

U.S. Department of Justice  
Washington, DC 20530

**Supplemental Statement**  
**Pursuant to the Foreign Agents Registration Act of 1938, as amended**

For Six Month Period Ending 09/30/2016  
(Insert date)

**I - REGISTRANT**

1. (a) Name of Registrant (b) Registration No.  
Alignment Government Strategies 6317

(c) Business Address(es) of Registrant  
601 Pennsylvania Avenue, N.W.  
South Building, Suite 430  
Washington, D.C. 20004

2. Has there been a change in the information previously furnished in connection with the following?

- (a) If an individual:
  - (1) Residence address(es) Yes  No
  - (2) Citizenship Yes  No
  - (3) Occupation Yes  No
- (b) If an organization:
  - (1) Name Yes  No
  - (2) Ownership or control Yes  No
  - (3) Branch offices Yes  No

(c) Explain fully all changes, if any, indicated in Items (a) and (b) above.

**IF THE REGISTRANT IS AN INDIVIDUAL, OMIT RESPONSE TO ITEMS 3, 4, AND 5(a).**

3. If you have previously filed Exhibit C<sup>1</sup>, state whether any changes therein have occurred during this 6 month reporting period.

Yes  No

If yes, have you filed an amendment to the Exhibit C? Yes  No

If no, please attach the required amendment.

<sup>1</sup> The Exhibit C, for which no printed form is provided, consists of a true copy of the charter, articles of incorporation, association, and by laws of a registrant that is an organization. (A waiver of the requirement to file an Exhibit C may be obtained for good cause upon written application to the Assistant Attorney General, National Security Division, U.S. Department of Justice, Washington, DC 20530.)

4. (a) Have any persons ceased acting as partners, officers, directors or similar officials of the registrant during this 6 month reporting period?

Yes  No

If yes, furnish the following information:

Name	Position	Date Connection Ended
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(b) Have any persons become partners, officers, directors or similar officials during this 6 month reporting period?

Yes  No

If yes, furnish the following information:

Name	Residence Address	Citizenship	Position	Date Assumed
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5. (a) Has any person named in Item 4(b) rendered services directly in furtherance of the interests of any foreign principal?

Yes  No

If yes, identify each such person and describe the service rendered.

N/A

(b) During this six month reporting period, has the registrant hired as employees or in any other capacity, any persons who rendered or will render services to the registrant directly in furtherance of the interests of any foreign principal(s) in other than a clerical or secretarial, or in a related or similar capacity? Yes  No

Name	Residence Address	Citizenship	Position	Date Assumed
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(c) Have any employees or individuals, who have filed a short form registration statement, terminated their employment or connection with the registrant during this 6 month reporting period? Yes  No

If yes, furnish the following information:

Name	Position or Connection	Date Terminated
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(d) Have any employees or individuals, who have filed a short form registration statement, terminated their connection with any foreign principal during this 6 month reporting period? Yes  No

If yes, furnish the following information:

Name	Position or Connection	Foreign Principal	Date Terminated
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6. Have short form registration statements been filed by all of the persons named in Items 5(a) and 5(b) of the supplemental statement?

Yes  No

If no, list names of persons who have not filed the required statement.

N/A

**II - FOREIGN PRINCIPAL**

7. Has your connection with any foreign principal ended during this 6 month reporting period? Yes  No
- If yes, furnish the following information:

Foreign Principal

Date of Termination

8. Have you acquired any new foreign principal(s)<sup>2</sup> during this 6 month reporting period? Yes  No
- If yes, furnish th following information:

Name and Address of Foreign Principal(s)

Date Acquired

9. In addition to those named in Items 7 and 8, if any, list foreign principal(s)<sup>2</sup> whom you continued to represent during the 6 month reporting period.

Embassy of Japan

10. (a) Have you filed exhibits for the newly acquired foreign principal(s), if any, listed in Item 8?

