

U.S. Department of Justice

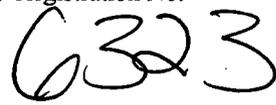
Washington, DC 20530

**Exhibit A to Registration Statement****Pursuant to the Foreign Agents Registration Act of 1938, as amended**

**INSTRUCTIONS.** Furnish this exhibit for EACH foreign principal listed in an initial statement and for EACH additional foreign principal acquired subsequently. The filing of this document requires the payment of a filing fee as set forth in Rule (d)(1), 28 C.F.R. § 5.5(d)(1). Compliance is accomplished by filing an electronic Exhibit A form at <http://www.fara.gov>.

**Privacy Act Statement.** The filing of this document is required by the Foreign Agents Registration Act of 1938, as amended, 22 U.S.C. § 611 *et seq.*, for the purposes of registration under the Act and public disclosure. Provision of the information requested is mandatory, and failure to provide this information is subject to the penalty and enforcement provisions established in Section 8 of the Act. Every registration statement, short form registration statement, supplemental statement, exhibit, amendment, copy of informational materials or other document or information filed with the Attorney General under this Act is a public record open to public examination, inspection and copying during the posted business hours of the Registration Unit in Washington, DC. Statements are also available online at the Registration Unit's webpage: <http://www.fara.gov>. One copy of every such document, other than informational materials, is automatically provided to the Secretary of State pursuant to Section 6(b) of the Act, and copies of any and all documents are routinely made available to other agencies, departments and Congress pursuant to Section 6(c) of the Act. The Attorney General also transmits a semi-annual report to Congress on the administration of the Act which lists the names of all agents registered under the Act and the foreign principals they represent. This report is available to the public in print and online at: <http://www.fara.gov>.

**Public Reporting Burden.** Public reporting burden for this collection of information is estimated to average .49 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to Chief, Registration Unit, Counterespionage Section, National Security Division, U.S. Department of Justice, Washington, DC 20530; and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Washington, DC 20503.

1. Name and Address of Registrant Parry and Romani Associates, Inc. dba Parry, Romani, DeConcini & Symms 517 C Street NE, Washington, DC 20002	2. Registration No. 
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3. Name of Foreign Principal Dr. Mudhar Shawkat	4. Principal Address of Foreign Principal 11 Leys Road Oxshott, UK KT220QE Great Britain
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## 5. Indicate whether your foreign principal is one of the following:

- Government of a foreign country<sup>1</sup>
- Foreign political party
- Foreign or domestic organization: If either, check one of the following:
- |                                      |   |
|--------------------------------------|---|
| <input type="checkbox"/> Partnership | <input type="checkbox"/> Committee                      |
| <input type="checkbox"/> Corporation | <input type="checkbox"/> Voluntary group                |
| <input type="checkbox"/> Association | <input type="checkbox"/> Other ( <i>specify</i> ) _____ |
- Individual-State nationality Iraqi (Sunni) national residing in the United Kingdom/Great Britain

## 6. If the foreign principal is a foreign government, state:

- a) Branch or agency represented by the registrant

N/A

- b) Name and title of official with whom registrant deals

## 7. If the foreign principal is a foreign political party, state:

- a) Principal address

- b) Name and title of official with whom registrant deals

- c) Principal aim

<sup>1</sup> "Government of a foreign country," as defined in Section 1(e) of the Act, includes any person or group of persons exercising sovereign de facto or de jure political jurisdiction over any country, other than the United States, or over any part of such country, and includes any subdivision of any such group and any group or agency to which such sovereign de facto or de jure authority or functions are directly or indirectly delegated. Such term shall include any faction or body of insurgents within a country assuming to exercise governmental authority whether such faction or body of insurgents has or has not been recognized by the United States.

8. If the foreign principal is not a foreign government or a foreign political party:

a) State the nature of the business or activity of this foreign principal.

