (a) Have you filed exhibits for the newly acquired foreign principal(s), if any, listed in Item 8?

Exhibit A³ Yes No Not applicable
Exhibit B⁴ Yes No Not applicable

If no, please attach the required exhibit.

- (b) Have there been any changes in the Exhibits A and B previously filed for any foreign principal whom you represented during this six month period? Yes No

If yes, have you filed an amendment to these exhibits? Yes No Not applicable

If no, please attach the required amendment.

² The term "foreign principal" includes, in addition to those defined in section 1(b) of the Act, an individual organization any of whose activities are directly or indirectly supervised, directed, controlled, financed, or subsidized in whole or in major part by a foreign government, foreign political party, foreign organization or foreign individual. (See Rule 100(a) (9)). A registrant who represents more than one foreign principal is required to list in the statements he files under the Act only those principals for whom he is not entitled to claim exemption under Section 3 of the Act. (See Rule 208.)

³ The Exhibit A, which is filed on Form NSD-3 (Formerly CRM-157) sets forth the information required to be disclosed concerning each foreign principal.

⁴ The Exhibit B, which is filed on Form NSD-4 (Formerly CRM-155) sets forth the information concerning the agreement or understanding between the registrant and the foreign principal.

III - ACTIVITIES

11. During this 6 month reporting period, have you engaged in any activities for or rendered any services to any foreign principal named in Items 7, 8, or 9 of this statement? Yes No

If yes, identify each foreign principal and describe in full detail your activities and services:

Bavarian Ministry for Economic Affairs and Media, Energy and Technology

New York office: See attached Addendum i (Description of Responsibilities) and Addendum ii (Website - About Us + Mission)

San Francisco office: local marketing
location services and information provided to U.S. companies

12. During this 6 month reporting period, have you on behalf of any foreign principal engaged in political activity⁵ as defined below? Yes No

If yes, identify each such foreign principal and describe in full detail all such political activity, indicating, among other things, the relations, interests and policies sought to be influenced and the means employed to achieve this purpose. If the registrant arranged, sponsored or delivered speeches, lectures or radio and TV broadcasts, give details as to dates, places of delivery, names of speakers and subject matter.

Bavarian Ministry for Economic Affairs and Media, Energy and Technology

New York office: See attached Addendum i (Description of Responsibilities)

San Francisco office: None

13. In addition to the above described activities, if any, have you engaged in activity on your own behalf which benefits your foreign principal(s)? Yes No

If yes, describe fully.

New York office: None

San Francisco: location marketing

⁵ The term "political activity" means any activity that the person engaging in believes will, or that the person intends to, in any way influence any agency or official of the Government of the United States or any section of the public within the United States with reference to formulating, adopting or changing the domestic or foreign policies of the United States or with reference to political or public interests, policies, or relations of a government of a foreign country or a foreign political party.

IV - FINANCIAL INFORMATION

14. (a) RECEIPTS-MONIES

During this 6 month reporting period, have you received from any foreign principal named in Items 7, 8, or 9 of this statement, or from any other source, for or in the interests of any such foreign principal, any contributions, income or money either as compensation or otherwise? Yes No

If no, explain why.

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies.⁶

Date	From Whom	Purpose	Amount
09/20/2013	Bavarian Ministry*	Subsidy for NY office (3. Quarter 2013)	\$196,211.10
09/20/2013	Bavarian Ministry*	Subsidy for SF office (3. Quarter 2013)	\$144,000.00
12/03/2013	Bavarian Ministry*	Subsidy for NY office (4. Quarter 2013)	\$189,393.94
12/03/2013	Bavarian Ministry*	Subsidy for SF office (4. Quarter 2013)	\$260,890.22

\$790,495.26

Total

*Bavarian Ministry for Economic Affairs and Media, Energy and Technology

(b) RECEIPTS - FUNDRAISING CAMPAIGN

During this 6 month reporting period, have you received, as part of a fundraising campaign⁷, any money on behalf of any foreign principal named in Items 7, 8, or 9 of this statement? Yes No

If yes, have you filed an Exhibit D to your registration? Yes No

If yes, indicate the date the Exhibit D was filed. Date _____

(c) RECEIPTS-THINGS OF VALUE

During this 6 month reporting period, have you received any thing of value⁹ other than money from any foreign principal named in Items 7, 8, or 9 of this statement, or from any other source, for or in the interests of any such foreign principal?