Exhibit A<sup>3</sup> Yes  No Exhibit B<sup>4</sup> Yes  No 

If no, please attach the required exhibit.

- (b) Have there been any changes in the Exhibits A and B previously filed for any foreign principal whom you represented during this six month period? Yes  No

If yes, have you filed an amendment to these exhibits? Yes  No 

If no, please attach the required amendment.

<sup>2</sup> The term "foreign principal" includes, in addition to those defined in Section 1(b) of the Act, an individual organization any of whose activities are directly or indirectly supervised, directed, controlled, financed, or subsidized in whole or in major part by a foreign government, foreign political party, foreign organization or foreign individual. (See Rule 100(a)(9)). A registrant who represents more than one foreign principal is required to list in the statements he files under the Act only those principals for whom he is not entitled to claim exemption under Section 3 of the Act. (See Rule 208.)

<sup>3</sup> The Exhibit A, which is filed on Form NSD-3, sets forth the information required to be disclosed concerning each foreign principal.

<sup>4</sup> The Exhibit B, which is filed on Form NSD-4, sets forth the information concerning the agreement or understanding between the registrant and the foreign principal.

**III - ACTIVITIES**

11. During this 6 month reporting period, have you engaged in any activities for or rendered any services to any foreign principal named in Items 7, 8, or 9 of this statement? Yes  No

If yes, identify each foreign principal and describe in full detail your activities and services:

**Embassy of Japan:**

Registrant advises and represents the foreign principal on legislation, federal government policy matters, and outreach related to the promotion of interests of Japan, including but not limited to the strengthening of U.S.-Japan relations and awareness of the Asia-Pacific issues of interest to Japan. Outreach includes arranging meetings, dinners, and seminars with members of Congress, congressional staff, and others in the public-policy arena. Registrant also monitors legislative and policy publications, newspapers, magazines, and other open-source media for mentions of U.S.-Japan relations by members of Congress.

12. During this 6 month reporting period, have you on behalf of any foreign principal engaged in political activity<sup>5</sup> as defined below? Yes  No

If yes, identify each such foreign principal and describe in full detail all such political activity, indicating, among other things, the relations, interests and policies sought to be influenced and the means employed to achieve this purpose. If the registrant arranged, sponsored or delivered speeches, lectures or radio and TV broadcasts, give details as to dates, places of delivery, names of speakers and subject matter.

See Rider A.

13. In addition to the above described activities, if any, have you engaged in activity on your own behalf which benefits your foreign principal(s)? Yes  No

If yes, describe fully.

<sup>5</sup> "Political activity," as defined in Section 1(o) of the Act, means any activity that the person engaging in believes will, or that the person intends to, in any way influence any agency or official of the Government of the United States or any section of the public within the United States with reference to formulating, adopting or changing the domestic or foreign policies of the United States or with reference to political or public interests, policies, or relations of a government of a foreign country or a foreign political party.

**IV - FINANCIAL INFORMATION**

**14. (a) RECEIPTS-MONIES**

During this 6 month reporting period, have you received from any foreign principal named in Items 7, 8, or 9 of this statement, or from any other source, for or in the interests of any such foreign principal, any contributions, income or money either as compensation or otherwise? Yes  No

If no, explain why.

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies.<sup>6</sup>

Date	From Whom	Purpose	Amount
04/21/2016	Embassy of Japan	consulting services	\$15,000.00
05/27/2016	Embassy of Japan	consulting services	\$17,500.00
06/28/2016	Embassy of Japan	consulting services	\$17,500.00
08/02/2016	Embassy of Japan	consulting services	\$17,500.00
09/20/2016	Embassy of Japan	consulting services	\$17,500.00

\$85,000.00

Total

**(b) RECEIPTS - FUNDRAISING CAMPAIGN**

During this 6 month reporting period, have you received, as part of a fundraising campaign<sup>7</sup>, any money on behalf of any foreign principal named in Items 7, 8, or 9 of this statement? Yes  No

If yes, have you filed an Exhibit D<sup>8</sup> to your registration? Yes  No

If yes, indicate the date the Exhibit D was filed. Date \_\_\_\_\_

**(c) RECEIPTS-THINGS OF VALUE**

During this 6 month reporting period, have you received any thing of value<sup>9</sup> other than money from any foreign principal named in Items 7, 8, or 9 of this statement, or from any other source, for or in the interests of any such foreign principal?

