

U.S. Department of Justice

Washington, DC 20530

Exhibit A to Registration Statement
Pursuant to the Foreign Agents Registration Act of
1938, as amended

INSTRUCTIONS. Furnish this exhibit for EACH foreign principal listed in an initial statement and for EACH additional foreign principal acquired subsequently. The filing of this document requires the payment of a filing fee as set forth in Rule (d)(1), 28 C.F.R. § 5.5(d)(1). Compliance is accomplished by filing an electronic Exhibit A form at <http://www.fara.gov>.

Privacy Act Statement. The filing of this document is required by the Foreign Agents Registration Act of 1938, as amended, 22 U.S.C. § 611 *et seq.*, for the purposes of registration under the Act and public disclosure. Provision of the information requested is mandatory, and failure to provide this information is subject to the penalty and enforcement provisions established in Section 8 of the Act. Every registration statement, short form registration statement, supplemental statement, exhibit, amendment, copy of informational materials or other document or information filed with the Attorney General under this Act is a public record open to public examination, inspection and copying during the posted business hours of the Registration Unit in Washington, DC. Statements are also available online at the Registration Unit's webpage: <http://www.fara.gov>. One copy of every such document, other than informational materials, is automatically provided to the Secretary of State pursuant to Section 6(b) of the Act, and copies of any and all documents are routinely made available to other agencies, departments and Congress pursuant to Section 6(c) of the Act. The Attorney General also transmits a semi-annual report to Congress on the administration of the Act which lists the names of all agents registered under the Act and the foreign principals they represent. This report is available to the public in print and online at: <http://www.fara.gov>.

Public Reporting Burden. Public reporting burden for this collection of information is estimated to average .49 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to Chief, Registration Unit, Counterespionage Section, National Security Division, U.S. Department of Justice, Washington, DC 20530; and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Washington, DC 20503.

1. Name and Address of Registrant

James G. Hergen
 2204 Valley Circle
 Alexandria VA 22302

2. Registration No.

6088

3. Name of Foreign Principal

Taiwan Economic and Cultural Representative Office in
 the U. S. (TECRO)

4. Principal Address of Foreign Principal

4210 Wisconsin Ave., NW
 Washington DC 20016

5. Indicate whether your foreign principal is one of the following:

- Foreign government
 Foreign political party
 Foreign or domestic organization: If either, check one of the following:
 Partnership Committee
 Corporation Voluntary group
 Association Other (*specify*) _____
 Individual-State nationality _____

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6. If the foreign principal is a foreign government, state:

- a) Branch or agency represented by the registrant

Ministry of Foreign Affairs (TECRO)

- b) Name and title of official with whom registrant deals

Ambassador Jason Yuan, TECRO Representative

7. If the foreign principal is a foreign political party, state:

- a) Principal address

- b) Name and title of official with whom registrant deals

- c) Principal aim

Formerly CRM-157

FORM NSD-3
Revised 03/11

8. If the foreign principal is not a foreign government or a foreign political party:

a) State the nature of the business or activity of this foreign principal.

b) Is this foreign principal:

- Supervised by a foreign government, foreign political party, or other foreign principal Yes No
- Owned by a foreign government, foreign political party, or other foreign principal Yes No
- Directed by a foreign government, foreign political party, or other foreign principal Yes No
- Controlled by a foreign government, foreign political party, or other foreign principal Yes No
- Financed by a foreign government, foreign political party, or other foreign principal Yes No
- Subsidized in part by a foreign government, foreign political party, or other foreign principal Yes No

9. Explain fully all items answered "Yes" in Item 8(b). *(If additional space is needed, a full insert page must be used.)*

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10. If the foreign principal is an organization and is not owned or controlled by a foreign government, foreign political party or other foreign principal, state who owns and controls it.

EXECUTION

In accordance with 28 U.S.C. § 1746, the undersigned swears or affirms under penalty of perjury that he/she has read the information set forth in this Exhibit A to the registration statement and that he/she is familiar with the contents thereof and that such contents are in their entirety true and accurate to the best of his/her knowledge and belief.