Yes No

If yes, furnish the following information:

Foreign Principal	Date Received	Thing of Value	Purpose
Bavarian Ministry for Economic Affairs and Media, Energy and Technology (Invest in Bavaria)			
New York office: None			
San Francisco Office		brochures trade show gifts	promotion promotion

^{6, 7} A registrant is required to file an Exhibit D if he collects or receives contributions, loans, moneys, or other things of value for a foreign principal, as part of a fundraising campaign. (See Rule 201(e)).

⁸ An Exhibit D, for which no printed form is provided, sets forth an account of money collected or received as a result of a fundraising campaign and transmitted for a foreign principal.

⁹ Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

15. (a) DISBURSEMENTS-MONIES

During this 6 month reporting period, have you

(1) disbursed or expended monies in connection with activity on behalf of any foreign principal named in Items 7, 8, or 9 of this statement? Yes No

(2) transmitted monies to any such foreign principal? Yes No

If no, explain in full detail why there were no disbursements made on behalf of any foreign principal.

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies, including monies transmitted, if any, to each foreign principal.

Date	To Whom	Purpose	Amount
Not applicable			

Total

(b) DISBURSEMENTS-THINGS OF VALUE

During this 6 month reporting period, have you disposed of anything of value¹⁰ other than money in furtherance of or in connection with activities on behalf of any foreign principal named in Items 7, 8, or 9 of this statement?

Yes No

If yes, furnish the following information:

Date	Recipient	Foreign Principal	Thing of Value	Purpose
Not applicable				

(c) DISBURSEMENTS-POLITICAL CONTRIBUTIONS

During this 6 month reporting period, have you from your own funds and on your own behalf either directly or through any other person, made any contributions of money or other things of value¹¹ in connection with an election to any political office, or in connection with any primary election, convention, or caucus held to select candidates for political office?

Yes No

If yes, furnish the following information:

Date	Amount or Thing of Value	Political Organization or Candidate	Location of Event
Not applicable			

10, 11 Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks" and the like.

V - INFORMATIONAL MATERIALS

16. (a) During this 6 month reporting period, did you prepare, disseminate or cause to be disseminated any informational materials?¹²
Yes No

If Yes, go to Item 17.

(b) If you answered No to Item 16(a), do you disseminate any material in connection with your registration?
Yes No Not applicable

If Yes, please forward the materials disseminated during the six month period to the Registration Unit for review.

17. Identify each such foreign principal.

Bayerisches Staatsministerium für Wirtschaft und Medien, Energie und Technologie
(Bavarian Ministry for Economic Affairs and Media, Energy and Technology)

18. During this 6 month reporting period, has any foreign principal established a budget or allocated a specified sum of money to finance your activities in preparing or disseminating informational materials? Yes No

If yes, identify each such foreign principal, specify amount, and indicate for what period of time.

Please see addendum III

19. During this 6 month reporting period, did your activities in preparing, disseminating or causing the dissemination of informational materials include the use of any of the following:

- Radio or TV broadcasts
- Magazine or newspaper
- Motion picture films
- Letters or telegrams
- Advertising campaigns
- Press releases
- Pamphlets or other publications
- Lectures or speeches
- Other (specify) _____

Electronic Communications

- Email
- Website URL(s): www.bavaria.org; www.bavaria-westcoast.com
- Social media websites URL(s): _____
- Other (specify) LinkedIn, Facebook, Twitter

20. During this 6 month reporting period, did you disseminate or cause to be disseminated informational materials among any of the following groups:

- Public officials
- Newspapers
- Libraries
- Legislators
- Editors
- Educational institutions
- Government agencies
- Civic groups or associations
- Nationality groups
- Other (specify) _____

21. What language was used in the informational materials:

- English
- Other (specify) _____

22. Did you file with the Registration Unit, U.S. Department of Justice a copy of each item of such informational materials disseminated or caused to be disseminated during this 6 month reporting period? Yes No

23. Did you label each item of such informational materials with the statement required by Section 4(b) of the Act? Yes No

¹² The term informational materials includes any oral, visual, graphic, written, or pictorial information or matter of any kind, including that published by means of advertising, books, periodicals, newspapers, lectures, broadcasts, motion pictures, or any means or instrumentality of interstate or foreign commerce or otherwise. Informational materials disseminated by an agent of a foreign principal as part of an activity in itself exempt from registration, or an activity which by itself would not require registration, need not be filed pursuant to Section 4(b) of the Act.