Yes  No

If yes, furnish the following information:

Foreign Principal	Date Received	Thing of Value	Purpose
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<sup>6, 7</sup> A registrant is required to file an Exhibit D if he collects or receives contributions, loans, moneys, or other things of value for a foreign principal, as part of a fundraising campaign. (See Rule 201(e)).

<sup>8</sup> An Exhibit D, for which no printed form is provided, sets forth an account of money collected or received as a result of a fundraising campaign and transmitted for a foreign principal.

<sup>9</sup> Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

15. (a) **DISBURSEMENTS-MONIES**

During this 6 month reporting period, have you

- (1) disbursed or expended monies in connection with activity on behalf of any foreign principal named in Items 7, 8, or 9 of this statement? Yes  No
- (2) transmitted monies to any such foreign principal? Yes  No

If no, explain in full detail why there were no disbursements made on behalf of any foreign principal.

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies, including monies transmitted, if any, to each foreign principal.

Date	To Whom	Purpose	Amount
04/01/2016- 09/30/2016	Uber	car service/taxis	\$175.00

\$175.00

Total

**(b) DISBURSEMENTS-THINGS OF VALUE**

During this 6 month reporting period, have you disposed of anything of value<sup>10</sup> other than money in furtherance of or in connection with activities on behalf of any foreign principal named in Items 7, 8, or 9 of this statement?

Yes  No

If yes, furnish the following information:

Date	Recipient	Foreign Principal	Thing of Value	Purpose
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**(c) DISBURSEMENTS-POLITICAL CONTRIBUTIONS**

During this 6 month reporting period, have you from your own funds and on your own behalf either directly or through any other person, made any contributions of money or other things of value<sup>11</sup> in connection with an election to any political office, or in connection with any primary election, convention, or caucus held to select candidates for political office?

Yes  No

If yes, furnish the following information:

Date	Amount or Thing of Value	Political Organization or Candidate	Location of Event
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See Rider B.

10, 11 Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

**V - INFORMATIONAL MATERIALS**

16. (a) During this 6 month reporting period, did you prepare, disseminate or cause to be disseminated any informational materials?<sup>12</sup>

Yes  No

If Yes, go to Item 17.

(b) If you answered No to Item 16(a), do you disseminate any material in connection with your registration?

Yes  No

If Yes, please forward the materials disseminated during the six month period to the Registration Unit for review.

17. Identify each such foreign principal.

18. During this 6 month reporting period, has any foreign principal established a budget or allocated a specified sum of money to finance your activities in preparing or disseminating informational materials? Yes  No

If yes, identify each such foreign principal, specify amount, and indicate for what period of time.

19. During this 6 month reporting period, did your activities in preparing, disseminating or causing the dissemination of informational materials include the use of any of the following:

- Radio or TV broadcasts
- Magazine or newspaper
- Motion picture films
- Letters or telegrams
- Advertising campaigns
- Press releases
- Pamphlets or other publications
- Lectures or speeches
- Other (specify) \_\_\_\_\_

**Electronic Communications**

- Email
- Website URL(s): \_\_\_\_\_
- Social media websites URL(s): \_\_\_\_\_
- Other (specify) \_\_\_\_\_

20. During this 6 month reporting period, did you disseminate or cause to be disseminated informational materials among any of the following groups:

- Public officials
- Newspapers
- Libraries
- Legislators
- Editors
- Educational institutions
- Government agencies
- Civic groups or associations
- Nationality groups
- Other (specify) \_\_\_\_\_

