

U.S. Department of Justice

Washington, DC 20530

Exhibit A to Registration Statement**Pursuant to the Foreign Agents Registration Act of 1938, as amended**

INSTRUCTIONS. Furnish this exhibit for EACH foreign principal listed in an initial statement and for EACH additional foreign principal acquired subsequently. The filing of this document requires the payment of a filing fee as set forth in Rule (d)(1), 28 C.F.R. § 5.5(d)(1). Compliance is accomplished by filing an electronic Exhibit A form at <http://www.fara.gov>.

Privacy Act Statement. The filing of this document is required by the Foreign Agents Registration Act of 1938, as amended, 22 U.S.C. § 611 *et seq.*, for the purposes of registration under the Act and public disclosure. Provision of the information requested is mandatory, and failure to provide this information is subject to the penalty and enforcement provisions established in Section 8 of the Act. Every registration statement, short form registration statement, supplemental statement, exhibit, amendment, copy of informational materials or other document or information filed with the Attorney General under this Act is a public record open to public examination, inspection and copying during the posted business hours of the Registration Unit in Washington, DC. Statements are also available online at the Registration Unit's webpage: <http://www.fara.gov>. One copy of every such document, other than informational materials, is automatically provided to the Secretary of State pursuant to Section 6(b) of the Act, and copies of any and all documents are routinely made available to other agencies, departments and Congress pursuant to Section 6(c) of the Act. The Attorney General also transmits a semi-annual report to Congress on the administration of the Act which lists the names of all agents registered under the Act and the foreign principals they represent. This report is available to the public in print and online at: <http://www.fara.gov>.

Public Reporting Burden. Public reporting burden for this collection of information is estimated to average .49 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to Chief, Registration Unit, Counterespionage Section, National Security Division, U.S. Department of Justice, Washington, DC 20530; and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Washington, DC 20503.

1. Name and Address of Registrant Austrian Trade Commission Chicago 500 N. Michigan Ave., Suite 1950 Chicago, IL 60611	2. Registration No. 6193
3. Name of Foreign Principal ADVANTAGE AUSTRIA at the Austrian Federal Economic Chamber (WKO)	4. Principal Address of Foreign Principal Wiedner Hauptstrasse 63 1045 Vienna AUSTRIA - EUROPE
5. Indicate whether your foreign principal is one of the following: <ul style="list-style-type: none"> <input checked="" type="checkbox"/> Foreign government <input type="checkbox"/> Foreign political party <input type="checkbox"/> Foreign or domestic organization: If either, check one of the following: <ul style="list-style-type: none"> <input type="checkbox"/> Partnership <input type="checkbox"/> Corporation <input type="checkbox"/> Association <input type="checkbox"/> Committee <input type="checkbox"/> Voluntary group <input type="checkbox"/> Other (specify) _____ <input type="checkbox"/> Individual-State nationality _____ 	
6. If the foreign principal is a foreign government, state: <ul style="list-style-type: none"> a) Branch or agency represented by the registrant ADVANTAGE AUSTRIA at the Austrian Federal Economic Chamber (WKO) b) Name and title of official with whom registrant deals Walter Koren, Director, "ADVANTAGE AUSTRIA" 	
7. If the foreign principal is a foreign political party, state: <ul style="list-style-type: none"> a) Principal address b) Name and title of official with whom registrant deals c) Principal aim 	

Formerly CRM-157

FORM NSD-3
Revised 03/11

8. If the foreign principal is not a foreign government or a foreign political party:

a) State the nature of the business or activity of this foreign principal.

b) Is this foreign principal:

- | | |
|---|--|
| Supervised by a foreign government, foreign political party, or other foreign principal | Yes <input type="checkbox"/> No <input type="checkbox"/> |
| Owned by a foreign government, foreign political party, or other foreign principal | Yes <input type="checkbox"/> No <input type="checkbox"/> |
| Directed by a foreign government, foreign political party, or other foreign principal | Yes <input type="checkbox"/> No <input type="checkbox"/> |
| Controlled by a foreign government, foreign political party, or other foreign principal | Yes <input type="checkbox"/> No <input type="checkbox"/> |
| Financed by a foreign government, foreign political party, or other foreign principal | Yes <input type="checkbox"/> No <input type="checkbox"/> |
| Subsidized in part by a foreign government, foreign political party, or other foreign principal | Yes <input type="checkbox"/> No <input type="checkbox"/> |

9. Explain fully all items answered "Yes" in Item 8(b). *(If additional space is needed, a full insert page must be used.)*

10. If the foreign principal is an organization and is not owned or controlled by a foreign government, foreign political party or other foreign principal, state who owns and controls it.