Date of Exhibit A	Name and Title	Signature
2/2/2012	JAMES G. HERGEN	

U.S. Department of Justice
Washington, DC 20530

**Exhibit B to Registration Statement
Pursuant to the Foreign Agents Registration Act of
1938, as amended**

INSTRUCTIONS. A registrant must furnish as an Exhibit B copies of each written agreement and the terms and conditions of each oral agreement with his foreign principal, including all modifications of such agreements, or, where no contract exists, a full statement of all the circumstances by reason of which the registrant is acting as an agent of a foreign principal. Compliance is accomplished by filing an electronic Exhibit B form at <http://www.fara.gov>.

Privacy Act Statement. The filing of this document is required for the Foreign Agents Registration Act of 1938, as amended, 22 U.S.C. § 611 *et seq.*, for the purposes of registration under the Act and public disclosure. Provision of the information requested is mandatory, and failure to provide the information is subject to the penalty and enforcement provisions established in Section 8 of the Act. Every registration statement, short form registration statement, supplemental statement, exhibit, amendment, copy of informational materials or other document or information filed with the Attorney General under this Act is a public record open to public examination, inspection and copying during the posted business hours of the Registration Unit in Washington, DC. Statements are also available online at the Registration Unit's webpage: <http://www.fara.gov>. One copy of every such document, other than informational materials, is automatically provided to the Secretary of State pursuant to Section 6(b) of the Act, and copies of any and all documents are routinely made available to other agencies, departments and Congress pursuant to Section 6(c) of the Act. The Attorney General also transmits a semi-annual report to Congress on the administration of the Act which lists the names of all agents registered under the Act and the foreign principals they represent. This report is available to the public in print and online at: <http://www.fara.gov>.

Public Reporting Burden. Public reporting burden for this collection of information is estimated to average .33 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to Chief, Registration Unit, Counterespionage Section, National Security Division, U.S. Department of Justice, Washington, DC 20530; and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Washington, DC 20503.

1. Name of Registrant James G. Hergen	2. Registration No. 0088
3. Name of Foreign Principal Taiwan Economic and Cultural Representative Office (TECRO)	

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Check Appropriate Box:

- 4. The agreement between the registrant and the above-named foreign principal is a formal written contract. If this box is checked, attach a copy of the contract to this exhibit.
- 5. There is no formal written contract between the registrant and the foreign principal. The agreement with the above-named foreign principal has resulted from an exchange of correspondence. If this box is checked, attach a copy of all pertinent correspondence, including a copy of any initial proposal which has been adopted by reference in such correspondence.
- 6. The agreement or understanding between the registrant and the foreign principal is the result of neither a formal written contract nor an exchange of correspondence between the parties. If this box is checked, give a complete description below of the terms and conditions of the oral agreement or understanding, its duration, the fees and expenses, if any, to be received.
- 7. Describe fully the nature and method of performance of the above indicated agreement or understanding.

To represent TECRO in dealing with relevant officials of the American Institute on Taiwan (AIT)—and United States Government agencies and instrumentalities, as necessary and appropriate—to consider whether it is desirable and feasible to revise or amend the October 2, 1980 CCNAA (TECRO) - AIT Agreement on Privileges, Exemptions and Immunities, and if so to ensure that such revisions and amendments are effected in a manner that is acceptable to TECRO.

8. Describe fully the activities the registrant engages in or proposes to engage in on behalf of the above foreign principal.

Meeting with TECRO and AIT officials, and with representatives of USG Executive branch agencies and instrumentalities as necessary and appropriate; legal research; drafting documents; advising TECRO re negotiating positions, as necessary. These activities would be ordinary negotiations, which might or might not lead to modifications of the existing AIT-TECRO privileges and immunities agreement of 1980.

AIT is the nonprofit corporation established by Congress under section 6 of the Taiwan Relations Act of 1979 (TRA), Pub. L. 96-8, approved April 10, 1979, effective January 1, 1979, 93 Stat. 14, 22 U.S.C. 3301-3316 to represent the interests of the United States and United States nationals in on Taiwan. TECRO (formerly the Coordination Council for North American Affairs, "CCNAA") is the Taiwanese counterpart organization to AIT established by Congress in section 10 of the TRA.

9. Will the activities on behalf of the above foreign principal include political activities as defined in Section 1(o) of the Act and in the footnote below? Yes No

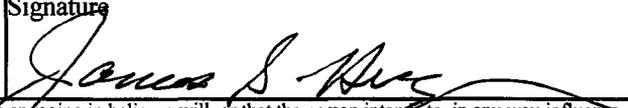
If yes, describe all such political activities indicating, among other things, the relations, interests or policies to be influenced together with the means to be employed to achieve this purpose.

