

OMB No. 1124-0002, Expires April 30, 2017

U.S. Department of Justice
Washington, DC 20530

Supplemental Statement
Pursuant to the Foreign Agents Registration Act of 1938, as amended

For Six-Month Period Ending January 31, 2016

(Insert date)

I- REGISTRANT

1. (a) Name of Registrant

(b) Registration No.

Bavarian U.S. Offices for Economic Development, LLC 6015

(c) Business Address(es) of Registrant

80 Pine Street, 24th Floor, New York, NY 10005

2. Has there been a change in the information previously furnished in connection with the following?

(a) If an individual:

- (1) Residence address(es) Yes No
- (2) Citizenship Yes No
- (3) Occupation Yes No

(b) If an organization:

- (1) Name Yes No
- (2) Ownership or control Yes No
- (3) Branch offices Yes No

(c) Explain fully all changes, if any, indicated in Items (a) and (b) above.

IF THE REGISTRANT IS AN INDIVIDUAL, OMIT RESPONSE TO ITEMS 3, 4, AND 5(a).

3. If you have previously filed Exhibit C¹, state whether any changes therein have occurred during this 6 month reporting period.

Yes No Not applicable

If yes, have you filed an amendment to the Exhibit C? Yes No

If no, please attach the required amendment.

¹ The Exhibit C, for which no printed form is provided, consists of a true copy of the charter, articles of incorporation, association, and by laws of a registrant that is an organization. (A waiver of the requirement to file an Exhibit C may be obtained for good cause upon written application to the Assistant Attorney General, National Security Division, U.S. Department of Justice, Washington, DC 20530.)

4. (a) Have any persons ceased acting as partners, officers, directors or similar officials of the registrant during this 6 month reporting period?

Yes No

If yes, furnish the following information:

Name	Position	Date Connection Ended
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(b) Have any persons become partners, officers, directors or similar officials during this 6 month reporting period?

Yes No

If yes, furnish the following information:

Name	Residence Address	Citizenship	Position	Date Assumed
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5. (a) Has any person named in Item 4(b) rendered services directly in furtherance of the interests of any foreign principal?

Yes No

If yes, identify each such person and describe the service rendered.

(b) During this six month reporting period, has the registrant hired as employees or in any other capacity, any persons who rendered or will render services to the registrant directly in furtherance of the interests of any foreign principal(s) in other than a clerical or secretarial, or in a related or similar capacity? Yes No

Name	Residence Address	Citizenship	Position	Date Assumed
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(c) Have any employees or individuals, who have filed a short form registration statement, terminated their employment or connection with the registrant during this 6 month reporting period? Yes No

If yes, furnish the following information:

Name	Position or Connection	Date Terminated
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(d) Have any employees or individuals, who have filed a short form registration statement, terminated their connection with any foreign principal during this 6 month reporting period? Yes No

If yes, furnish the following information:

Name	Position or Connection	Foreign Principal	Date Terminated
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6. Have short form registration statements been filed by all of the persons named in Items 5(a) and 5(b) of the supplemental statement?

Yes No Not applicable

If no, list names of persons who have not filed the required statement.

II - FOREIGN PRINCIPAL

7. Has your connection with any foreign principal ended during this 6 month reporting period? Yes No

If yes, furnish the following information:

Foreign Principal

Date of Termination

8. Have you acquired any new foreign principal(s)² during this 6 month reporting period? Yes No

If yes, furnish the following information:

Name and Address of Foreign Principal(s)

Date Acquired

9. In addition to those named in Items 7 and 8, if any, list foreign principal(s)² whom you continued to represent during the 6 month reporting period.

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(Bavarian Ministry for Economic Affairs and Media, Energy and Technology)

10. (a) Have you filed exhibits for the newly acquired foreign principal(s), if any, listed in Item 8?

Exhibit A³ Yes No Not applicable

Exhibit B⁴ Yes No Not applicable

If no, please attach the required exhibit.

(b) Have there been any changes in the Exhibits A and B previously filed for any foreign principal whom you represented during this six month period? Yes No

If yes, have you filed an amendment to these exhibits? Yes No Not applicable

If no, please attach the required amendment.