EXECUTION

In accordance with 28 U.S.C. § 1746, the undersigned swears or affirms under penalty of perjury that he/she has read the information set forth in this Exhibit A to the registration statement and that he/she is familiar with the contents thereof and that such contents are in their entirety true and accurate to the best of his/her knowledge and belief.

Date of Exhibit A November 04, 2013	Name and Title Franz Roessler, Austrian Trade Commissioner	Signature /s/ Franz Roessler eSigned
---	---	---

U.S. Department of Justice

Washington, DC 20530

Exhibit B to Registration Statement**Pursuant to the Foreign Agents Registration Act of 1938, as amended**

INSTRUCTIONS. A registrant must furnish as an Exhibit B copies of each written agreement and the terms and conditions of each oral agreement with his foreign principal, including all modifications of such agreements, or, where no contract exists, a full statement of all the circumstances by reason of which the registrant is acting as an agent of a foreign principal. Compliance is accomplished by filing an electronic Exhibit B form at <http://www.fara.gov>.

Privacy Act Statement. The filing of this document is required for the Foreign Agents Registration Act of 1938, as amended, 22 U.S.C. § 611 *et seq.*, for the purposes of registration under the Act and public disclosure. Provision of the information requested is mandatory, and failure to provide the information is subject to the penalty and enforcement provisions established in Section 8 of the Act. Every registration statement, short form registration statement, supplemental statement, exhibit, amendment, copy of informational materials or other document or information filed with the Attorney General under this Act is a public record open to public examination, inspection and copying during the posted business hours of the Registration Unit in Washington, DC. Statements are also available online at the Registration Unit's webpage: <http://www.fara.gov>. One copy of every such document, other than informational materials, is automatically provided to the Secretary of State pursuant to Section 6(b) of the Act, and copies of any and all documents are routinely made available to other agencies, departments and Congress pursuant to Section 6(c) of the Act. The Attorney General also transmits a semi-annual report to Congress on the administration of the Act which lists the names of all agents registered under the Act and the foreign principals they represent. This report is available to the public in print and online at: <http://www.fara.gov>.

Public Reporting Burden. Public reporting burden for this collection of information is estimated to average .33 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to Chief, Registration Unit, Counterespionage Section, National Security Division, U.S. Department of Justice, Washington, DC 20530; and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Washington, DC 20503.

1. Name of Registrant Austrian Trade Commission Chicago	2. Registration No. 6193
--	---------------------------------

3. Name of Foreign Principal

ADVANTAGE AUSTRIA at the Austrian Federal Economic Chamber (WKO)

Check Appropriate Box:

4. The agreement between the registrant and the above-named foreign principal is a formal written contract. If this box is checked, attach a copy of the contract to this exhibit.
5. There is no formal written contract between the registrant and the foreign principal. The agreement with the above-named foreign principal has resulted from an exchange of correspondence. If this box is checked, attach a copy of all pertinent correspondence, including a copy of any initial proposal which has been adopted by reference in such correspondence.
6. The agreement or understanding between the registrant and the foreign principal is the result of neither a formal written contract nor an exchange of correspondence between the parties. If this box is checked, give a complete description below of the terms and conditions of the oral agreement or understanding, its duration, the fees and expenses, if any, to be received.
7. Describe fully the nature and method of performance of the above indicated agreement or understanding.

8. Describe fully the activities the registrant engages in or proposes to engage in on behalf of the above foreign principal.