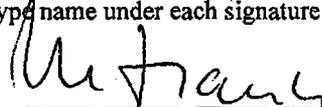
VI - EXECUTION

In accordance with 28 U.S.C. § 1746, the undersigned swear(s) or affirm(s) under penalty of perjury that he/she has (they have) read the information set forth in this registration statement and the attached exhibits and that he/she is (they are) familiar with the contents thereof and that such contents are in their entirety true and accurate to the best of his/her (their) knowledge and belief, except that the undersigned make(s) no representation as to truth or accuracy of the information contained in the attached Short Form Registration Statement(s), if any, insofar as such information is not within his/her (their) personal knowledge.

(Date of signature)

(Print or type name under each signature or provide electronic signature¹³)

02/25/14



Dr. Michael Blank

¹³ This statement shall be signed by the individual agent, if the registrant is an individual, or by a majority of those partners, officers, directors or persons performing similar functions, if the registrant is an organization, except that the organization can, by power of attorney, authorize one or more individuals to execute this statement on its behalf.

Addendum 1) Page 1

Registrant: Bavarian Ministry for Economic Affairs, Infrastructure, Transport and Technology-U.S. Office for Economic Dev.

Description of Responsibilities of the Bavarian Representation in the U.S.A.

- Active participation in preparing Bavarian marketing strategies for the U.S.A. Particularly in light of representative's experience in and knowledge of the market new ideas and initiatives for the marketing strategies are expected.
- Establishing contacts with U.S. Companies for direct investments, joint ventures, cooperation agreements, etc. (lead generation).
- Cultivating contacts with multipliers in the business community (banks, accountants, management consultants, associations, Chambers of Commerce, industrial planning organizations etc.) and utilizing these contacts for lead generation.
- Creation and execution of direct mail campaigns and sending out information materials about location Bavaria to target groups.
- Compiling of specific information about certain companies within the target group, such as company size, position in the market, international business activities etc.
- Organizing and executing seminars and informational events in the United States about location Bavaria.
- Organizing business trips for members of the Ministry for Economic Affairs to the U.S. including personal support, and possibly accompanying the visitor(s).
- Providing diverse services for the Ministry for Economic Affairs such as storage and shipment of information materials, handling inquiries by mail, telephone or facsimile etc. (office service).
- Ongoing reporting (in writing every two months) on current developments within the U.S. market, especially international expansion activities of U.S. companies in Europe.
- Keep current on and occasional feedback on other countries, including European regions and North America market activities (observe competition).

Addendum ii



Bavarian Ministry of
Economic Affairs and Media,
Energy and Technology

563 Lexington Avenue, 17th Floor
New York, NY 10022 USA

Tel 1-800-585-2990
Tel 212-317-0569
Fax 212-317-0560
invest@bavaria.org



ADVANTAGES	INDUSTRY CLUSTERS	RESOURCES	REGIONS	NEWS & EVENTS	ABOUT	SERVICES	CONTACT
------------	-------------------	-----------	---------	---------------	-------	----------	---------



Resources

- Investors' Guide
- Publications
- Location Search (SISBY)
- Bavarian Company Database
- German Information Center

Assistance for U.S. Investors

- Services
- Team
- Contact
- Request Information

Newsletter Signup

Download or Subscribe to our free "Bavaria in the News" Newsletter.

[home / about](#)

[print page](#)

About

- Introduction
- Mission
- Services
- Team
- Contact

Invest-in-Bavaria is the investment and location marketing organization of the Bavarian State Ministry for Economic Affairs, Infrastructure, Transport and Technology. It is part of the Department of International Trade and Investment and is under the leadership of the Bavarian State Minister Mrs. Ilse Eigner.