² The term "foreign principal" includes, in addition to those defined in Section 1(b) of the Act, an individual organization any of whose activities are directly or indirectly supervised, directed, controlled, financed, or subsidized in whole or in major part by a foreign government, foreign political party, foreign organization or foreign individual. (See Rule 100(a)(9)). A registrant who represents more than one foreign principal is required to list in the statements he files under the Act only those principals for whom he is not entitled to claim exemption under Section 3 of the Act. (See Rule 208.)

³ The Exhibit A, which is filed on Form NSD-3, sets forth the information required to be disclosed concerning each foreign principal.

⁴ The Exhibit B, which is filed on Form NSD-4, sets forth the information concerning the agreement or understanding between the registrant and the foreign principal.

III - ACTIVITIES

11. During this 6 month reporting period, have you engaged in any activities for or rendered any services to any foreign principal named in Items 7, 8, or 9 of this statement? Yes No

If yes, identify each foreign principal and describe in full detail your activities and services:

Bavarian Ministry for Economic Affairs and Media, Energy and Technology

New York office: Addendum i (Description of Responsibilities)
Addendum ii (Website - About us, Mission, Services)

San Francisco: location marketing
location services provided to US companies

12. During this 6 month reporting period, have you on behalf of any foreign principal engaged in political activity⁵ as defined below? Yes No

If yes, identify each such foreign principal and describe in full detail all such political activity, indicating, among other things, the relations, interests and policies sought to be influenced and the means employed to achieve this purpose. If the registrant arranged, sponsored or delivered speeches, lectures or radio and TV broadcasts, give details as to dates, places of delivery, names of speakers and subject matter.

Bavarian Ministry for Economic Affairs and Media, Energy and Technology

New York office: Addendum i (Description of Responsibilities)

San Francisco: none

13. In addition to the above described activities, if any, have you engaged in activity on your own behalf which benefits your foreign principal(s)? Yes No

If yes, describe fully.

⁵ "Political activity," as defined in Section 1(o) of the Act, means any activity that the person engaging in believes will, or that the person intends to, in any way influence any agency or official of the Government of the United States or any section of the public within the United States with reference to formulating, adopting or changing the domestic or foreign policies of the United States or with reference to political or public interests, policies, or relations of a government of a foreign country or a foreign political party.

IV - FINANCIAL INFORMATION

14. (a) RECEIPTS-MONIES

During this 6 month reporting period, have you received from any foreign principal named in Items 7, 8, or 9 of this statement, or from any other source, for or in the interests of any such foreign principal, any contributions, income or money either as compensation or otherwise? Yes No

If no, explain why.

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies.⁶

Date	From Whom	Purpose	Amount
12/17/2015	Bavarian Ministry for Economic Affairs and Media, Energy and Technology	SF office: contribution to cover office operating expenditures (1. Quarter 2016)	\$ 81,389.04
			<u>\$ 81,389.04</u>
			Total

(b) RECEIPTS - FUNDRAISING CAMPAIGN

During this 6-month reporting period, have you received, as part of a fundraising campaign⁷, any money on behalf of any foreign principal named in Items 7, 8, or 9 of this statement? Yes No

If yes, have you filed an Exhibit D⁸ to your registration? Yes No

If yes, indicate the date the Exhibit D was filed. Date _____

(c) RECEIPTS-THINGS OF VALUE

During this 6 month reporting period, have you received any thing of value⁹ other than money from any foreign principal named in Items 7, 8, or 9 of this statement, or from any other source, for or in the interests of any such foreign principal? Yes No

If yes, furnish the following information:

Foreign Principal	Date Received	Thing of Value	Purpose
Bavarian Ministry for Economic Affairs and Media, Energy and Technology			
New York office		brochures	promotion
San Francisco office		brochures	promotion

⁶ 7 A registrant is required to file an Exhibit D if he collects or receives contributions, loans, moneys, or other things of value for a foreign principal, as part of a fundraising campaign. (See Rule 201(e)).

⁸ An Exhibit D, for which no printed form is provided, sets forth an account of money collected or received as a result of a fundraising campaign and transmitted for a foreign principal.

⁹ Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

15. (a) **DISBURSEMENTS-MONIES**

During this 6 month reporting period, have you

(1) disbursed or expended monies in connection with activity on behalf of any foreign principal named in Items 7, 8, or 9 of this statement? Yes No

(2) transmitted monies to any such foreign principal? Yes No

If no, explain in full detail why there were no disbursements made on behalf of any foreign principal.