The Austrian Trade Commission Chicago, currently a part of the Austrian Consulate General in Chicago, will remain at its present location at 500 North Michigan Ave, Suite 1950, Chicago, IL 60611 after the closing of the Austrian Consulate General in Chicago on November 30, 2013. The "miscellaneous foreign government office" will be headed by Mr. Franz Roessler as Austrian Trade Commissioner, who at present time is notified to the Department of State as the Consul (Commercial) of the Austrian Consulate General in Chicago and is the holder of an Austrian diplomatic passport. The Austrian Trade Commission Chicago will continue to be Austria's official business representation in the U.S. Midwest. It will perform functions similar to the U.S. Commercial Service in Vienna, Austria. The office's task is to establish and foster business and investment relations between Austria and the United States. The tasks are also described on the website of the Austrian Trade Commission www.advantageaustria.org/us. The office is an entity of Austria, under supervision of the Austrian Ministry of European and International Affairs in Vienna, Austria and wholly funded by the Austrian government. Furthermore, the office is performing governmental functions only and will not engage in any commercial activities. The personnel of the office will be under the direct supervision of the Austrian Trade Commissioner. Its accounting and financial performance is subject to control of the public Austrian audit offices (Rechnungshof).

9. Will the activities on behalf of the above foreign principal include political activities as defined in Section 1(o) of the Act and in the footnote below? Yes No

If yes, describe all such political activities indicating, among other things, the relations, interests or policies to be influenced together with the means to be employed to achieve this purpose.

EXECUTION

In accordance with 28 U.S.C. § 1746, the undersigned swears or affirms under penalty of perjury that he/she has read the information set forth in this Exhibit B to the registration statement and that he/she is familiar with the contents thereof and that such contents are in their entirety true and accurate to the best of his/her knowledge and belief.

Date of Exhibit B	Name and Title	Signature
November 04, 2013	Franz Roessler, Austrian Trade Commissioner	/s/ Franz Roessler eSigned

Footnote: Political activity as defined in Section 1(o) of the Act means any activity which the person engaging in believes will, or that the person intends to, in any way influence any agency or official of the Government of the United States or any section of the public within the United States with reference to formulating, adopting, or changing the domestic or foreign policies of the United States or with reference to the political or public interests, policies, or relations of a government of a foreign country or a foreign political party.

The Department of State refers to diplomatic note dated June 14, 2013, from the Embassy of Austria, requesting approval to change the status of Austria Trade Commission from a consular annex and establish it as a miscellaneous foreign government office. The location is 500 North Michigan Avenue, Suite 1950, Chicago, IL 600611.

The Department appreciates the information provided and is pleased to advise the Embassy that it has approved the establishment of the Austrian Trade Commission as a miscellaneous foreign government office (MFGO).

The Department reminds the Embassy that requests to establish MFGOs must be submitted via diplomatic note or letter from the Chief of Mission to the Chief of Protocol providing the office's name, proposed location, and a detailed statement of the office's planned functions. Employees of MFGOs should not apply for A-2 visas until the Department transmits its official approval via diplomatic communication.

The Embassy is reminded that it must register all employees working at MFGOs using Notification of Appointment forms (DS-2004), and advise the Department's Office of the Chief of Protocol of any subsequent changes affecting

DIPLOMATIC NOTE

personnel, including terminations of appointment. A diplomatic note can be used only to approve the office but not to register, change, or terminate personnel or the office.

The Embassy is also reminded that the Department requires that foreign missions, including MFGOs, notify the Department of any prospective domestic worker before the worker applies for a visa. Foreign missions must submit a "Pre-Notification of a Domestic Worker" form ("Pre-Notification Form") addressed to DomesticWorkers@state.gov. The Pre-Notification Form can be found on the Office of Protocol website under the Diplomatic Affairs section at www.state.gov/s/cpr. The Office of the Chief of Protocol must receive this notification before a domestic worker submits an application for an A-3 or G-5 visa and before a visa interview can be scheduled; such visas will not be issued unless the Pre-Notification Form has been submitted.

Furthermore, the Department advises the Embassy that the Foreign Agents Registration Act of 1938 (FARA), as amended, 22 U.S.C. 611-621, requires officials who act as public relations counsels, publicity agents, or information service employees, as defined by the FARA, to register. Employees of MFGOs who engage in the promotion of travel, tourism, trade, or investment must also register. Embassies and MFGOs should consult the Registration Unit of the

Internal Security Section of the Department of Justice at (202) 514-1216 regarding foreign agent registration requirements.

The Department further advises the Embassy that, because this office is an MFGO, neither it nor its personnel will enjoy any diplomatic or consular privileges or immunities under the Vienna Convention on Diplomatic Relations and the Vienna Convention on Consular Relations.

Department of State,

Washington, September 10, 2013