The Bavarian U.S. Offices for Economic Development, LLC is the representative organization for Invest in Bavaria in the United States. The organization's New York office is headed by Executive Director Jan Danisman and the San Francisco office is headed by Executive Director Lucie Merkle. These are two of the **23 representative offices** of Invest-in-Bavaria's global network.



Invest in Bavaria's New York Office



Bavarian Ministry of Economic Affairs and Media, Energy and Technology

530 Lexington Avenue, 17th Floor
New York, NY 10022 USA

Tel 1-800-595-2990
Tel 212-317-0588
Fax 212-317-0590
invest@bavaria.org



[ADVANTAGES](#) |
 [INDUSTRY CLUSTERS](#) |
 [RESOURCES](#) |
 [REGIONS](#) |
 [NEWS & EVENTS](#) |
 [ABOUT](#) |
 [SERVICES](#) |
 [CONTACT](#)

About Invest in Bavaria

[home](#) / [about](#) /

[print page](#)

Mission

Introduction

Mission

Services

Team

Contact

The mission of the Bavarian U.S. Offices for Economic Development, LLC is to assist American companies in finding the most advantageous solution for their business expansions and acquisitions in Europe.

We seek to attract productive foreign direct investment into Germany's southern state of Bavaria to support economic growth and development in Bavaria's key industry clusters. We achieve this by promoting Bavaria's strategic and competitive advantages to U.S. investors and business leaders and by providing them with cost-free and confidential professional services to assist in their investment process.

Familiar with the intricacies of the corporate cultures of both German and American companies, our New York based team with the direct support of the Invest-In-Bavaria team at our Headquarters in Munich, seeks to streamline the fact-finding and the efficiency phases of the investment process. Over the past decade, since the founding of the office in 1996, the team's track record has been substantial, having helped a wide range of U.S. businesses successfully achieve their desired overseas objectives in Bavaria.

We invite you to join the over 850 U.S. companies that have successfully established subsidiaries in Bavaria. We look forward to helping you achieve your expansion objectives and offer you our **services**.



Invest-In-Bavaria's Munich Office
Bavarian Ministry for Economic Affairs, Infrastructure, Transport and Technology
28 Prinzregenten Street, Munich Germany

Resources

- ▣ [Investors' Guide](#)
- ▣ [Publications](#)
- ▣ [Location Search \(SISBY\)](#)
- ▣ [Bavarian Company Database](#)
- ▣ [German Information Center](#)

Assistance for U.S. Investors

- ▣ [Services](#)
- ▣ [Team](#)
- ▣ [Contact](#)
- ▣ [Request Information](#)

Newsletter Signup

Download or **Subscribe** to our free "Bavaria in the News" Newsletter.



Addendum iii

Bavarian US offices for Economic Development, LLC New
YorkAug 13 - Jan 14

Expense

511 · Salaries	
5101 · Gross Salaries	139,904.10
5102 · Social Security - US Fica	6,004.49
5103 · Federal Unemployment Insurance	45.07
5104 · NYS Unemployment Ins.	161.27
5106 · NY State Disability	67.60
5108 · MCTM	459.30
5109 · Health Insurance	7,340.00
5110 · US Pension Group	4,462.52
5111 · LTD & Life, Dental & Vision Ins	701.40
5113 · Workers Compensation NY	378.00
Total 511 · Salaries	159,523.75
5120 · Prof. fees NY	26,264.80
513 · Office Space	
5130 · Office Space Rent NY	29,000.00
Total 513 · Office Space	29,000.00
514 · Office Insurance	
5140 · Insurance NY	8.34
5142 · Exporter's Package NY	331.25
5144 · Umbrella Insurance NY	233.75
5146 · Property Insurance NY	231.00
5148 · Fidelity Insurance	13.00
5152 · Automobile Commercial NY	695.00
5154 · Directors & Officers NY	550.75
Total 514 · Office Insurance	2,063.09
5150 · Office Expense	2,818.21
516 · Telecommunication	
5160 · Phone charges NY	5,533.50
5162 · Internet Exp. NY	1,797.96
Total 516 · Telecommunication	7,331.46
517 · Travel & Entertainment	
5170 · Travel Exp NY	6,417.33
5171 · Entertainment Exp NY	1,610.71
Total 517 · Travel & Entertainment	8,028.04
518 · Automobile Expense	
5180 · Automobile Lease NY	2,655.86
5182 · Automobile R&M NY	255.06
Total 518 · Automobile Expense	2,910.92
5190 · Library & Database NY	216.14
520 · Events & Membership Expense	
5200 · Events, Luncheon, Seminars NY	85,570.48
5202 · Membership Dues NY	6,901.00
Total 520 · Events & Membership Expense	92,471.48
5210 · Postage NY	643.44
5221 · Advertising Expense NY	5,704.40
5225 · Misc. Expense NY	2,071.39
5235 · IT & other elect. purchase NY	240.71
5237 · Depreciation Exp IT NY	2,120.87
5239 · Depreciation Exp Furniture NY	177.80
5241 · Marketing Exp NY	18,085.39
5250 · Bank Charges NY	26.87
Total Expense	359,698.76