The Foreign Principal retained the services of our firm as an individual but is linked to a political party he founded. Background information on Dr. Shawkat is appended to this report. Dr. Shawkat is a successful businessman, investor and entrepreneur in the United Kingdom, where he has permanent residence. In addition to his business activities, he works with the National Salvation Front (NSF), a Sunni political party dedicated to the defeat of ISIS/ISIL and the establishment of a Sunni Regional Government. The NSF consists of liberal (non Islamist) elements of Sunni society, with many Iraqi military and ex-Baathist elements. The NSF does not accept Islamists to their organization. In addition, Dr. Shawkat and the NSF work diligently to provide assistance and financial aid to the many refugees displaced by the conflict in Iraq.

b) Is this foreign principal:

- Supervised by a foreign government, foreign political party, or other foreign principal Yes  No
- Owned by a foreign government, foreign political party, or other foreign principal Yes  No
- Directed by a foreign government, foreign political party, or other foreign principal Yes  No
- Controlled by a foreign government, foreign political party, or other foreign principal Yes  No
- Financed by a foreign government, foreign political party, or other foreign principal Yes  No
- Subsidized in part by a foreign government, foreign political party, or other foreign principal Yes  No

9. Explain fully all items answered "Yes" in Item 8(b). (If additional space is needed, a full insert page must be used.)

N/A

10. If the foreign principal is an organization and is not owned or controlled by a foreign government, foreign political party or other foreign principal, state who owns and controls it.

N/A

**EXECUTION**

In accordance with 28 U.S.C. § 1746, the undersigned swears or affirms under penalty of perjury that he/she has read the information set forth in this Exhibit A to the registration statement and that he/she is familiar with the contents thereof and that such contents are in their entirety true and accurate to the best of his/her knowledge and belief.

Date of Exhibit A	Name and Title Romano Romani, President Parry and Romani Associates, Inc. dba Parry, Romani, DeConcini & Symms	Signature 
10/16/2015		

U.S. Department of Justice

Washington, DC 20530

**Exhibit B to Registration Statement  
Pursuant to the Foreign Agents Registration Act of  
1938, as amended**

**INSTRUCTIONS.** A registrant must furnish as an Exhibit B copies of each written agreement and the terms and conditions of each oral agreement with his foreign principal, including all modifications of such agreements, or, where no contract exists, a full statement of all the circumstances by reason of which the registrant is acting as an agent of a foreign principal. Compliance is accomplished by filing an electronic Exhibit B form at <http://www.fara.gov>.

**Privacy Act Statement.** The filing of this document is required for the Foreign Agents Registration Act of 1938, as amended, 22 U.S.C. § 611 *et seq.*, for the purposes of registration under the Act and public disclosure. Provision of the information requested is mandatory, and failure to provide the information is subject to the penalty and enforcement provisions established in Section 8 of the Act. Every registration statement, short form registration statement, supplemental statement, exhibit, amendment, copy of informational materials or other document or information filed with the Attorney General under this Act is a public record open to public examination, inspection and copying during the posted business hours of the Registration Unit in Washington, DC. Statements are also available online at the Registration Unit's webpage: <http://www.fara.gov>. One copy of every such document, other than informational materials, is automatically provided to the Secretary of State pursuant to Section 6(b) of the Act, and copies of any and all documents are routinely made available to other agencies, departments and Congress pursuant to Section 6(c) of the Act. The Attorney General also transmits a semi-annual report to Congress on the administration of the Act which lists the names of all agents registered under the Act and the foreign principals they represent. This report is available to the public in print and online at: <http://www.fara.gov>.

**Public Reporting Burden.** Public reporting burden for this collection of information is estimated to average .33 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to Chief, Registration Unit, Counterespionage Section, National Security Division, U.S. Department of Justice, Washington, DC 20530; and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Washington, DC 20503.

1. Name of Registrant

Parry and Romani Associates, Inc.  
dba Parry, Romani, DeConcini & Symms

2. Registration No.

0323

3. Name of Foreign Principal

Dr. Mudhar Shawkat

Check Appropriate Box:

4.  The agreement between the registrant and the above-named foreign principal is a formal written contract. If this box is checked, attach a copy of the contract to this exhibit.
5.  There is no formal written contract between the registrant and the foreign principal. The agreement with the above-named foreign principal has resulted from an exchange of correspondence. If this box is checked, attach a copy of all pertinent correspondence, including a copy of any initial proposal which has been adopted by reference in such correspondence.
6.  The agreement or understanding between the registrant and the foreign principal is the result of neither a formal written contract nor an exchange of correspondence between the parties. If this box is checked, give a complete description below of the terms and conditions of the oral agreement or understanding, its duration, the fees and expenses, if any, to be received.