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies, including monies transmitted, if any, to each foreign principal.

Date	To Whom	Purpose	Amount
Not applicable			

Total

(b) DISBURSEMENTS-THINGS OF VALUE

During this 6 month reporting period, have you disposed of anything of value¹⁰ other than money in furtherance of or in connection with activities on behalf of any foreign principal named in Items 7, 8, or 9 of this statement?

Yes No

If yes, furnish the following information:

Date	Recipient	Foreign Principal	Thing of Value	Purpose
Not applicable				

(c) DISBURSEMENTS-POLITICAL CONTRIBUTIONS

During this 6 month reporting period, have you from your own funds and on your own behalf either directly or through any other person, made any contributions of money or other things of value¹¹ in connection with an election to any political office, or in connection with any primary election, convention, or caucus held to select candidates for political office?

Yes No

If yes, furnish the following information:

Date	Amount or Thing of Value	Political Organization or Candidate	Location of Event
Not applicable			

^{10, 11} Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

V - INFORMATIONAL MATERIALS

16. (a) During this 6 month reporting period, did you prepare, disseminate or cause to be disseminated any informational materials?¹²
Yes No

If Yes, go to Item 17.

(b) If you answered No to Item 16(a), do you disseminate any material in connection with your registration?
Yes No Not applicable

If Yes, please forward the materials disseminated during the six month period to the Registration Unit for review.

17. Identify each such foreign principal.

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(Bavarian Ministry for Economic Affairs and Media, Energy and Technology)

18. During this 6 month reporting period, has any foreign principal established a budget or allocated a specified sum of money to finance your activities in preparing or disseminating informational materials? Yes No

If yes, identify each such foreign principal, specify amount, and indicate for what period of time.
Please see Addendum iii

19. During this 6 month reporting period, did your activities in preparing, disseminating or causing the dissemination of informational materials include the use of any of the following:

- Radio or TV broadcasts
- Magazine or newspaper
- Motion picture films
- Letters or telegrams
- Advertising campaigns
- Press releases
- Pamphlets or other publications
- Lectures or speeches
- Other (specify) _____

Electronic Communications

- Email
- Website URL(s): www.bavaria.org; www.bavaria-westcoast.com
- Social media websites URL(s): _____
- Other (specify) LinkedIn; Facebook; Twitter

20. During this 6 month reporting period, did you disseminate or cause to be disseminated informational materials among any of the following groups:

- Public officials
- Newspapers
- Libraries
- Legislators
- Editors
- Educational institutions
- Government agencies
- Civic groups or associations
- Nationality groups
- Other (specify) _____

21. What language was used in the informational materials:

- English
- Other (specify) _____

22. Did you file with the Registration Unit, U.S. Department of Justice a copy of each item of such informational materials disseminated or caused to be disseminated during this 6 month reporting period? Yes No

23. Did you label each item of such informational materials with the statement required by Section 4(b) of the Act? Yes No

¹² The term informational materials includes any oral, visual, graphic, written, or pictorial information or matter of any kind, including that published by means of advertising, books, periodicals, newspapers, lectures, broadcasts, motion pictures, or any means or instrumentality of interstate or foreign commerce or otherwise. Informational materials disseminated by an agent of a foreign principal as part of an activity in itself exempt from registration, or an activity which by itself would not require registration, need not be filed pursuant to Section 4(b) of the Act.

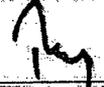
VI - EXECUTION

In accordance with 28 U.S.C. § 1746, the undersigned swear(s) or affirm(s) under penalty of perjury that he/she has (they have) read the information set forth in this registration statement and the attached exhibits and that he/she is (they are) familiar with the contents thereof and that such contents are in their entirety true and accurate to the best of his/her (their) knowledge and belief, except that the undersigned make(s) no representation as to truth or accuracy of the information contained in the attached Short Form Registration Statement(s), if any, insofar as such information is not within his/her (their) personal knowledge.