21. What language was used in the informational materials:

- English
- Other (specify) \_\_\_\_\_

22. Did you file with the Registration Unit, U.S. Department of Justice a copy of each item of such informational materials disseminated or caused to be disseminated during this 6 month reporting period? Yes  No

23. Did you label each item of such informational materials with the statement required by Section 4(b) of the Act?

Yes  No

12 The term informational materials includes any oral, visual, graphic, written, or pictorial information or matter of any kind, including that published by means of advertising, books, periodicals, newspapers, lectures, broadcasts, motion pictures, or any means or instrumentality of interstate or foreign commerce or otherwise. Informational materials disseminated by an agent of a foreign principal as part of an activity in itself exempt from registration, or an activity which by itself would not require registration, need not be filed pursuant to Section 4(b) of the Act.

**VI - EXECUTION**

In accordance with 28 U.S.C. § 1746, the undersigned swear(s) or affirm(s) under penalty of perjury that he/she has (they have) read the information set forth in this registration statement and the attached exhibits and that he/she is (they are) familiar with the contents thereof and that such contents are in their entirety true and accurate to the best of his/her (their) knowledge and belief, except that the undersigned make(s) no representation as to truth or accuracy of the information contained in the attached Short Form Registration Statement(s), if any, insofar as such information is not within his/her (their) personal knowledge.

(Date of signature)

(Print or type name under each signature or provide electronic signature<sup>13</sup>)

October 31, 2016

/s/ Bertram Carp

eSigned

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\_\_\_\_\_

<sup>13</sup> This statement shall be signed by the individual agent, if the registrant is an individual, or by a majority of those partners, officers, directors or persons performing similar functions, if the registrant is an organization, except that the organization can, by power of attorney, authorize one or more individuals to execute this statement on its behalf.

**Supplemental Statement of Alignment Government Strategies  
for the period ending September 30, 2016**

**RIDER A  
response to question 12**

**Embassy of Japan**

Registrant's activities included communications with current and/or former Members of the U.S. Senate, U.S. House of Representatives, and/or their staffs on behalf of the foreign principal relating to legislation and federal government policy matters, travel to Japan, strengthening U.S.-Japan relations, and awareness of the Asia-Pacific issues of interest to Japan. The activities also included assistance with the foreign principal's relation with the U.S.-Japan Caucus, sponsorship of seminars, lunches and/or dinners with Members of the U.S. Congress and staff.

The following chart reflects contacts undertaken with respect to activities of the U.S. Congress that related to Japan; the U.S.-Japan/Japan-U.S. relationship; and congressional measures and other congressional activity in the 114<sup>th</sup> Congress of possible interest to the Embassy of Japan.