7. Describe fully the nature and method of performance of the above indicated agreement or understanding.

The agreement to represent the above-named Foreign Principal was made for an initial period of 3 months (June, July and August with the possibility of extending it beyond the initial period. A verbal agreement to this effect was made between Dr. Mudhar Shawkat and Parry, Romani DeConcini & Symms (Romano Romani). The initial retainer was for \$75,000 for the 3 month period. However, Dr. Shawkat asked that the fee for August be discounted because Congress was in recess and activity was limited. We acquiesced and extended the agreement to cover September at no additional fee. Although we do not have a formal, written agreement in place, we did receive a thank you letter, dated July 15, 2015, acknowledging his engagement of our firm to represent him personally before members of Congress (see Attachment A). The agreement was terminated effective September 30, 2015 but we have agreed to assist with some possible followup calls and/or emails (see Registration Statement, Question 8).

Specifically, Registrant was retained to assist with Dr. Shawkat's efforts to to meet with Members of the U.S. Congress and/or their staffs to discuss how to defeat ISIS/ISIL and insure a more stable, peaceful Iraq. He was also interested in the possibility of a hearing on the matter.

8. Describe fully the activities the registrant engages in or proposes to engage in on behalf of the above foreign principal.

Registrant contacted Members of the U.S. Congress and/or their staff to set up meetings for Dr. Shawkat's visit in July for the purpose of providing Dr. Shawkat with opportunities to express his personal views to policymakers on a winning strategy to defeat ISIS/ISIL in Iraq and to ultimately establish a Sunni Regional Government. Discussed Dr. Shawkat's strategies with a few news outlets and one political think tank (see Dissemination / Activity Report for details). Produced and distributed copies of Dr. Shawkat's newsletter, his biography/background and Op-Ed piece (see Dissemination / Activity Reports for copies of these documents).

Registrant investigated the possibility of a congressional hearing for Dr. Shawkat to present his strategies but efforts were tabled due to time constraints. Registrant is currently (as of 10/16/2015) assisting Dr. Shawkat on a pro bono basis with a few phone calls and/or emails to select Senate and House offices regarding an upcoming refugee conference in Erbil (please see Registration Statement, Section 8).

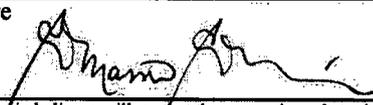
9. Will the activities on behalf of the above foreign principal include political activities as defined in Section 1(o) of the Act and in the footnote below? Yes  No

If yes, describe all such political activities indicating, among other things, the relations, interests or policies to be influenced together with the means to be employed to achieve this purpose.

Some of the Registrant's activities may involve advocating on behalf of the Foreign Principal with Members of the U.S. Congress, their staffs, members of the Obama Administration and local and national news agencies regarding U.S. support for Dr. Shawkat's vision to defeat ISIS/ISIL and establish a Sunni Regional Government. Dr. Shawkat is also advocating support for the millions of displaced refugees resulting from this conflict.

**EXECUTION**

In accordance with 28 U.S.C. § 1746, the undersigned swears or affirms under penalty of perjury that he/she has read the information set forth in this Exhibit B to the registration statement and that he/she is familiar with the contents thereof and that such contents are in their entirety true and accurate to the best of his/her knowledge and belief.

Date of Exhibit B	Name and Title	Signature
10/19/2015	Romano Romani, President Parry and Romani Associates, Inc. dba Parry, Romani, DeConcini & Symms	

Footnote: "Political activity," as defined in Section 1(o) of the Act, means any activity which the person engaging in believes will, or that the person intends to, in any way influence any agency or official of the Government of the United States or any section of the public within the United States with reference to formulating, adopting, or changing the domestic or foreign policies of the United States or with reference to the political or public interests, policies, or relations of a government of a foreign country or a foreign political party.