(Date of signature)

(Print or type name under each signature or provide electronic signature¹³)

02/29/2016


J. R. [unclear]

¹³ This statement shall be signed by the individual agent, if the registrant is an individual, or by a majority of those partners, officers, directors or persons performing similar functions, if the registrant is an organization, except that the organization can, by power of attorney, authorize one or more individuals to execute this statement on its behalf.

Attachment 1 Page 1

Registar: Bavarian Ministry for Economic Affairs, Infrastructure, Transport and Technology-U.S. Office for Economic Dev.

Description of Responsibilities of the Bavarian Representation in the U.S.A.

- Active participation in preparing Bavarian marketing strategies for the U.S.A. Particularly in light of representative's experience in and knowledge of the market new ideas and initiatives for the marketing strategies are expected.
- Establishing contacts with U.S. Companies for direct investments, joint ventures, cooperation agreements, etc. (lead generation).
- Cultivating contacts with multipliers in the business community (banks, accountants, management consultants, associations, Chambers of Commerce, industrial planning organizations etc.) and utilizing these contacts for lead generation.
- Creation and execution of direct mail campaigns and sending out information materials about location Bavaria to target groups.
- Compiling of specific information about certain companies within the target group, such as company size, position in the market, international business activities etc.
- Organizing and executing seminars and informational events in the United States about location Bavaria.
- Organizing business trips for members of the Ministry for Economic Affairs to the U.S. including personal support, and possibly accompanying the visitor(s).
- Providing diverse services for the Ministry for Economic Affairs such as storage and shipment of information materials, handling inquiries by mail, telephone or facsimile etc. (office service).
- Ongoing reporting (in writing every two months) on current developments within the U.S. market, especially international expansion activities of U.S. companies in Europe.
- Keep current on and occasional feedback on other countries, including European regions and North America market activities (observe competition).

Addendum ii

Bayerisches Staatsministerium für Wirtschaft und Medien, Energie und Technologie

Topics > Economy & Location Marketing > Invest in Bavaria

INVEST IN BAVARIA

The State of Bavaria's business promotion agency



The State of Bavaria's business promotion agency, Invest in Bavaria, is in charge of attracting direct investment. Since 1999, Invest in Bavaria has supported companies from Germany and abroad in setting up or expanding operations in Bavaria.

Invest in Bavaria produces individualised information, helps to find the right location in Bavaria and facilitates contact with local authorities, associations and important networks for implementing projects. Invest in Bavaria's services are free of charge. All queries will, of course, be handled confidentially.

The State of Bavaria's business promotion agency is a reliable and competent partner throughout all of the phases in an investment project.

Planning and preparing

The better the information about potential locations is, the more certainty and efficiency there is for making an investment decision. Invest in Bavaria provides companies interested in Bavaria as a business location with comparative, reliable information on the market and business environment, on industry and technology networks, and on funding and financing instruments.

Selecting a business location

The location criteria specific to a project are developed mutually according to the investor's wishes and needs. According to that profile of requirements, Invest in Bavaria will create specific location suggestions, identify appropriate commercial properties and spaces, and organise on-site inspections.

Making things happen

Once the decision has been made for a business location, Invest in Bavaria makes sure that the companies are supported by the right local partners. Invest in Bavaria researches funding possibilities, facilitates contact to appropriate funding institutions and, upon request, to potential financing partners. Invest in Bavaria also handles questions involving taxes, laws and administration for uncomplicated and seamless coordination with the authorities relevant to the project, the regional business development agencies and specialised service providers.

Developing the business location

Invest in Bavaria continues to be available to companies interested in its services even after establishing operations in Bavaria. Invest in Bavaria's broad spectrum of services ranges from information on Bavarian business promotion, like the trade fair participation programme, and information on useful company databases and sector networks to providing contact to foreign communities. Invest in Bavaria is also at their side for expansion projects with advice, action and good contacts.

Videos



„BAVARIA - Your Business. Your Future.“ Experience Bavaria from a fascinating perspective.

Publications



Invest in Bavaria information leaflet

This leaflet informs about Invest in Bavaria, the inward investment and business promotion agency of the Free State of Bavaria.