11:20 AM
02/27/14
Accrual Basis

Bavarian US Offices for Economic Development, LLC
Profit & Loss
August through December 2013

Bavarian US offices for Economic Development, LLC San Francisco	Aug 13 - Jan 14
Expense	
511 - Salaries	
5101 - Gross Salaries	165,296.18
5102 - Social Security - US Fica	5,486.07
5103 - Federal Unemployment Insurance	126.09
5105 - CAS Unemployment Insurance	1,324.02
5107 - CA State Disability	0.00
5109 - Health Insurance	6,923.00
5111 - LTD & Life, Dental & Vision Ins	351.85
5114 - Workers Compensation SF	294.00
Total 511 - Salaries	199,801.21
512 - Professional Fees	
5121 - Prof Fees SF	2,156.19
Total 512 - Professional Fees	2,156.19
513 - Office Space	
5131 - Office Space Rent SF	27,006.00
5133 - Office Space R&M SF	1,386.00
5136 - Storage Space SF	84.80
Total 513 - Office Space	28,476.80
514 - Office Insurance	
5143 - Exporter's Package SF	331.25
5145 - Umbrella Insurance SF	273.00
5147 - Property Insurance SF	213.00
5149 - Fidelity Insurance SF	13.00
5153 - Automobile Commercial SF	631.00
5155 - Directors & Officers SF	550.75
Total 514 - Office Insurance	2,012.00
515 - Office Expense SF	
5151 - Office Expense SF	1,119.62
516 - Telecommunications	
5161 - Phone charges SF	3,965.18
5163 - Internet Exp SF	275.00
Total 516 - Telecommunications	4,240.18
517 - Travel & Entertainment	
5172 - Travel Expense SF	28,560.08
5173 - Entertainment Expense SF	1,890.78
Total 517 - Travel & Entertainment	30,450.86
518 - Automobile Expense	
5181 - Automobile Lease SF	3,394.05
5183 - Automobile R&M SF	382.00
5184 - Automobile Misc. SF	1,390.94
Total 518 - Automobile Expense	5,166.99
519 - Library & Database SF	
5191 - Library & Database SF	1,110.00
520 - Events, Membership Dues	
5201 - Events, Luncheons, Seminars SF	46,912.11
5203 - Membership Dues SF	1,645.00
520 - Events, Membership Dues - Other	42.90
Total 520 - Events, Membership Dues	48,600.01
521 - Postage SF	
5211 - Postage SF	680.56
522 - Advertising Expense SF	
5222 - Advertising Expense SF	6,396.47
526 - Misc. Expense SF	
5226 - Misc. Expense SF	795.41
523 - Christmas Expense SF	
5231 - Christmas Expense SF	750.00
523 - IT & other elect. purchase SF	
5236 - IT & other elect. purchase SF	3,770.68
523 - Depreciation Exp IT SF	
5238 - Depreciation Exp IT SF	2,530.59
524 - Marketing Exp SF	
5241 - Marketing Exp SF	4,710.00
525 - Bank Charges SF	
5251 - Bank Charges SF	86.56
Total Expense	342,854.13