Appended to FARA Exhibit A to Registration Statement - Shawkat Background/Biography

### **Dr. Mudhar Shawkat**

The Shawkat family is an old established Sunni political family in Iraq. Mudhar Shawkat's great grandfather was Prime Minister under the Ottoman Empire. His cousin was Prime Minister in 1993. An uncle was Prime Minister in 1941. His grandfather was Minister of Education. The Shawkat family held more than 20 ministerial positions from 1922 through 1958.

Mudhar Shawkat was born in Baghdad in 1950 and attended Baghdad American College, obtaining a BSc from Mosul University, where he was head of the Union Party. He obtained a PhD from Newcastle upon Tyne University, where he headed the Iraqi Student Union.

Dr. Shawkat served as a department head in the Iraqi Ministry of National Defense during the Iraq-Iran War. He then established a major construction company, but was forced to flee Iraq in 1986 after political disputes involving Uday and Qusay, the President's sons. He settled in Vancouver, British Columbia, where he obtained Canadian citizenship and set up an early internet company called Zoolink.

After 1991, Dr. Shawkat was active in the Iraqi opposition to Saddam Hussain. In 1999, he established the Iraqi National Movement (INM), funded under the Iraq Liberation Act by the State Department. Dr. Shawkat was elected CEO. During 2002-2004, Dr. Shawkat's INM cooperated with the Iraqi National Congress (INC) of Ahmad Chalabi. Differences with Chalabi over the dissolution of the Iraqi Army and the counter-productive deBaathification program ended the cooperative agreement in 2004.

Dr. Shawkat was elected to the Iraqi Parliament during 2004-2006. In 2005-2006, he established the Future Party. He refused appointment as Minister of Finance in late 2003, due to differences with Ambassador Bremer and during 2003-2006 declined appointment as Minister of Interior and Defense, due to increasing Iranian control over the Baghdad government.

In late 2003, Dr. Shawkat had responsibility for the security of telecommunications throughout Iraq through his security company Babylon Eagles. After 2006, Dr. Shawkat abandoned Iraqi politics temporarily, faced with growing security threats from the Iranian-backed Shia militias and the monopoly of power by the Shia political parties. He moved to Lebanon and then to London, where he currently resides and does business.

In 2014, Dr. Shawkat established the National Salvation Front (NSF) in Erbil, a Sunni political party dedicated to the defeat of ISIS, but stipulating that this should lead to the establishment of a Sunni Regional Government (SRG), protected by a Sunni National Guard, akin to the Kurdish Pesh Merga. Many Sunnis believe this is the only way to preserve the state of Iraq.

The NSF consists of Liberal (non Islamist) elements of Sunni society, with many Iraqi military and ex-Baathist elements marginalized since 2003, the most influential component among the Sunnis. The NSF does not accept Islamists. The NSF Political Bureau has 36 members (and is expanding). Followers of the NSF number around one million.

Dr. Shawkat has close relations with senior Jordanian intelligence officials and with leading Lebanese Sunni notables. He has close relations with Massoud Barzani of the KRG. Dr. Shawkat believes that Iraqi Sunnis must cooperate closely with the USG and is reaching out to Saudi Arabia to secure regional backing against ISIS.

FARA Attachment A to Exhibit B to Registration Statement

**Mudhar Shawkat, PhD**  
*London, United Kingdom*

July 13, 2015

Romano Romani  
Parry, Romani, DeConcini & Symms  
517 C Street, NE  
Washington, DC 20002

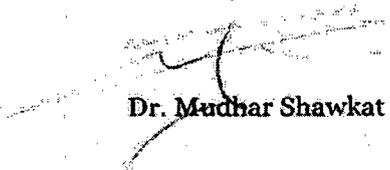
Dear Romano,

I want to thank you and your firm for the work you are doing and have done to provide opportunities for me to express my personal views to policymakers on a winning strategy to defeat ISIS in Iraq.

As we have discussed, I have engaged your firm to represent me solely on this matter and no other person or entity is involved in our endeavors.

I look forward to briefing members of Congress to express my personal opinion on a workable strategy to defeat ISIS, and bring a sustainable peace to this volatile area and preserving the state of Iraq.

Sincerely,



Dr. Mudhar Shawkat

11 Leys Road, KT220QE  
Oxshott, UK