Stand: Januar 2014

PDF (541 KB)

Bavarian US Office for Economic Development, LLC - New York Office
Expenses August 1st 2015 - JJanuary 31st 2016

Expense

511 · Salaries	
5101 · Gross Salaries	165,517.11
5102 · Social Security - US Fica	8,557.31
5103 · Federal Unemployment Insurance	102.06
5104 · NYS Unemployment Ins.	2,277.16
5106 · NY State Disability	-10.40
5108 · MCTM	177.57
5109 · Health Insurance	9,428.60
5110 · US Pension Group	6,049.37
5111 · LTD & Life, Dental & Vision Ins	1,123.79
5112 · Service fee	2,650.00
5113 · Workers Compensation NY	610.97
Total 511 · Salaries	196,483.64
5120 · Prof. fees NY	59,778.08
513 · Office Space	
5130 · Office Space Rent NY	18,481.50
Total 513 · Office Space	18,481.50
514 · Office Insurance	
5140 · Insurance NY	156.89
Total 514 · Office Insurance	156.89
5150 · Office Expense	2,636.12
516 · Telecommunication	
5160 · Phone charges NY	1,596.02
5162 · Internet Exp. NY	7,731.83
Total 516 · Telecommunication	9,327.85
517 · Travel & Entertainment	
5170 · Travel Exp NY	18,307.87
5171 · Entertainment Exp NY	1,196.65
Total 517 · Travel & Entertainment	19,504.52
518 · Automobile Expense	
5185 · Automobile Misc. NY	733.80
Total 518 · Automobile Expense	733.80
5190 · Library & Database NY	516.43
520 · Events & Membership Expense	
5200 · Events, Luncheon, Seminars NY	47,084.61
5202 · Membership Dues NY	2,175.00
Total 520 · Events & Membership Expense	49,259.61
5210 · Postage NY	513.80
5221 · Advertising Expense NY	7,500.00
5225 · Misc. Expense NY	-8,153.86
5235 · IT & other elect. purchase NY	859.62
5237 · Depreciation Exp IT NY	2,160.22
5239 · Depreciation Exp Furniture NY	2,313.23
5241 · Marketing Exp NY	14,947.91
5243 · Depreciation Leasehold Imp	3,012.10
5250 · Bank Charges NY	-0.93
5252 · Moving Expense	320.00
Total Expense	380,350.43

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Bavarian US Office for Economic Development, LLC - San Francisco Office
Expenses August 1st 2015 - January 31st 2016

Expense

511 - Salaries	
5101 - Gross Salaries	119,214.04
5102 - Social Security - US Fica	7,392.17
5103 - Federal Unemployment Insurance	119.03
5105 - CAS Unemployment Insurance	502.04
5107 - CA State Disability	0.00
5109 - Health Insurance	3,728.07
5110 - US Pension Group	4,720.00
5111 - LTD & Life, Dental & Vision Ins	459.89
5112 - Service fee	2,208.50
5114 - Workers Compensation SF	1,875.31
5115 - Payroll Expense Tax	998.56
Total 511 - Salaries	141,217.61
512 - Professional Fees	
5121 - Prof Fees SF	17,028.92
Total 512 - Professional Fees	17,028.92
513 - Office Space	
5131 - Office Space Rent SF	33,864.66
5136 - Storage Space SF	450.00
Total 513 - Office Space	34,314.66
514 - Office Insurance	
5141 - Insurance SF	2,481.49
Total 514 - Office Insurance	2,481.49
5151 - Office Expense SF	2,225.22
516 - Telecommunications	
5161 - Phone charges SF	5,233.95
5163 - Internet Exp SF	372.42
Total 516 - Telecommunications	5,606.37
517 - Travel & Entertainment	
5172 - Travel Expense SF	14,607.41
5173 - Entertainment Expense SF	1,488.26
Total 517 - Travel & Entertainment	16,095.67
5191 - Library & Database SF	629.88
520 - Events, Membership Dues	
5201 - Events, Luncheons, Seminars SF	37,386.02
5203 - Membership Dues SF	35.00
Total 520 - Events, Membership Dues	37,421.02
5211 - Postage SF	432.29
5222 - Advertising Expense SF	2,993.85
5226 - Misc. Expense SF	1,383.57
5236 - IT & other elect. purchase SF	129.39
5238 - Depreciation Exp IT SF	3,126.51
5241 - Marketing Exp SF	1,827.80
5251 - Bank Charges SF	66.83
Total Expense	266,981.08

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