DATE	CONTACT PERSON	TITLE	TYPE OF CONTACT	MATTER DISCUSSED
04/12/16	Andrew Winer	Chief of Staff, U.S. Senator Brian Schatz	e-mail	See above.
04/18/16	Joel Brubaker	Chief of Staff, U.S. Sen. Shelley Capito	e-mail	See above.
04/18/16	Craig Roberts	Chief of Staff, U.S. Rep. John Shimkus	telephone	See above.
04/18/16	Ryan Jackson	Chief of Staff, U.S. Senator Jim Inhofe	e-mail	See above.
04/18/16	Todd Womack	Chief of Staff, U.S. Senator Bob Corker	e-mail	See above.
04/20/16	Surya Gunasekara	Chief of Staff, U.S. Rep. Jim Renacci	meeting	See above.
04/20/16	Courtney Whetstone	Chief of Staff, U.S. Rep. Steve Stivers	meeting	See above.
04/21/16	Jessica Calio	Legislative Assistant, U.S. Rep. Michael Turner	meeting	See above.
04/21/16	Megan Savage	Chief of Staff, U.S. Rep. Susan Brooks	meeting	See above.
05/24/16	Rep. Joaquin Castro	Member of Congress, U.S. House of Representatives	meeting	See above.
06/03/16	U.S.-Japan Caucus	speakers: Kanji Yamanouchi, Minister of Economic Affairs, Embassy of Japan; Joshua Meltzer, Senior Fellow, Brookings Institution	seminar	subject: U.S.-Japan Cooperation on G-7 Outcomes location: Washington, D.C.
06/13/16	Craig Roberts	Chief of Staff, U.S. Rep. John Shimkus	meeting	See above.
06/13/16	Stan White	Chief of Staff, U.S. Rep. Robert Brady	meeting	See above.
07/06/16	Eric Bergren	Chief of Staff, U.S. Rep. Brett Guthrie	e-mail	See above.
07/07/16	Megan Savage	Chief of Staff, U.S. Rep. Susan Brooks	e-mail	See above.
07/07/16	Erica Striebel	Chief of Staff, U.S. Rep. Ander Crenshaw	e-mail	See above.
08/01/16	Whitney Daffner	Legislative Director, U.S. Rep. Pat Tiberi	e-mail	See above.
08/01/16	Ray Yonkura	Chief of Staff, U.S. Rep. Jim Jordan	e-mail	See above.
10/04/16	Michele Barlow	Chief of Staff, U.S. Sen. Roger Wicker	e-mail	See above.

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10/04/16	Craig Roberts	Chief of Staff, U.S. Rep. John Shimkus	e-mail	See above.
10/04/16	Al Lytton	Chief of Staff, U.S. Rep. Renee Ellmers	e-mail	See above.
10/04/16	Megan Savage	Chief of Staff, U.S. Rep. Susan Brooks	e-mail	See above.
10/05/16	Eric Burgeson	Chief of Staff, U.S. Rep. Bill Shuster	e-mail	See above.
10/05/16	Lindley Kratovil	Chief of Staff, U.S. Rep. Elise Stefanik	meeting	See above.
10/05/16	Deborah Weigel	Legislative Director, Rep. Reid Ribble	e-mail	See above.
10/05/16	Gary Andres	Staff Director, Energy and Commerce Committee, U.S. House of Representatives	e-mail	See above.
10/06/16	Eric Schmutz	Legislative Director, U.S. Rep. Lynn Jenkins	meeting	See above.
10/10/16	Joseph Lai	Legislative Assistant, U.S. Sen. Roger Wicker	telephone	See above.
10/10/16	Lauren Baker	Legislative Assistant, U.S. Sen. Rob Portman	telephone	See above.
10/12/16	Justin Melvin	Legislative Assistant, U.S. Rep. Frank Guinta	e-mail	See above.

**Supplemental Statement of Alignment Government Strategies  
for the period ending September 30, 2016**

**RIDER B  
response to question 15(c)**

Individuals who have filed Short-Form Registration Statements in connection with Alignment Government Strategies' registration under the Foreign Agents Registration Act of 1938 made the following political contributions during this reporting period:

DATE	AMOUNT OR THING OF VALUE	POLITICAL ORGANIZATION OR CANDIDATE	LOCATION OF EVENT
04/01/16	\$500.00	Bill Johnson for Congress	Washington, D.C.
04/13/16	\$500.00	Loretta Sanchez for Senate	Washington, D.C.
04/14/16	\$500.00	Friends of Cheri Bustos	Washington, D.C.
04/25/16	\$250.00	Will Hurd for Congress	Washington, D.C.
04/29/16	\$500.00	Bruce Poliquin for Congress	Washington, D.C.
05/03/16	\$1,000.00	Baron Hill for Senate	Washington, D.C.
05/11/16	\$500.00	John Shimkus for Congress	Washington, D.C.
05/12/16	\$500.00	Brett Guthrie for Congress	Washington, D.C.
05/16/16	\$500.00	Kelly Ayotte for New Hampshire	Washington, D.C.
05/18/16	\$2,000.00	Friends of Mark Warner	Washington, D.C.
05/19/16	\$500.00	Jim Costa for Congress	Washington, D.C.
05/23/16	\$500.00	Joyce Beatty for Congress	Washington, D.C.
05/24/16	\$238.78	Bob Gibbs for Congress	Washington, D.C.
05/25/16	\$500.00	Friends of Don Beyer	Washington, D.C.
05/31/16	\$250.00	Kyrsten Sinema for Congress	Washington, D.C.
05/31/16	\$2,500.00	Democratic Congressional Campaign Committee	Washington, D.C.
06/08/16	\$500.00	Catherine Cortez Masto for Senate	Washington, D.C.
06/09/16	\$500.00	Suzan DelBene for Congress	Washington, D.C.
06/09/16	\$500.00	Doug Owens for Congress	Washington, D.C.
06/09/16	\$500.00	Jamie Raskin for Congress	Washington, D.C.
06/09/16	\$500.00	Anthony Brown for Congress	Washington, D.C.
06/13/16	\$410.00	Warren Davidson for Congress	Washington, D.C.
06/15/16	\$500.00	Bill Huizenga for Congress	Washington, D.C.
06/15/16	\$500.00	Scott Peters for Congress	Washington, D.C.
06/15/16	\$500.00	Joaquin Castro for Congress	Washington, D.C.
06/21/16	\$250.00	Kathy Szeliga for Maryland	Washington, D.C.
06/22/16	\$500.00	Steve Russell for Congress	Washington, D.C.
06/23/16	\$500.00	Patrick Leahy for Vermont	Washington, D.C.
06/23/16	\$1,000.00	The Bill Keating Committee	Washington, D.C.
06/27/16	\$2,500.00	Pat Tiberi for Congress	Washington, D.C.
06/27/16	\$500.00	Charles Boustany for Senate	Washington, D.C.
06/28/16	\$500.00	Jim Renacci for Congress	Washington, D.C.
06/28/16	\$2,500.00	Charles Boustany for Senate	Washington, D.C.
06/28/16	\$1,000.00	Tim Kaine for Virginia	Washington, D.C.
06/29/16	\$500.00	Friends of Mazie Hirono	Washington, D.C.
06/30/16	\$500.00	Lisa Murkowski for Senate	Washington, D.C.

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07/07/16	\$500.00	Beto O'Rourke for Congress	Washington, D.C.
07/15/16	\$250.00	Friends of Joe Heck	Washington, D.C.
08/10/16	\$250.00	Blue Dominion PAC	Washington, D.C.
08/20/16	\$1,000.00	Joaquin Castro for Congress	Washington, D.C.
08/23/16	\$1,500.00	Support to Ensure Victory Everywhere PAC	Washington, D.C.
08/24/16	\$2,700.00	Hillary Victory Fund	Washington, D.C.
09/13/16	\$500.00	LuAnn Bennett for Congress	Washington, D.C.
09/14/16	\$250.00	Donald McEachin for Congress	Washington, D.C.
09/15/16	\$500.00	McEachin for Congress	Washington, D.C.
09/20/16	\$1,000.00	Burr for Senate	Washington, D.C.
09/20/16	\$500.00	Elise Stefanik for Congress	Washington, D.C.
09/20/16	\$500.00	Friends of Kelly Ayotte	Washington, D.C.
09/21/16	\$750.00	Jimmy Panetta for Congress	Washington, D.C.
09/21/16	\$250.00	Friends of Joe Heck	Washington, D.C.
09/25/16	\$1,000.00	Hoyer's Majority Fund	Washington, D.C.
09/26/16	\$500.00	Warren Davidson for Congress	Washington, D.C.
09/27/16	\$500.00	Catherine Cortez Masto for Senate	Washington, D.C.
09/27/16	\$500.00	Gretchen Driskell for Congress	Washington, D.C.
09/29/16	\$500.00	Sander Levin for Congress	Washington, D.C.