See section 8, supra. TECRO and AIT will be discussing potential modifications to the October, 1980 CCNAA-AIT agreement on Privileges, Exemptions and Immunities on a reciprocal basis. Such modifications could potentially affect policies or interests of both the United States and Tawian relative to the treatment of TECRO and AIT officials in the U.S. and Taiwan.

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EXECUTION

In accordance with 28 U.S.C. § 1746, the undersigned swears or affirms under penalty of perjury that he/she has read the information set forth in this Exhibit B to the registration statement and that he/she is familiar with the contents thereof and that such contents are in their entirety true and accurate to the best of his/her knowledge and belief.

Date of Exhibit B	Name and Title	Signature
2/2/2012	JAMES G. HERGEN	

Footnote: Political activity as defined in Section 1(o) of the Act means any activity which the person engaging in believes will, or that the person intends to, in any way influence any agency or official of the Government of the United States or any section of the public within the United States with reference to formulating, adopting, or changing the domestic or foreign policies of the United States or with reference to the political or public interests, policies, or relations of a government of a foreign country or a foreign political party.

Confidential & Privileged

Amb. Jason C. Yuan
Representative
TECRO
4201 Wisconsin Ave., N.W.
Washington, D.C. 20016

January 20, 2012

NSD/CES/REGISTRATION UNIT
2012 FEB -2 PM 1:56

Dear Mr. Ambassador:

Further to my conversation of December 27 with Ms. Chen, this letter of engagement will confirm the scope of the services that I will perform for TECRO, as well as my remuneration therefore. I am honored that TECRO and the authorities on Taiwan have agreed to consider my retention.

Services to Be Rendered

I agree to represent TECRO and the authorities on Taiwan in dealing with relevant officials of the American Institute on Taiwan (AIT)—and United States Government agencies and instrumentalities, as necessary and appropriate—to consider whether it is desirable and feasible to revise or amend the October 2, 1980 TECRO-AIT Agreement on Privileges, Exemptions and Immunities, and if so to ensure that such revisions or amendments are effected in a manner that is acceptable to TECRO and the authorities on Taiwan.

Fee

Services will be performed on the following terms and conditions:

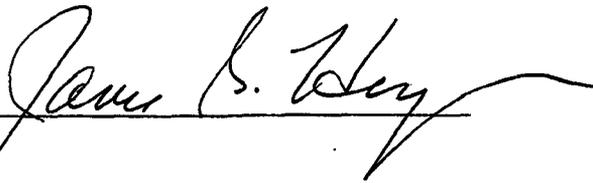
- TECRO will provide me with a non-refundable engagement fee in the amount of \$12,500, via check made payable to "Jim Hergen." Receipt of this payment and your counter-signature on this letter will represent TECRO's agreement to the terms set forth herein and will commence my representation of TECRO, subject to approval by the United States Department of Justice (DOJ) of my registration as TECRO's representative under the Foreign Agents Registration Act, 22 U.S.C. 611, et seq. (FARA.)
- Following approval of my FARA registration by DOJ, TECRO will reimburse me for my services at the rate of \$400 per hour, plus expenses and costs related to any out-of-town travel that TECRO may approve relative to this matter. (Although I do not contemplate that this project will entail significant research or other matters outside of actual discussions with AIT and USG officials, the hourly rate shall apply to extraordinary or unexpected research requirements and to ancillary matters such as discussions with TECRO staff, preparation of letters and memoranda relative to this matter, preparation for meetings and discussions with AIT and USG officials.)
- This agreement shall be terminable in good faith by either party at any time, for any reason or none.

Confidentiality

Pursuant to this undertaking, I agree to take every reasonable step to prevent unauthorized disclosure to third parties of any confidential information provided to me by TECRO. Confidentiality shall extend to all information deemed confidential by TECRO, whether provided by or on behalf of TECRO or developed by me on TECRO's behalf.

Sincerely,

Jim Hergen:



2204 Valley Circle
Alexandria, VA 22302
(703) 548-3272

Countersigned for TECRO: Amb. Jason C. Yuan



January 27, 2012

CONFIDENTIALITY NOTICE

This communication and the contents hereof are CONFIDENTIAL and may be protected by Attorney-Client Privilege. As such, neither this communication nor the contents hereof may be disclosed to third parties without the express consent of the author or recipient hereof.